

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) EATON, RICHARD K.	2. Court or Organization U.S. COURT OF INTERNATIONAL TRADE	3. Date of Report 05/07/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address U.S. COURT OF INTERNATIONAL TRADE ONE FEDERAL PLAZA NEW YORK, NY 10278-0001		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Trust # 1 (the assets of Trust # 1 are in two accounts listed as Trust and Trust II)
2.	Trustee	Ithaca College Board of Trustees
3.	Board of Directors	Federal Judges Association
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 20

Name of Person Reporting

EATON, RICHARD K.

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05/07/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2012	Museum of the City of New York -- Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	February 23-25, 2012	Indianapolis, IN	FJA Board Meeting	Food and Lodging
2. Federal Judges Association	May 8-10, 2012	Washington, DC	FJA Board Meeting	Food and Lodging
3. Judicial Conference Committee on the Judicial Branch	December 3-4, 2012	Washington, DC	Judicial Branch Committee Meeting	Transportation, Food and Lodging
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 20

Name of Person Reporting

EATON, RICHARD K.

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05/07/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA-CREF Savings and Investment Plan	D	Dividend	M	T					
2. Schwab Muni Money Fund (Mutual Fund)	C	Interest	K	T					
3. Goldman Sachs Common	A	Dividend	K	T					
4. Schwab 1000 Mutual Fund	A	Dividend	J	T					
5. Berkshire Hathaway Common (Held in IRA)		None	M	T					
6. Google Common (Held in IRA)		None	K	T					
7. ABB Ltd. (Held in IRA)	A	Dividend	J	T					
8. Ace Ltd. Common (Held in IRA)	A	Dividend	J	T					
9. Anadarko Pet (Held in IRA)	A	Dividend	J	T					
10. Apache (Held in IRA)	A	Dividend	J	T					
11. Apple Common (Held in IRA)	A	Dividend	J	T					
12. Amazon Common (Held in IRA)		None	J	T					
13. American Exp. Common (Held in IRA)	A	Dividend	J	T					
14. Blackrock Inc. (Held in IRA)	A	Dividend	J	T					
15. Citigroup Common (Held in IRA)	A	Dividend	J	T					
16. Cognizant (Held in IRA)		None			Sold	02/14/12	J	A	
17. Covidien (Held in IRA)	A	Dividend			Sold	02/14/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 20

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18. Cummins Common (Held in IRA)	A	Dividend	J	T					
19. Emerson Elec. Common (Held in IRA)	A	Dividend	J	T					
20. Exxon Common (Held in IRA)	A	Dividend	J	T	Buy	02/14/12	J		
21. Gilead Common (Held in IRA)		None	J	T					
22. Mead Johnson (Held in IRA)	A	Dividend	J	T					
23. Nestle (Held in IRA)	A	Dividend	J	T					
24. Oracle (Held in IRA)	A	Dividend	J	T					
25. Potlatch Common (Held in IRA)	A	Dividend	J	T					
26. Republic Services Common (Held in IRA)	A	Dividend	J	T					
27. Teva Common Common (Held in IRA)	A	Dividend	J	T					
28. Diageo Common (Held in IRA)	A	Dividend	J	T	Buy	02/13/12	J		
29. IBM Common (Held in IRA)	A	Dividend	J	T					
30. Johnson Controls (Held in IRA)	A	Dividend	J	T					
31. JP Morgan Common (Held in IRA)	A	Dividend			Sold	02/13/12	J	A	
32. Merck (Held in IRA)	A	Dividend	J	T					
33. Ralph Lauren Common (Held in IRA)	A	Dividend	J	T					
34. Plumb Cr. Timber (Held in IRA)	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 20

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35. Precision Cast. Common (Held in IRA)	A	Dividend	J	T	Buy	02/14/12	J		
36. Nuance Com. Common (Held in IRA)	A	Dividend	J	T					
37. Qualcomm Common (Held in IRA)	A	Dividend	J	T	Buy	07/30/12	J		
38. Rockwell Aut. Common (Held in IRA)	A	Distribution	J	T	Buy	02/14/12	J		
39. Schlumberger Common (Held in IRA)	A	Dividend	J	T	Buy	02/13/12	J		
40. Vodafone ADR (Held in IRA)	A	Dividend	J	T					
41. Wisdomtree ETF (Held in IRA)	A	Dividend	J	T	Buy	7/30/12	J		
42. Weatherford Intl (Held in IRA)		None			Sold	12/07/12	J	A	
43. 1 Shares Iboxx (Held in IRA)	A	Interest	J	T					
44. 1 Shares Barclays (Held in IRA)	A	Interest	J	T					
45. Vanguard Emerging Markets Mutual Fund (Held in IRA)	A	Dividend	J	T					
46. Valley National Bancorp Common	G	Dividend	P1	T					
47. Citibank Accounts	D	Interest	K	T					
48. House, Cabin and Land, Park County, MT	E	Rent	P1	W					
49. Abbott Laboratories Common	A	Dividend	K	T					
50. Agilent Tech. Common	A	Dividend	J	T	Buy	04/25/12	J		
51. Allergan Common	A	Dividend	K	T	Buy	03/04/12	K		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 20

