

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hurwitz, Andrew	2. Court or Organization U.S. Court of Appeals, Ninth Circuit	3. Date of Report 02/01/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Court of Appeals Judge, Ninth Circuit	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 401 W. Washington Street Phoenix, AZ 85003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2013	Arizona Elected Officials Retirement System; no control
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Morgan Stanley	portfolio loan	N
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. U.S. Bank (Checking/Savings)	A	Interest	K	T					
2. Real Estate Limited Partnership (Avondale Acres) Avondale, AZ	A	Distribution	K	W					
3. (H) Trust #1 ComProp 664	D	Int./Div.	N	T					
4. -MORGAN STANLEY BANK N.A.	A	Int./Div.	K	T					
5. -ACTIVE BEAR	A	Int./Div.	K	T	Buy	04/11/16	K		
6. -BARON REAL ESTATE INST	A	Int./Div.	J	T					
7. -COHEN & STEERS PREF SEC&INC I - COHEN & STEERS PREF SEC&INC I - COHEN &	B	Int./Div.	K	T	Buy (add'l)	04/11/16	J		
8. -COLUMBIA SELECT LG CP GROWTH Z	B	Int./Div.	K	T	Sold (part)	4/11/16	J		
9. -DOUBLELINE SHILLER ENH CAPE	B	Int./Div.	K	T	Buy (add'l)	04/11/16	J		
10. -DOUBLELINE TOTAL RETURN I	B	Int./Div.	L	T	Sold (part)	04/11/16	J		
11. -FIRST EAGLE GOLD	A	Int./Div.	J	T	Buy	04/11/16	J		
12. -FIRST TRUST DORSEY WRIGHT FD	A	Int./Div.			Sold (part)	04/11/16	J		
13.					Sold	11/22/16	J	A	
14. -GUGGENHEIM S7P 500 PURE VALE	A	Int./Div.			Sold	04/11/16	J		
15. -HENDERSON EUROPEAN FOCUS	A	Int./Div.	J	T	Buy	04/11/16	J		
16.					Sold (part)	11/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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17. -HIGHLAND GLOBAL ALLOCATION I	A	Int./Div.			Sold	04/11/16	J		
18. -ISHSARES CURR HEDGED MSCI EMU	A	Int./Div.			Sold	04/11/16	K		
19. -ISHARES MORTGAGE REAL ESTATE	A	Int./Div.	J	T	Buy	11/22/16	J		
20. -ISHARES MSCI JAPAN ETF	A	Int./Div.			Sold	04/11/16	J		
21. -ISHARES MSCI JAPAN MIN VOL	A	Int./Div.	J	T	Buy	04/11/16	J		
22. -ISHARES MSCI USA MIN VOL ETF	A	Int./Div.	K	T	Buy (add'l)	04/11/16	K		
23. -MATTHEWS ASIAN JAPAN INV	A	Int./Div.	K	T	Sold (part)	04/11/16	J	A	
24. -METROPOLITAN WEST TOT RET BD I	B	Int./Div.	K	T	Sold (part)	04/11/16	J	A	
25. -NORHERN AZ TAX EXEMPT FUND	A	Int./Div.	K	T	Buy	04/12/16	K		
26. -OAKMARK I	A	Int./Div.			Sold	04/11/16	K	A	
27. -PIMCO INCOME P	B	Int./Div.	K	T	Sold (part)	04/11/16	J		
28.					Sold (part)	11/22/16	J		
29. -PIMCO INTL STOCKPLUS AR STR P	A	Int./Div.			Sold	04/11/16	J		
30. -PIMCO SHORT TERM P	A	Int./Div.			Sold	04/11/16	K		
31. -SUNAMERICA NEW FOC DIV STRA	A	Int./Div.	K	T	Buy	04/11/16	K		
32. -TOUCHSTONE SANDS CAP SEL GR	A	Int./Div.			Buy	04/11/16	K		
33.					Sold	12/12/16	K	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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34. -T ROWE PRICE DVRSFD SM CP GR	A	Int./Div.			Sold	04/11/16	J		
35. -T ROWE PRICE MID CAP GR ADV	A	Int./Div.			Sold	11/22/16	J		
36. -VANGUARD GROWTH ETF	A	Int./Div.	K	T	Buy	12/12/16	K		
37. -WELLS FARGO MUNI	A	Int./Div.	K	T	Buy	04/11/16	K		
38. -WISDOMTREE EUROPE HEDGED EQUITY	A	Int./Div.	K	T	Buy	04/11/16	J		
39. "(H) TRUST #2 A S&S 663"	D	Int./Div.	N	T					
40. -MORGAN STANLEY BANK N.A.	A	Int./Div.	J	T					
41. -ACTIVE BEAR ETF	A	Int./Div.	J	T	Buy	04/11/16	J		
42. -BARON REAL ESTATE INST	A	Int./Div.	J	T	Sold (part)	04/11/16	J	A	
43. -COHEN & STEERS PREF SEC&INC I	A	Int./Div.	J	T	Buy (add'l)	04/11/16	J		
44. -COLUMBIA SELECT LG CP GROWTH Z	B	Int./Div.	J	T	Buy (add'l)	04/11/16	J		
45.					Sold (part)	11/22/16	J		
46. -DOUBLELINE SHILLER ENH CAPE	A	Int./Div.	K	T	Buy (add'l)	4/11/16	J		
47. -DOUBLELINE TOTAL RETURN I	B	Int./Div.	K	T	Sold (part)	04/11/16	J		
48. -FIRST EAGLE GOLD	A	Int./Div.	J	T	Buy	04/11/16	J		
49. -FIRST TRUST DORSEY WRIGHT FD	A	Int./Div.			Sold	04/11/16	J		
50. -GUGGENHEIM S&P PURE VALE (X)	A	Int./Div.			Sold	04/11/16	J		

