

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Crabb, Barbara B.	2. Court or Organization U.S. District Court, Western District of Wisconsin	3. Date of Report 08/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 120 North Henry Street Suite 540 Madison, WI 53703		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Facktor-Mervis charitable foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2016

Wisconsin Department of Employee Trust Funds

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AQR Managed Futures Strategy (AQMIX)	A	Dividend	J	T	Buy	04/08/16	J		
2.					Sold (part)	12/12/16	J		
3.									
4. AQR Style Premia Alt. (QSPIX)	A	Dividend	J	T	Buy	07/01/16	J		
5.					Sold (part)	07/01/16	J	A	
6.					Sold (part)	12/12/16	J	A	
7.									
8. AXA Variable Life Ins Annuity (formerly Equitable Life) comprising:	B	Dividend	M	T					
9. - EQ/Int'l Equity		Dividend	K	T					
10. - AXA Int'l Core Volatility		Dividend	K	T					
11. - EQ/Equity 500 Index		Dividend	K	T					
12. -EQ/Mid Cap Index		Dividend	J	T					
13. - EQ/Small Company		Dividend	J	T					
14.									
15. Blackstone Alt Mult Strat Inst. (BXMIX)		None			Buy	04/08/16	J		
16.					Sold (part)	04/08/16	J	A	
17.					Sold	07/01/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 I ~\$15,001 - \$50,000	E ~\$15,001 - \$50,000 F ~\$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.									
19. Cisco Systems, Inc. (CSCO)	A	Dividend	K	T					
20.									
21. Deutsche X-Trackers MSCI EUR (DBUE)	C	Dividend			Buy	01/25/16	J		
22.					Sold (part)	04/08/16	J	C	
23.					Sold	05/12/16	J		
24.									
25. Diamond Hill Small Cap (DHSIX)	A	Dividend	J	T	Buy	04/08/16	J		
26.					Sold (part)	12/12/16	J	A	
27.									
28. Ed Vest -529 College Savings Plan (Balanced Portfolio 3437-Med. Risk)	A	Interest	K	T	Buy	08/22/16	J		
29.									
30. EV Income Fund of Boston (EIBIX)	A	Dividend	L	T	Buy	04/08/16	J		
31.									
32. EV High Yield Muni Income (EIHXY)	A	Dividend	L	T	Buy	11/29/16	L		
33.									
34. First TR High YL Lg/Sht ETF (HYLS)	B	Dividend	L	T	Buy	05/12/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36. First Trust North American (EMLP)	B	Dividend	K	T	Sold (part)	01/25/16	K		
37.					Sold (part)	04/08/16	J		
38.									
39. Harding Loevner Intl Eqty (HLMIX)	A	Dividend	K	T	Buy	04/08/16	J		
40.					Sold (part)	12/12/16	J	A	
41.									
42. Home Savings & Loan	A	Interest	K	T					
43.									
44.									
45. Ishares Core MSCI Europe (EUR)		None			Sold	01/25/16	M		
46.									
47. Ishares Core MSCI (IEFA) (dup. of #45- entered in error) see letter									
48.									
49. Ishares Core U.S. Agg. f/k/a Ishares Barclays Agg. Bd. Fd.(AGG)	A	Dividend	M	T	Buy	11/29/16	M		
50.									
51. Ishares Edge MSCI Min Vol (EFAV)		None			Buy	05/12/16	L		

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52.					Sold	11/29/16	L		
53.									
54. ishares Edge MSCI Emerg Mkt Min.Vol (EEMV)	B	Dividend	K	T	Buy	01/25/16	J		
55.					Sold (part)	04/08/16	J	A	
56.					Buy	05/12/16	K		
57.									
58. Ishares MSCI Japan ETF (EWJ)	B	Dividend			Buy	01/25/16	K		
59.					Sold (part)	04/08/16	J		
60.					Sold	07/15/16	L		
61.									
62. Ishares Russell Midcap ETF (IWP)	A	Dividend	K	T	Buy	01/25/16	J		
63.					Sold (part)	04/08/16	J	A	
64.					Sold (part)	12/12/16	J	A	
65.									
66. Ishares Russell Midcap (IWS)	A	Dividend	K	T	Buy	01/25/16	J		
67.					Sold (part)	04/08/16	J	A	
68.					Sold (part)	12/12/16	J	A	