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52. Amer. Ex. Common	A	Dividend	K	T	Buy	02/10/12	K		
53. Analog Dev. Common	A	Dividend			Sold	03/04/12	L	A	
54. Apache Common	A	Dividend	K	T					
55. Apple Common	D	Dividend	N	T					
56. Ascena Ret. Common		None			Sold	03/04/12	L	A	
57. Boardwalk Pipe Common	A	Dividend	K	T					
58. Brookfield Ptrs. Common	B	Dividend			Sold	03/04/12	K		
59. Cellegene Corp. Common		None			Sold	03/23/12	L	D	
60. Cerner Corp. Common		None	K	T	Buy	01/03/12	K		
61. Cummins Common	A	Dividend	K	T	Buy	03/04/12	K		
62. Costco Common	A	Dividend	K	T					
63. Emerson Electric Common	B	Dividend	K	T					
64. Gilead Sciences Common		None	L	T					
65. Google Common		None	K	T	Buy	01/03/12	K		
66. IBM Common	A	Dividend	K	T	Buy	03/12/12	K		
67. Johnson Cont. Common	A	Dividend	K	T	Buy	01/03/12	K		
68. Goldman Sachs Common	A	Dividend			Sold	03/12/12	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 20

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69. JP Morgan Common	A	Dividend			Sold	04/30/12	K	A	
70. Kellogg Common	A	Dividend	K	T	Buy	06/15/12	K		
71. McDonalds Common	B	Dividend	L	T					
72. Mead Johnson	A	Dividend	J	T					
73. Nike Common	A	Dividend	K	T					
74. Oracle Common	A	Dividend	J	T	Buy	02/01/12	J		
75. Pepsico Common	A	Dividend	J	T	Buy	01/03/12	J		
76. Precision Cast. Common	A	Dividend	K	T					
77. Philip Morris Common	B	Dividend	L	T					
78. Qualcomm Common	B	Dividend	K	T					
79. Schlumberger Ltd. Common	A	Dividend	L	T					
80. Teva Pharm. Common	A	Dividend	J	T	Buy	03/13/12	J		
81. United Tech Common	A	Dividend	K	T					
82. Verizon	A	Dividend	J	T	Buy	02/01/12	J		
83. Ace Ltd. Common	A	Dividend	J	T	Buy	02/01/12	J		
84. Altria Group Inc. Common	C	Dividend	K	T					
85. Amazon Common		None	K	T	Buy	03/13/12	K		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 20

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86. Anadarko Pet. Common	A	Dividend	K	T					
87. Blackrock Common	B	Dividend	K	T					
88. Bunge Ltd. Common	A	Dividend	K	T					
89. Chevron Texaco Common	B	Dividend	K	T					
90. Corning Common	A	Dividend			Sold	03/30/12	K	A	
91. Covidien Common	A	Dividend	K	T	Buy	02/23/12	K		
92. Devon Energy Common	A	Dividend	J	T	Buy	03/30/12	J		
93. Diageo Common	B	Dividend	K	T					
94. Dominion Resources Common	B	Dividend	K	T					
95. Exxon Mobil Corp. Common	A	Dividend	K	T					
96. Hengan Int. Common		None	L	T	Buy	05/31/12	L		
97. Hyundai Motors Common		None	K	T					
98. Joy Global	A	Dividend	K	T					
99. Merck Common	B	Dividend	K	T					
100. Nestle Common	B	Dividend	K	T	Buy	03/30/12	K		
101. Met Life Inc., Common	A	Dividend	K	T					
102. Wisdomtree Ener. Common	C	Dividend	M	T	Buy	06/29/12	M		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 20