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51. -HENDERSON EUROPEAN FOCUS	A	Int./Div.	J	T	Buy	04/11/16	J		
52.					Sold (part)	11/22/16	J		
53. -HIGHLAND GLOBAL ALLOCATION I	A	Int./Div.			Sold	04/11/16	J		
54. -ISHSARES CURR HEDGED MSCI EMU	A	Int./Div.			Sold	04/11/16	J		
55. -ISHARES MORTGAGE REAL ESTATE	A	Int./Div.	J	T	Buy	11/22/16	J		
56. -ISHARES MSCI JAPAN ETF	A	Int./Div.			Sold	04/11/16	J		
57. -ISHARES MSCI JAPAN MIN VOL	A	Int./Div.	J	T	Buy	04/11/16	J		
58. -ISHARES MSCI USA MIN VOL ETF	A	Int./Div.	K	T	Buy (add'l)	04/11/16	J		
59. -MATTHEWS ASIAN JAPAN	A	Int./Div.	K	T	Sold (part)	04/11/16	J	A	
60. -METROPOLITAN WEST TOT RET BD I	A	Int./Div.	K	T	Sold (part)	04/11/16	J	A	
61. -NORTHERN AZ TAX EXEMPT FUND	A	Int./Div.	K	T	Buy	04/11/16	K		
62. -PIMCO INCOME P	B	Int./Div.	K	T	Sold (part)	04/11/16	J		
63.					Sold (part)	11/22/16	J		
64. -PIMCO INTL STOCKPLUS AR STR P	A	Int./Div.			Sold	04/11/16	J		
65. -PIMCO SHORT TERM P	A	Int./Div.			Sold	04/11/16	K		
66. -SUNAMERICA NEW FOC DIV STRA A	A	Int./Div.	K	T	Buy (add'l)	04/11/16	K		
67. -TOUCHSTONE SANDS CAP SEL GR	A	Int./Div.			Buy (add'l)	04/11/16	J		

