

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Mannes, Paul	2. Court or Organization U.S. Bankruptcy Court MD	3. Date of Report 09/06/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 6500 Cherrywood Lane #385 Greenbelt, MD 20770		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Provincial Foundation
2. Committee on Legislation, Memorial Committee	National Conference of Bankruptcy Judges
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	██████████ - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Conference of Bankruptcy Judges	October 24-27	San Diego, CA.	Annual meeting	Transportation, meals and room.
2. Bankruptcy Bar Association of Maryland	May 4-5	Annapolis, Md.	Annual meeting	Room and meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1	D	Dividend	M	T					
2. -EXWAX					Sold (part)	08/24/12	K	C	
3. -IVV									
4. JGVAX					Buy	08/24/12	K		
5. IRA #2	D	Dividend	M	T					
6. -XLK					Sold (part)	02/07/12	J	A	
7. -UMBWX									
8. -VHCOX					Sold (part)	02/01/12	J	B	
9. BROKERAGE ACCOUNT 1	A	Interest	K	T					
10. -AUXFX	B	Dividend	L	T					
11. -CAT	B	Dividend	K	T	Buy (add'l)	05/21/12	J		
12. -COP	B	Dividend	K	T					
13. -COST	C	Dividend	K	T					
14. -DOO	B	Dividend	K	T	Buy (add'l)	03/19/12	J		
15. -HNZ	A	Dividend			Sold	03/08/12	K	C	
16. -IP	A	Dividend	K	T	Buy	03/08/12	K		
17. -KMB		None	K	T	Buy	09/05/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$15,000	D = \$15,001 - \$50,000 E = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 O = \$50,001 - \$100,000 Q = \$100,001 - \$250,000	L = \$50,001 - \$100,000 P = \$100,001 - \$250,000 R = \$250,001 - \$500,000	M = \$100,001 - \$250,000 P = \$250,001 - \$500,000 R = \$500,001 - \$1,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -MAPIX	A	Dividend	K	T	Buy	02/27/12	K		
19. -NLY	D	Dividend	K	T	Buy (add'l)	10/17/12	J		
20. -PSX	A	Dividend	J	T	Spinoff (from line 12)	05/01/12	J		
21. -RFI	A	Dividend	J	T	Sold	12/07/12	J	B	
22. -VTI	B	Dividend	L	T					
23. -XLI	B	Dividend	K	T					
24. -XLY		None			Sold	03/08/12	J	B	
25. -XME	A	Dividend	K	T					
26. -VISVX	B	Dividend	K	T	Sold (part)	12/07/12	J	C	
27. -VISGX	A	Dividend	K	T					
28. -WWFEX	B	Dividend	L	T					
29. BROKERAGE ACCOUNT # 2	A	Interest	J	T					
30. -BRSIX		None			Sold	12/12/12	J		
31. -BTF		None			Sold	02/22/12	L	E	
32. -CA	A	Dividend			Buy	03/08/12	K		
33. -DOO	B	Dividend	K	T	Buy	03/19/12	J		
34. -IYW	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -EMC		None			Sold	03/08/12	K	E	
36. -HD	A	Dividend	K	T					
37. -MCK	A	Dividend	K	T					
38. -PFF	B	Dividend	K	T					
39. -RTN	A	Dividend	K	T					
40. -T	B	Dividend	K	T	Buy	12/07/12	J		
41. -VGHCX	B	Dividend	L	T					
42. -MDISX	D	Dividend	M	T					
43. -MQIFX	C	Dividend	K	T					
44. -IJK		None			Sold	03/15/12	L	C	
45. BROKERAGE ACCOUNT #3	A	Interest	K	T					
46. -OAKGX	C	Dividend	M	T					
47. -VEIEX	A	Dividend	K	T	Buy (add'l)	03/16/12	J		
48. -VHGEX		None	L	T					
49. -APL	C	Distribution	L	T					
50. -BRK.A		None	M	T					
51. -EPD	B	Distribution	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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52. -IYW	A	Dividend	K	T					
53. -RFI	A	Dividend			Sold	12/07/12	J	A	
54. -UTF	D	Dividend	L	T					
55. -XLK	A	Dividend	L	T					
56. -WSSC BOND	B	Interest	L	T					
57. -MD. STATE BOND	B	Interest	L	T					
58. -WORCESTER C. BOND	B	Interest	L	T					
59. -MDXBX	D	Dividend	M	T					
60. -PRWCX	C	Dividend	L	T					
61. -TRSGX	A	Dividend	K	T					
62. G W & K MUNICIPAL BOND FUND	E	Interest	N	T					
63. Wendtwo LP	C	Distribution	K	W					
64. Potomac Timber Inv. LLC		None	J	W					
65. Citibank	A	Interest	K	T					
66. Bank of America	A	Interest	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Paul Mannes**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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