

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKibben, Howard D.	2. Court or Organization United States District Court	3. Date of Report 06/25/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address Bruce R. Thompson Courthouse & Federal Building 400 S. Virginia St., Room 607 Reno, Nevada 89501		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Instructor	The National Judicial College, Reno, Nevada
2.	Board Member	Eagle Valley Children's Home
3.	Member Emeritus	Bruce R. Thompson & Howard D. McKibben Inns of Court
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

McKibben, Howard D.

Date of Report

06/25/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Public Employers Retirement System (spouse)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Economics Institute For Judges George Mason University	9/23/2012-9/28/2012	Arlington, Virginia	Seminar	Transportation, Lodging, Meals
2. Economics Institute For Judges George Mason University	12/01/2012-12/07/2012	Coral Gables, Florida	Seminar	Transportation, Lodging, Meals
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

McKibben, Howard D.

Date of Report

06/25/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

McKibben, Howard D.

Date of Report

06/25/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. House - Douglas Co., NV (J)	D	Rent	N	W					
2. 1/2 interest-unimproved land Douglas Co.NV(water rights)(J)		None	O	W					
3. House, South of Newport, Oregon (J)	E	Rent	O	W					
4. Bank of America (2)	A	Interest	M	T					
5. Bank of America, Checking Acct., Gardnerville, NV		None	J	T					
6. Symetra & Nationwide	D	Interest	N	T	Sold (part)	04/03/12	M		
7. Wells Fargo - Certificate of Deposit	A	Int./Div.	K	T	Sold (part)	03/16/12	L		
8. Key Plan Maxi aka Sun Life Financial	B	Interest	L	T					
9. Hartford - Single Premium	A	Interest	K	W					
10. Wells Fargo Adv. Fund	B	Dividend	M	T					
11. AT&T	C	Dividend	L	T					
12. Charles Schwab & Co., Inc.	A	Interest	J	T					
13. Morgan Stanley Sears Liq.Ass.&Liq. Asset FD DSC Exchange	A	Interest	K	T					
14. - (Cont. #13) Morgan Sta Morgan Stan. Tax Free									
15. - (Cont. #13) Daily Income & Active Asset Trust									
16. - (Cont. #13) Money Market Fund (FORMERLY DEAN WITTER)									
17. Public Employees Retirement System of Nevada (S)		None	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

McKibben, Howard D.

Date of Report

06/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Inter Capital Quality Muni (two funds) - now Invesco Quality	A	Interest	J	T					
19. Inter Capital Insured Muni Income - now Invesco Quality	A	Interest	J	T					
20. 10.0 net mineral acre interest - Howard County, Texas		None	J	W					
21. Water Rights, Douglas County, NV		None	J	W					
22. Cisco Systems, Inc.		None	J	T					
23. Dell Computer		None			Sold	09/11/12	J	B	
24. Microsoft	A	Dividend	J	T					
25. Morgan Stanley Dean Witter IRA - Focus Growth		None	J	T					
26. General Electric	A	Dividend	K	T					
27. Dean Witter IRA Account: Proctor & Gamble	A	Dividend	K	T					
28. Dean Witter IRA Account: - T Rowe Price Small Cap		None	K	T	Sold (part)	11/02/12	J	A	
29. NCR Corp.		None			Sold	09/11/12	J	A	
30. Van Kampen Utility Income	A	Interest	K	T					
31. Intel	A	Dividend			Sold	09/11/12	J	B	
32. House - Mono County, California		None	N	W					
33. Bank of America Time Certificate	B	Interest	M	T					
34. Farm - Hardin County, Ohio	D	Rent	M	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

McKibben, Howard D.

Date of Report

06/25/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Series I Bonds (eleven) (See 2 in Part VIII)		None	J	T					
36. Heritage Bank	B	Interest	M	T					
37. Smuckers		None	J	T					
38. Comcast Corp.	A	Dividend	K	T					
39. J.P. Morgan & Chase		None	J	T					
40. Nuveen Tradewinds Int.	A	Dividend	J	T					
41. Nuveen NWQ	A	Dividend	K	T					
42. Teradata		None	J	T					
43. Coca Cola	A	Dividend	J	T					
44. 3 M	A	Dividend	J	T					
45. Apple		None	K	T	Sold (part)	11/20/12	J	C	
46. Nevada State Bank (2 accounts)	A	Interest	M	T					
47. Johnson & Johnson		None	J	T					
48. Plan Member Elite Portfolio III (no control)	C	Int./Div.	M	T	Buy	04/03/12	M		
49. Franklin Tempelton Bond Fund	B	Interest	L	T	Buy	03/16/12	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

McKibben, Howard D.

Date of Report

06/25/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All of our assets are held in trust.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

McKibben, Howard D.

Date of Report

06/25/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Howard D. McKibben**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544