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68.					Sold	12/12/16	K		
69. -T ROWE PRICE DVRSFD SM CP GR	A	Int./Div.			Sold	04/11/16	J		
70. -T ROWE PRICE MID CAP GR ADV	A	Int./Div.	J	T	Sold (part)	04/11/16	J		
71. -VANGUARD GROWTH ETF	A	Int./Div.	K	T	Buy	12/12/16	J		
72. -WELLS FARGO MUNI BOND INST	A	Int./Div.	K	T	Buy	04/11/16	K		
73. -WISDOMTREE EUROPE HEDGED EUQITY	A	Int./Div.	K	T	Buy	04/11/16	J		
74. "(H) Trust #3 S S&S 662"	D	Int./Div.	N	T					
75. -MORGAN STANLEY BANK N.A.	A	Int./Div.							
76. -ACTIVE BEAR ETF	A	Int./Div.	K	T	Buy	04/11/16	K		
77. -BARON REAL ESTATE INST (X)	A	Int./Div.	J	T					
78. -COHEN & STEERS PREF SEC&INC I	B	Int./Div.	K	T	Buy (add'l)	04/11/16	J		
79. -COLUMBIA SELECT LG CP GROWTH Z	B	Int./Div.			Buy (add'l)	04/11/16	J		
80.					Sold (part)	11/22/16	J		
81. -DOUBLELINE SHILLER ENH CAPE	B	Int./Div.	K	T	Buy (add'l)	04/11/16	J		
82. -DOUBLELINE TOTAL RETURN	B	Int./Div.	L	T	Sold (part)	04/11/16	J		
83. -FIRST EAGLE GOLD	A	Int./Div.	J	T	Buy	04/11/16	J		
84. -FIRST TRUST DORSEY WRIGHT FD	A	Int./Div.			Sold	04/11/16	K		

