

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DeMoss, Jr., Harold R.	2. Court or Organization U.S. 5th Cir. Ct of Appeals	3. Date of Report 05/9/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge -Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 515 Rusk, Suite 12015 Houston, Texas 77002		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Board of Trustees, Open Door Mission
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2011	Taxable IRA distribution from Raymond James	\$30,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2011	Taxable IRA distribution from Raymond James
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Raymond James Trustee for IRA -See assets 2-27									
2. INVESCO CHARTER FUND	A	Dividend			Sold (part)	02/01/11	J	A	
3.					Sold (part)	03/01/11	J	A	
4.					Sold (part)	04/01/11	J	A	
5.					Sold (part)	05/03/11	J	A	
6.					Sold (part)	06/01/11	J	A	
7.					Sold (part)	07/11/11	J	A	
8.					Sold	07/14/11	M	E	
9. INVESCO GOVERNMENT FUND	B	Dividend	L	T					
10. DWS Strategic Gov Sec Fund	B	Dividend	K	T					
11. DWS HIGH INCOME A	D	Dividend			Sold (part)	10/3/11	J		
12.					Sold (part)	11/1/11	J		
13.					Sold	11/16/11	L		
14. COHEN & STEERS	C	Dividend	L	T					
15. NATIONAL RETAIL PROPERTIES	C	Dividend	K	T					
16. Kraft Foods, Inc.	A	Dividend	K	T					
17. Verizon Communications, Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Kinder Morgan Energy Partners UT LTD Partner	A	Dividend	K	T	Buy (add'l)	11/16/11	K		
19. Bank of Montreal	A	Dividend	K	T	Buy	7/14/11	K		
20.	A	Dividend	K	T	Buy (add'l)	11/16/11	J		
21. Procter & Gamble	A	Dividend	K	T	Buy	7/14/11	K		
22. H.J. Heinz	A	Dividend	K	T	Buy	7/14/11	K		
23. Total SA	A	Dividend	K	T	Buy	7/14/11	K		
24. Intel Corporation	A	Dividend	K	T	Buy	7/14/11	K		
25. Linn Energy		None	K	T	Buy	11/16/11	K		
26. Nucor		None	K	T	Buy	11/16/11	K		
27. R.J. Bank Deposit	A	Distribution	J	T					
28. Northern Trust Co		None	J	T					
29. Well Fargo Bank	A	Interest	K	T					
30. Sowell 693, Ltd		None	J	T					
31. Sunoco, Inc.	A	Royalty	J	T					
32. Devon Energy Operating Co.	A	Royalty	J	T					
33. EnerVest Operating,LLC	B	Royalty	J	T					
34. Prudential Ins. of America	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. TwoRock, Inc. royalty lease Houston Cnty, TX (X)	A	Royalty	J	W					
36. Raymond James Trustee for Broker Acct I - See assets 37-52									
37. Cap Wrld Gwth & Incm	B	Dividend	L	T					
38. Bond Fd of America	B	Dividend			Sold	11/16/11	L		
39. Gwth Fd of America	A	Dividend	L	T					
40. Income Fd of America	C	Dividend			Sold	11/16/11	L		
41. Kraft	A	Dividend	J	T	Buy	3/2/11	J		
42.			J	T	Buy (add'l)	11/16/11	J		
43. Chevron	A	Dividend	J	T	Buy	3/2/11	J		
44. Cullen Frost	A	Dividend	J	T	Buy	3/2/11	J		
45. Intel Corporation	A	Dividend	J	T	Buy	3/2/11	J		
46.	A	Dividend	J	T	Buy (add'l)	11/16/11	J		
47. United Parcel	A	Dividend	J	T	Buy	9/21/11	J		
48.			K	T	Buy (add'l)	11/16/11	K		
49. National Oil Wel	A	Dividend	K	T	Buy	11/16/11	K		
50. United Technology		None	K	T	Buy	11/16/11	K		
51. BHP Billiton		None	K	T	Buy	11/16/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. R.J. Bank Deposit	A	Distribution	K	T					
53. Raymond James Trustee for Broker Acct II - See assets 54-74									
54. AT&T	A	Dividend	J	T					
55. NEXTERA ENERGY	A	Dividend	J	T					
56. Hewlett Packard Co.	A	Dividend			Sold	5/26/11	J		
57. Johnson & Johnson	A	Dividend			Sold	4/19/11	J		
58. Procter & Gamble Co	A	Distribution	J	T					
59. Weingarten Rlty Invs Sh Ben Int Reit	A	Dividend	J	T					
60. CAP WRLD GRTH & INCM	A	Dividend			Sold	11/16/11	J		
61. Growth Fund of America	A	Dividend			Sold	11/16/11	J	A	
62. Enterprise Prod	A	Dividend	J	T	Buy	3/3/11	J		
63.	A	Dividend	J	T	Buy (add'l)	4/19/11	J		
64. Procter & Gamble	A	Dividend	J	T	Buy	3/3/11	J		
65. H.J.Heinz	A	Dividend	J	T	Buy	3/3/11	J		
66.			J	T	Buy (add'l)	11/17/11	J		
67. Johnson & Johnson	A	Dividend			Buy	3/3/11	J		
68.					Sold	4/19/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Hewlett Packard	A	Dividend			Buy	3/3/11	J		
70.					Sold	5/26/11	J		
71. McDonalds Corp	A	Dividend	J	T	Buy	3/3/11	J		
72.	A	Dividend	J	T	Buy (add'l)	4/19/11	J		
73. Verizon	A	Dividend	J	T	Buy	5/26/11	J		
74. R.J. Bank Acct	A	Distribution	J	T					
75. Raymond James IRA Account II-See assets 76-77									
76. Growth Fund of America	A	Dividend			Sold	11/16/11	J		
77. R.J. Bank Deposit	A	Distribution	J	T					
78. Security Life of Denver Ins. Co.: Universal Life Policy	C	Interest			Redeemed	3/1/11	L	E	
79. Raymond James Trustee for Broker Acct III- See Assets 80-83									
80. El Paso County CO CTF PARTN	A	Interest	K	T					
81. Kentucky Asset/Liability Commn Agy Fund	B	Interest	K	T					
82. Nueces River Auth TX Water Supply	B	Interest	K	T					
83. R.J. Bank Deposit	A	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. The organization indicated in Part I. Positions is a non-profit charitable organization and Judge DeMoss is not an "income beneficiary" of this organization.

Part VII. Asset shown on Line 29 was shown on previous reports as Anadarko. This asset was purchased by EnverVest Operating, LLC from Anadarko in 2007 but that change was inadvertently not shown on subsequent reports.

Asset shown on Line 35 is for a one-time signing bonus on a royalty lease by TwoRock, Inc for property in Houston, County, Texas.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Harold R. DeMoss, Jr.**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544