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69.									
70. Ishares Russell 1000 Growth Index (IWF)	C	Dividend	M	T	Sold (part)	01/25/16	J	B	
71.					Sold (part)	04/08/16	J	B	
72.					Sold (part)	12/12/16	J	A	
73.									
74. Ishares Russell 1000 Value (IWD)	C	Dividend	M	T	Buy	01/25/16	K		
75.					Sold (part)	04/08/16	J	C	
76.									
77. Ishares Russell 2000 Growth Fund (IWO)	A	Dividend	K	T	Buy	01/25/16	J		
78.					Buy	05/12/16	J		
79.					Sold (part)	04/08/16	J	B	
80.									
81. Ishares Russell 2000 Value (IWN)	A	Dividend	K	T	Buy	01/25/16	J		
82.					Sold (part)	04/08/16	J	B	
83.									
84.									
85. Lazard Emerg Mkts Core EQ (ECEIX)	A	Dividend	J	T	Buy	04/08/16	J		

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86.					Sold (part)	12/12/16	J	A	
87.									
88. Lazard Int'l Strat EQ (LISIX)	A	Dividend	K	T	Buy	04/08/16	J		
89.					Sold (part)	12/12/16	J		
90.									
91. Medtronic, Inc. (MDT)	A	Dividend	K	T					
92.									
93. Metropolitan West TOT RET BD (MWTIX)	A	Distribution	K	T	Buy	04/08/16	J		
94.					Sold (part)	12/12/16	J		
95. Met Life	A	Dividend	J	T					
96.									
97. MS Liquid Asset Fund (ILAF)	A	Dividend	J	T					
98.									
99. Morgan Stanley Private Bank NA (MSPBNA)	A	Int./Div.	M	T					
100.									
101. Nuveen Int Dur Mini Bond (NUVBX)		None			Buy	05/12/16	N		
102.					Sold	11/29/16	N		

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103.									
104. Nuveen Ltd Term Muni Bd (FLTRX)		None			Buy	05/12/16	L		
105.					Sold	11/29/16	L		
106.									
107. Oakmark (OAKMX)	A	Dividend	K	T	Buy	04/08/16	J		
108.					Sold (part)	12/12/16	J	A	
109.									
110. Pimco Intermed Mun Bd (MUNI)	A	Interest	K	T	Buy	11/02/16	N		
111.									
112. Pimco 1-5 Year U.S. TIPX IDX Fd (STPZ)		None			Sold (part)	01/25/16	J		
113.					Sold (part)	04/08/16	J	A	
114.					Sold	05/12/16	K	A	
115.									
116. PIMCO 0-5 Year H/Y Corp. Bond (HYS)		None			Buy	01/25/16	L		
117.					Sold (part)	04/08/16	J		
118.					Sold	05/12/16	L	C	
119.									

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120. SPDR Barcap Short Term/Hi Yield (SJNK)		None			Sold	01/25/16	L		
121.									
122.									
123. SPDR Series Trust DB Int. Gvt (WIP)		None			Buy	01/25/16	K		
124.					Sold (part)	04/08/16	J	A	
125.					Sold	05/12/16	K	B	
126.									
127. SPDR Doubleline Tr Tact ETF (TOTL)		None			Buy	01/25/16	J		
128.					Sold	04/08/16	J	A	
129.									
130. Synchrony Bank Acct	B	Interest	M	T					
131.									
132. Touchstone Small Cap Growth (MXAIX)	A	Dividend	J	T	Buy	04/08/16	J		
133.									
134. UW Credit Union	A	Interest	J	T					
135.									
136. Vanguard Municipal Fund	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.									
138.									
139. Vanguard Reit ETF (VNQ)	B	Dividend	K	T	Sold (part)	05/12/16	J	A	
140.					Sold (part)	12/12/16	J	A	
141.									
142. Vanguard Short Term Bnd (BSV)	A	Dividend	J	T	Buy	04/08/16	J		
143.									
144. Vanguard Short Term Corporate (VCSH)		None			Sold (part)	05/12/16	L	A	
145.					Sold	12/12/16	J		
146.									
147. Vanguard European MSCI ETF (VGK)		None			Buy	01/25/16	M		
148.					Sold (part)	04/08/16	J		
149.					Sold	05/12/16	J	A	
150.									
151. WisdomTree Trust Japan Hedge EQ (DXJ)	A	Dividend	L	T	Sold (part)	01/25/16	L		
152.					Buy	07/15/16	L		
153.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Barbara B. Crabb**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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