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85. -GUGGENHEIM S7P 500 PURE VALE	A	Int./Div.			Sold	04/11/16	J		
86. -ENDERSON EUROPEAN FOCUS	A	Int./Div.	J	T	Buy	04/11/16	J		
87. -HIGHLAND GLOBAL ALLOCATION I	A	Int./Div.			Sold	04/11/16	J		
88. -ISHSARES CURR HEDGED MSCI EMU	A	Int./Div.			Sold	04/11/16	K		
89. -ISHARES MORTGAGE REAL ESTATE	A	Int./Div.	J	T	Buy	11/22/16	J		
90. -ISHARES MSCI JAPAN ETF	A	Int./Div.			Sold	04/11/16	J		
91. -ISHARES MSCI JAPAN MIN VOL	A	Int./Div.	J	T	Buy	04/11/16	J		
92. -ISHARES MSCI USA MIN VOL ETF	A	Int./Div.	K	T	Buy (add'l)	04/11/16	K		
93. -MATTHEWS ASIAN JAPAN	A	Int./Div.	K	T	Sold (part)	04/11/16	J	A	
94. -METROPOLITAN WEST TOT RET BD I	B	Int./Div.	K	T	Sold (part)	04/11/16	J	A	
95.					Sold (part)	11/22/16	J		
96. -NORTHERN AZ TAX EXEMPT FUND	A	Int./Div.	K	T	Buy	04/12/16	K		
97. -OAKMARK I	A	Int./Div.			Sold	04/11/16	K		
98. -PIMCO INCOME	B	Int./Div.	K	T	Sold (part)	04/11/16	J		
99.					Sold (part)	11/22/16	J		
100. -PIMCO INTL STOCKPLUS AR STR	A	Int./Div.			Sold	04/11/16	J		
101. -PIMCO SHORT TERM P	A	Int./Div.			Sold	04/11/16	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. -SUNAMERICA NEW FOC DIV STRA A	A	Int./Div.	K	T	Buy	04/11/16	K		
103. -TOUCHSTONE SANDS CAP SEL GR	A	Int./Div.			Buy	04/11/16	K		
104.					Buy (add'l)	11/22/16	J		
105.					Sold	12/12/16	K	A	
106. -T ROWE PRICE DVRSFD SM CP GR	A	Int./Div.			Sold	04/11/16	J		
107. -T ROWE PRICE MID CAP GR ADV	A	Int./Div.	J	T					
108. -VANGUARD GROWTH ETF	A	Int./Div.	K	T	Buy	12/12/16	K		
109. -WELLS FARGO MUNI BOND	A	Int./Div.	K	T	Buy	04/11/16	K		
110. -WISDOMTREE EUROPE HEDGED EQUITY	A	Int./Div.	K	T	Buy	04/11/16	J		
111. (H) IRA #1 A RO 665	E	Int./Div.	O	T					
112. -MORGAN STANLEY BANK N.A.	A	Int./Div.	K	T					
113. -ACTIVE BEAR ETF	A	Int./Div.	K	T	Buy	03/10/16	K		
114.					Buy (add'l)	04/13/16	J		
115. -COHEN & STEERS PREF SEC&INC I	B	Int./Div.	K	T	Buy (add'l)	03/10/16	J		
116.					Buy (add'l)	04/11/16	J		
117.					Buy (add'l)	11/22/16	J		
118. -COLUMBIA SELECT LG CP GROWTH Z	D	Int./Div.	K	T	Buy (add'l)	03/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119.					Sold (part)	04/13/16	J		
120.					Buy (add'l)	11/22/16	J		
121. -DOUBLELINE SHILLER ENH CAPE	A	Int./Div.	K	T	Buy	03/10/16	K		
122.					Buy (add'l)	04/13/16	J		
123.					Sold (part)	11/22/16	J	B	
124. -DOUBLELINE TOTAL RETURN I	C	Int./Div.	L	T	Sold (part)	03/10/16	J		
125.					Buy (add'l)	04/13/16	J		
126.					Sold (part)	11/22/16	K		
127. -FIRST EAGLE GOLD	A	Int./Div.	K	T	Buy	03/10/16	K		
128.					Sold (part)	04/13/16	J	A	
129. -FIRST TRUST DORSEY WRIGHT FD	A	Int./Div.			Sold (part)	03/10/16	K		
130.					Sold	11/22/16	J		
131. -FIRST TRUST MORTGAGE INCOME	A	Int./Div.	J	T	Buy	11/22/16	J		
132. -GUGGENHEIM S&P 500 PURE VALE	A	Int./Div.			Sold	03/10/16	K		
133. -HENDERSON EUROPEAN FOCUS	A	Int./Div.	K	T	Buy	03/10/16	K		
134.					Sold (part)	04/13/16	K	B	
135.					Buy (add'l)	11/22/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
136. -HIGHLAND GLOBAL ALLOCATION I	A	Int./Div.			Sold	03/10/16	J		
137. -ISHARES MORTGAGE REAL ESTATE	A	Int./Div.	K	T	Buy	11/22/16	K		
138. -ISHARES MSCI JAPAN ETF	A	Int./Div.			Sold	03/10/16	J		
139. -ISHARES MSCI JAPAN MIN VOL ETF	A	Int./Div.	J	T	Buy	03/10/16	J		
140.					Sold (part)	04/13/16	J	A	
141.					Buy (add'l)	11/22/16	J		
142. -ISHARES MSCI USA MIN VOL ETF	A	Int./Div.	K	T	Buy	03/10/16	K		
143.					Buy (add'l)	04/13/16	J		
144.					Sold (part)	11/22/16	K	A	
145. -JOHN HANCOCK SEAPORT	A	Int./Div.			Sold	03/10/16	J		
146. -MATTHEWS ASIAN JAPAN	A	Int./Div.			Sold (part)	03/10/16	K		
147.					Buy (add'l)	04/13/16	J		
148.					Sold	11/22/16	K	B	
149. -METROPOLITAN WEST TOT RET BD I	B	Int./Div.	L	T	Sold (part)	03/10/16	J	A	
150.					Buy (add'l)	04/13/16	J		
151.					Sold (part)	11/22/16	J	A	
152. -OAKMARK I	A	Int./Div.			Sold	03/10/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
153. -PIMCO INCOME	C	Int./Div.	L	T	Sold (part)	03/10/16	J		
154.					Buy (add'l)	04/13/16	J		
155. -PIMCO INTL STOCKPLUS AR STR	A	Int./Div.			Sold	03/10/16	K		
156. -PIMCO SHORT TERM P	A	Int./Div.	K	T	Sold (part)	03/10/16	J		
157.					Buy (add'l)	04/13/16	J		
158.					Sold (part)	11/22/16	J	A	
159. -SUNAMERICA NEW FOC DIV STRA A	B	Int./Div.	K	T	Sold (part)	03/10/16	J		
160.					Buy (add'l)	04/13/16	J		
161.					Sold (part)	11/22/16	J	A	
