

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Millett, Patricia A.	2. Court or Organization U.S. Court of Appeals for the DC Circuit	3. Date of Report 01/17/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 333 Constitution Ave., NW Washington, DC 20001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	The [REDACTED] Trust dated February 25, 1998 as amended.
2. Trustee	The [REDACTED] Living Trust dated February 25, 1998, as amended
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 10/13/2017	New York University Teaching stipend for IJA New Appellate Judges Seminar (7/16-21/20178)	\$1,800.00
2. 05/2017	Georgetown Law School teaching compensation (1/18-4/26/2017)	\$8,625.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2017	Florida Sugar Cane League, Inc. -- spouse's salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Stanford University Law School	2/10-11/2017	Stanford, CA	Stanford Supreme Court at Midterm Conference	Transportation
2. University of Virginia Law School	3/24-26/2017	Charlottesville, VA	Wm. Minor Lile Moot Court Competition judge	Transportation
3. Columbia University Law School	4/4/2017	New York, NY	Moot Court Competition judge	Transportation & meals
4. New York University	7/16-21/2017	New York, NY	IJA New Appellate Judges Seminar	Transportation & meals
5. William & Mary School of Law	9/15-16/2017	Williamsburg, VA	Supreme Court Preview: Panel Member	Transportation

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6.	American Law Institute	10/18-19/2017	New York, NY	ALI Council meetings	Transportation & meals
7.	Harvard Law School	10/26-27/2017	Cambridge, MA	Moot re-enactment judge	Lodging, transportation & meals
8.	Florida International University	11/15-16/2017	Miami, FL	Moot court competition judge	Transportation & meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Tim Chapman	Framed Lithograph of The Beatles	\$1,500.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. US Treasury Bonds	A	Interest	K	T					
2. Wells Fargo Bank - Various accounts	A	Interest	M	T					
3. Florida Sugar Cane League, Inc. 401(k) Plan (H)									
4. -First Eagle SoGen Overseas A Fund		None			Sold	01/01/17	K		
5. -FMI Large Cap Fund		None			Sold	01/01/17	J		
6. -TIAA-CREF Growth & Income Retirement		None			Sold	01/01/17	K		
7. -Keeley Small Cap Value Fund		None			Sold	01/01/17	J		
8. -Mainstay ICAP Select Equity Fund		None			Sold	01/01/17	K		
9. -Principal Inv. FD High Yield Fund - A		None			Sold	01/01/17	J		
10. -Hartford Schroders US Small Cap Opportunities Fund		None			Sold	01/01/17	J		
11. -Columbia Acorn Select Fund Z		None			Sold	01/01/17	J		
12. -Schwab 1000 Index Fund		None			Sold	01/01/17	K		
13. -Janus Perkins Mid Cap Value - T		None			Sold	01/01/17	J		
14. -TCW Total Return Mortgage Backed Fund		None			Sold	01/01/17	K		
15. -Wells Fargo Stable Value Fund		None			Sold	01/01/17	J		
16. -WF Stable Fund C	A	Dividend	J	T	Buy	01/01/17	J		
17. -FID FDM idx 2025 INV	C	Int./Div.	M	T	Buy	01/01/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Buy (add'l)	03/31/17	J		
19.					Buy (add'l)	06/30/17	J		
20.					Buy (add'l)	09/30/17	J		
21.					Buy (add'l)	12/31/17	J		
22. American Funds Growth Fund of America 529 Plan (H)									
23. -Growth Fund of America 529A	D	Dividend	M	T	Buy (add'l)	01/23/17	K		
24.					Sold (part)	08/01/17	K		
25.					Sold (part)	12/22/17	K		
26. American Funds Income Fund of America 529 Plan (H)									
27. -Income Fund of America 529A	D	Dividend	L	T	Buy (add'l)	01/23/17	K		
28.					Sold (part)	08/01/17	K		
29.					Sold (part)	12/22/17	J		
30. Brokerage Account #1 (H)									
31. -Lockheed Martin Corp - Common	D	Dividend	O	T					
32. Brokerage Account #2 (H)									
33. -Raymond James Bank	A	Interest	K	T					
34. -First Eagle Global Fund	D	Dividend	M	T					

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35. -Franklin Income Fund	D	Dividend	M	T					
36. -T. Rowe Price Capital Appreciation Fund	D	Dividend	M	T					
37. Brokerage Account #3 UTMA (H)									
38. -T. Rowe Price Capital Appreciation Fund	A	Dividend	J	T					
39. Brokerage Account #4 UTMA (H)									
40. -T. Rowe Price Capital Appreciation Fund	A	Dividend	J	T					
41. Trust #1 (H)									
42. -Real Estate, Chesterfield, Missouri (2000 \$198,000)		None			Sold	04/13/17	M	D	Piskulich
43. ██████ Prudential (H)									
44. -AST Academic Strategies Asset Alloc Port	A	Interest	J	T					
45. ██████ Revocable Trust Liv Trust Jackson Na (H)									
46. -JNL/Lazard Emerging		None	J	T					
47. -JNL/Mellon S&P 400		None	J	T					
48. -JNL/Mellon Cap Small Cap		None	J	T					
49. -JNL/Mellon Tech		None	J	T					
50. -JNL/Mellon Healthcare		None	J	T					
51. -JNL/Invesco China-India		None	J	T					

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52. -JNL/Mellon Cap Europe		None	J	T					
53. -JNL Tactical ETF Growth		None	K	T					
54. -JNL/Lazard IntlStratEq		None	J	T					
55. -JNL/MMRS Conservative		None	K	T					
56. [REDACTED] Brokerage- - non-retirement (H)									
57. -Healthcare Trust Am	A	Dividend	J	T					
58. -Exxon Mobile Corp	C	Dividend	L	T					
59. -Oppenheimer Steelpath MLP	A	Dividend	J	T					
60. -Oppenheimer Global		None	J	T					
61. [REDACTED] Brokerage -- retirement (H)									
62. -Highland Global Alloc	A	Dividend			Sold	12/29/17	J		
63. -Putman Absolute Return 700		None	J	T					
64. -Principal Global Diversivied Income	A	Dividend	K	T					
65. -Putnam Multi Cap Core	A	Dividend	J	T					
66. -Highland Small Cap		None	J	T	Buy	05/23/17	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

- (1) Part I, Positions, Line 2: The only reportable asset is real estate as indicated in Part VII, Line 42 (Real Estate, Chesterfield, MO).
- (2) Part VII, Lines 45-55: These assets are in [REDACTED] Revocable Living Trust; I am Trustee and a named beneficiary. I have no current financial interest or income from these assets or from the Living Trust.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Patricia A. Millett

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544