162. -T ROWE PRICE DVRSFD SM CP GR	A	Int./Div.			Sold	03/10/16	K		
163. -T ROWE PRICE MID CAP GR ADV	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
164.					Sold (part)	04/13/16	J		
165.					Sold (part)	11/22/16	J		
166. -TOUCHSTONE SANDS CAP SEL GR	D	Int./Div.	K	T	Buy (add'l)	03/10/16	J		
167.					Buy (add'l)	04/13/16	J		
168.					Buy (add'l)	11/22/16	J		
169. -VANGUARD INFLAT PROT SEC FUND	B	Int./Div.	K	T	Buy	11/22/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. -WISDOMTREE EUROPE HEDGED EQUITY	A	Int./Div.	K	T	Sold (part)	03/10/16	J		
171.					Sold (part)	04/13/16	J		
172.					Buy (add'l)	11/22/16	J		
173. -WISDOMTREE TRUST JAPN HEDGE EQ	A	Int./Div.	J	T	Buy	11/22/16	J		
174. (H) IRA #2 A Amnty 451	C	Int./Div.	N	T					
175. -John Hancock Venture Annuity Lifestyle Moderate Fund	C	Int./Div.	N	T					
176. (H) IRA #3 S 508	D	Int./Div.	M	T					
177. -MORGAN STANLEY BANK N.A.	A	Int./Div.	J	T					
178. -ACTIVE BEAR ETF	A	Int./Div.	J	T	Buy	03/10/16	J		
179. -COHEN & STEERS PREF SEC&INC I	A	Int./Div.	J	T	Buy (add'l)	03/10/16	J		
180.					Buy (add'l)	04/13/16	J		
181.					Buy (add'l)	11/22/16	J		
182. -COLUMBIA SELECT LG CP GROWTH Z	A	Int./Div.	J	T	Buy (add'l)	03/10/16	J		
183.					Buy (add'l)	04/13/16	J		
184.					Buy (add'l)	11/22/16	J		
185. -DOUBLELINESHILLER ENH CAPE	A	Int./Div.	J	T	Buy	03/10/16	J		
186.					Buy (add'l)	04/13/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187.					Sold (part)	11/22/16	J	A	
188. -DOUBLELINE TOTAL RETURN I	A	Int./Div.	J	T	Sold (part)	04/13/16	J		
189.					Buy (add'l)	04/16/16	J		
190.					Sold (part)	11/22/16	J	C	
191. -FIRST EAGLE GOLD	A	Int./Div.	J	T	Buy	03/10/16	J		
192.					Sold (part)	04/13/16	J	A	
193. -FIRST TRUST DORSEY WRIGHT FD	A	Int./Div.			Sold (part)	03/10/16	J		
194.					Buy (add'l)	04/13/16	J		
195.					Sold	11/22/16	J		
196. -FIRST TRUST MORTGAGE INCOME ETF	A	Int./Div.	J	T	Buy	11/22/16	J		
197. -GUGGENHEIM S&P 500 PURE VALE	A	Int./Div.			Sold	03/10/16	J		
198. -HENDERSON EUROPEAN FOCUS	A	Int./Div.	J	T	Buy	03/10/16	J		
199.					Sold (part)	04/13/16	J	A	
200.					Buy (add'l)	11/22/16	J		
201. -HIGHLAND GLOBAL ALLOCATION I	A	Int./Div.			Sold	03/10/16	J		
202. -ISHARES MSCI USA MIN VOL	A	Int./Div.			Buy	03/10/16	J		
203.					Buy (add'l)	04/13/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204.					Sold (part)	11/22/16	J	A	
205. -ISHARES MORTGAGE REAL ESTATE	A	Int./Div.	J	T	Buy	11/22/16	J		
206. -ISHARES MSCI JAPAN ETF	A	Int./Div.			Sold	03/10/16	J		
207. -ISHARES MSCI JAPAN MIN VOL	A	Int./Div.	J	T	Buy	03/10/16	J		
208.					Buy (add'l)	04/13/16	J		
209. -JOHN HANCOCK SEAPORT I	A	Int./Div.			Sold	03/10/16	J		
210. -MATTHEWS ASIAN JAPAN	A				Sold (part)	03/10/16	J		
211.					Buy (add'l)	04/13/16	J		
212.					Sold	11/22/16	J	A	
213. -METROPOLITAN WEST TOT RET BD I	A	Int./Div.	J	T	Sold (part)	03/10/16	J	A	
214.					Buy (add'l)	04/13/16	J		
215.					Sold (part)	11/22/16	J	A	
216. -OAKMARK I	A	Int./Div.			Sold	03/10/16	J		
217. -PIMCO INCOME	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
218.					Buy (add'l)	04/13/16	J		
219. -PIMCO INTL STOCKPLUS AR STR	A	Int./Div.			Sold	03/10/16	J		
220. -PIMCO SHORT TERM P	A	Int./Div.	J	T	Sold (part)	03/10/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	S =Assessment W =Estimated	T =Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221.					Buy (add'l)	04/13/16	J		
222.					Sold (part)	11/22/16	J	A	
223. -SUNAMERICA NEW FOC DIV STRA A	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
224.					Buy (add'l)	04/13/16	J		
225.					Sold (part)	11/22/16	J		
226. -T ROWE PRICE DVRSFD SM CP GR	A	Int./Div.			Sold	03/10/16	J		
227. -T ROWE PRICE MID CAP GR ADV	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
228.					Buy (add'l)	04/13/16	J		
229. -TOUCHSTONE SANDS CAP SEL GR	A	Int./Div.	J	T	Buy (add'l)	03/10/16	J		
230.					Buy (add'l)	04/13/16	J		
231.					Buy (add'l)	11/22/16	J		
232. -VANGUARD INFLAT PROT SEC FUND	A	Int./Div.	J	T	Buy	11/22/16	J		
233. -WISDOMTREE EUROPE HEDGED EQUITY	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
234.					Sold (part)	04/13/16	J		
235.					Buy (add'l)	11/22/16	J		
236. -WISDOMTREE TRUST JAPN HEDGE	A	Int./Div.	J	T	Buy	11/22/16	J		
237. (H) IRA #4 A Inh 509	A	Int./Div.	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Hurwitz, Andrew

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

238. -MORGAN STANLEY BANK N.A.	A	Int./Div.	J	T					
239. -ACTIVE BEAR ETF	A	Int./Div.	J	T	Buy	03/10/16	J		
240.					Sold (part)	04/08/16	J		
241. -COHEN & STEERS PREF SEC & INC (X)	A	Int./Div.	J	T	Buy (add'l)	03/10/16	J		
242.					Sold (part)	04/08/16	J		
243.					Buy (add'l)	11/22/16	J		
244. -COLUMBIA SELECT LG CP GROWTH Z	A	Int./Div.	J	T	Buy (add'l)	03/10/16	J		
245.					Sold (part)	04/08/16	J		
246.					Buy (add'l)	11/22/16	J		
247. -DOUBLELINE SHILLER ENH CAPE	A	Int./Div.	J	T	Buy	03/10/16	J		
248.					Buy (add'l)	04/08/16	J		
249.					Sold (part)	11/22/16	J	A	
250. -DOUBLELINE TOTAL RETURN I	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
251.					Sold (part)	04/08/16	J		
252.					Sold (part)	11/22/16	J		
253. -FIRST EAGLE GOLD	A	Int./Div.	J	T	Buy	03/10/16	J		
254.					Sold (part)	04/08/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

255. -FIRST TRUST DORSEY WRIGHT FD	A	Int./Div.			Sold (part)	03/10/16	J		
256.					Sold (part)	04/08/16	J		
257.					Sold	11/22/16	J		
258. -FIRST TRUST MORTGAGE INCOME	A	Int./Div.	J	T	Buy	11/23/16	J		
259. -GUGGENHEIM S&P 500 PURE VALE	A	Int./Div.			Sold	03/10/16	J		
260. -HENDERSON EUROPEAN FOCUS	A	Int./Div.	J	T	Buy	03/10/16	J		
261.					Sold (part)	04/08/16	J	A	
262.					Buy (add'l)	11/22/16	J		
263. -HIGHLAND GLOBAL ALLOCATION I	A	Int./Div.			Sold	03/10/16	J		
264. -ISHARES MSCI JAPAN ETF	A	Int./Div.			Sold	03/10/16	J		
265. -ISHARES MSCI JAPAN MIN VOL	A	Int./Div.	J	T	Buy	03/11/16	J		
266.					Sold (part)	11/22/16	J	A	
267. -ISHARES MSCI MIN VOL USA	A	Int./Div.	J	T	Buy	03/10/16	J		
268.					Sold (part)	04/08/16	J	A	
269.					Sold (part)	11/22/16	J	A	
270. -ISHARES MORTGAGE REAL ESTATE	A	Int./Div.	J	T	Buy	11/22/16	J		
271.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

272. -JOHN HANCOCK SEAPORT I	A	Int./Div.			Sold	03/10/16	J		
273. -MATTHEWS ASIAN JAPAN INV	A	Int./Div.			Sold (part)	03/10/16	J	A	
274.					Buy (add'l)	04/08/16	J		
275.					Sold	11/22/16	J	A	
276. -METROPOLITAN WEST TOT RET BD I	A	Int./Div.	J	T	Sold (part)	03/10/16	J	A	
277.					Sold (part)	04/08/16	J	A	
278.					Sold (part)	11/22/16	J	A	
279. -OAKMARK I	A	Int./Div.			Sold	03/10/16	J		
280. -PIMCO INCOME	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
281.					Sold (part)	04/08/16	J		
282.					Sold (part)	11/22/16	J		
283. -PIMCO SHORT TERM P	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
284.					Sold (part)	04/08/16	J		
285.					Sold (part)	11/22/16	J		
286. -PIMCO INTL STOCKPLUS AR STR	A	Int./Div.			Sold	03/10/16	J		
287. -SUNAMERICA NEW FOC DIV STRA A	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
288.					Buy (add'l)	04/08/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289.					Sold (part)	11/22/16	J	A	
290. -T ROWE PRICE DVRSFD SM CP GR	A	Int./Div.			Sold	03/10/16	J		
291. -T ROWE PRICE MID CAP GR ADV	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
292.					Sold (part)	04/08/16	J		
293. -TOUCHSTONE SANDS CAP SEL GR	A	Int./Div.	J	T	Buy (add'l)	03/10/16	J		
294.					Buy (add'l)	04/08/16	J		
295.					Buy (add'l)	11/22/16	J		
296. -VANGUARD INFLAT PROT SEC	A	Int./Div.	J	T	Buy	11/22/16	J		
297. -WISDOMTREE EUROPE HEDGED EQUITY	A	Int./Div.	J	T	Sold (part)	03/10/16	J		
298.					Sold (part)	04/08/16	J		
299.					Buy (add'l)	11/22/16	J		
300. -WISDOMTREE TRUST JAPN HEDGE EQUIT	A	Int./Div.	J	T	Buy (add'l)	11/22/16	J		
301. (H) 529 #1 697	A	Int./Div.	K	T					
302. -MORGAN STANLEY BANK N.A.	A	Int./Div.	J	T					
303. -AMERICAN AMCAP 529A	A	Int./Div.	J	T	Buy	01/15/16	J		
304.					Buy	04/13/16	J		
305.					Buy	12/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
306. -AMERICAN MUTUAL 529A	A	Int./Div.	J	T	Buy	01/15/16	J		
307.					Buy	04/13/16	J		
308.					Buy	12/15/16	J		
309. (H) 529 #2 699	A	Int./Div.	K	T					
310. -MORGAN STANLEY BANK N.A.	A	Int./Div.	J	T					
311. -AMERICAN AMCAP 529A	A	Int./Div.	J	T	Buy	01/15/16	J		
312.					Buy	04/13/16	J		
313.					Buy	12/15/16	J		
314. -AMERICAN MUTUAL 529A	A	Int./Div.	J	T	Buy	01/15/16	J		
315.					Buy	04/13/16	J		
316.					Buy	12/15/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Trust #2

-GUGGENHEIM S&P PURE VALE (X) is marked (X) because it was inadvertently indicated on the prior report as sold when in fact it was only partially sold. It was actually still owned at the beginning of the 2016.

Trust #3

Baron Real Estate is marked (X) because it was inadvertently indicated on the prior report as sold when in fact it was only partially sold. It was actually still owned at the beginning of the 2016 and was owned at the end of year with no transactions during the 2016.

IRA #3

RS Select Growth has a (Y) because it was owned at the beginning of the year but the sale was not reportable and none was owned at the end of year

IRA #4

Cohen & Steers Pref Sec & Inc has an (X) because it was purchased this year in multiple transactions that were less than \$1,000 each but it was owned at the end of year and the value was greater than \$1,000.

First Dorsey Wright Fd has a (Y) because it was on the prior year report but it was sold in multiple transactions that were less than \$1,000 each.

Putnam Equity Spectrum has a (Y) because it was on the prior year report but it was sold in multiple transactions that were less than \$1,000 each.

T Rowe Price Dvrsfd Sm Cp Gr has a (Y) because it was owned at the beginning of the year but it was sold in multiple transactions that were less than \$1,000 each.

WisdomTree Europe Hedged Equity has a (Y) because it was on the prior year report but it has fallen to less than \$1,000.

WisdomTree Trust Japn Hedge Equity has a (Y) because it was on the prior year report but it has fallen to less than \$1,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Andrew Hurwitz**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544