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103. Franklin Sm Cp Fd Common	B	Dividend	L	T	Buy	02/01/12	L		
104. Pioneer Sm Cp Fund		None	L	T	Buy	02/01/12	L		
105. Morgan Stanley China A Mutual Fund		None			Sold	03/30/12	K	A	
106. Vanguard Emerging Markets Mutual Fund	A	Dividend	J	T					
107. Vodafone ADR	B	Interest	K	T					
108. American Express Corporate Bonds	D	Interest	M	T					
109. Anheuser-Busch Corp. Bds	B	Interest	M	T	Buy	02/23/12	M		
110. Caterpillar Corp. Bds.	B	Interest	M	T	Buy	02/23/12	M		
111. Conagra Foods Corporate Bond	A	Interest			Sold	02/23/12	M	A	
112. Bell South Corporate Bonds	D	Interest	M	T					
113. Credit Suisse Corporate Bonds	A	Interest			Sold	02/23/12	M	A	
114. Dell Inc. Corporate Bonds	A	Interest			Sold	02/23/12	M	A	
115. Dominion Resources Corporate Bonds	D	Interest	M	T					
116. DOW Corporate Bonds	D	Interest	M	T					
117. GE Corporate Bonds	D	Interest	M	T					
118. Goldman Sachs Corporate Bonds	D	Interest	M	T					
119. Sempa Energy Corporate Bonds	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Simon PPTY Gp Corp. Bds.	C	Interest	M	T	Buy	02/23/12	M		
121. Yum Brand Corporate Bonds	C	Interest	M	T					
122. Nassau County Revenue Bond Municipal Bond	B	Interest	L	T					
123. NYS Environ Bond Municipal Bond	C	Interest	L	T					
124. Taconic Partners Mutual Fund X	E	Distribution	O	T					
125. Apache Inc. Common (Trust)	A	Dividend	J	T					
126. Altria Common (Trust)	A	Dividend	J	T					
127. Amazon Common (Trust)		None	J	T					
128. Anadarko Pet Common (Trust)	A	Dividend	J	T					
129. Agilent Tech. Common (Trust)	A	Dividend	J	T	Buy	01/03/12	J		
130. Boardwalk Pipe Common (Trust)	A	Dividend			Sold	04/30/12	J	A	
131. Borg Warner Common (Trust)	A	Dividend			Sold	03/12/12	J	A	
132. Blackrock Common (Trust)	A	Dividend	J	T					
133. Bunge Ltd. Common Trust	A	Dividend	J	T					
134. Chevron Common (Trust)	A	Dividend	J	T	Buy	01/03/12	J		
135. Cognizant Tech (Trust)		None			Sold	01/03/12	J	A	
136. Covidien (Trust)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Corning Inc. Common (Trust)	A	Dividend			Sold	03/12/12	J	A	
138. Cummins Common (Trust)	A	Dividend	J	T					
139. Devon Energy Common (Trust)	A	Dividend	J	T	Buy	03/04/12	J		
140. Diageo Common (Trust)	A	Dividend	J	T					
141. Dominion Resources Common (Trust)	A	Dividend	J	T					
142. Express Scripts Common (Trust)		None	J	T	Buy	01/03/12	J		
143. Exxon Common (Trust)	A	Dividend	J	T					
144. Home Depot Common (Trust)	A	Dividend			Sold	03/12/12	J	A	
145. Hengan Int. Group Common (Trust)	A	Dividend			Sold	01/03/12	L	B	
146. Hyundai Common (Trust)		None	J	T					
147. ICIC Bank Common (Trust)	A	Dividend			Sold	01/03/12	J	A	
148. IBM Common (Trust)	A	Dividend	J	T	Buy	01/03/12	J		
149. Johnson Cont. Comon (Trust)	A	Dividend	J	T					
150. Mead Johnson Nutrition Common (Trust)	A	Dividend	J	T					
151. Merck Common (Trust)	A	Dividend	J	T					
152. Metlife Common (Trust)	A	Dividend	J	T					
153. Nestle Common (Trust)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Nuance Comm.		None	J	T					
155. Oracle Common (Trust)	A	Dividend			Sold	03/04/12	J		
156. Philip Morris Common (Trust)	A	Dividend	J	T					
157. Precision Cast. Common (Trust)	A	Dividend	J	T					
158. Ralph Lauren Common (Trust)	A	Dividend			Sold	01/03/12	J	A	
159. Taiwan Semi Common (Trust)	A	Dividend			Sold	04/30/12	J	A	
160. United Tech. Common (Trust)	A	Dividend	J	T					
161. Weatherford Common (Trust)		None			Sold	06/15/12	J	A	
162. Verizon Common (Trust)	A	Dividend	J	T					
163. Wisconsin Energy Common (Trust)	A	Dividend			Sold	06/15/12	J	A	
164. Wisdomtree Common (Trust)	A	Dividend	J	T	Buy	03/04/12	J		
165. ABB Ltd. Common (Trust)	A	Dividend	J	T	Buy	03/12/12	J		
166. Abbott Laboratories Common (Trust)	A	Dividend	J	T					
167. Ace Ltd. Common (Trust)	A	Dividend	J	T	Buy	07/30/12	J		
168. American Ex. Common (Trust)	A	Dividend	J	T	Buy	07/30/12	J		
169. Apple Common (Trust)	A	Dividend	J	T	Buy	01/03/12	J		
170. Coca Cola Common (Trust)	A	Dividend			Sold	03/04/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Costco Common (Trust)	A	Dividend	J	T					
172. Emerson Elec. Common (Trust)	A	Dividend	J	T					
173. EMC Corp. Common (Trust)		None	J	T	Buy	03/04/12	J		
174. Gilead Sciences Common (Trust)		None	J	T					
175. Google Common (Trust)		None	J	T					
176. Joy Global Common (Trust)	A	Dividend	J	T					
177. Kellogg Co. Common (Trust)	A	Dividend	J	T	Buy	01/03/12	J		
178. McDonald Common (Trust)	A	Dividend	J	T					
179. Nike Common (Trust)	A	Dividend	J	T					
180. Pepsico Common (Trust)	A	Dividend	J	T					
181. Proctor & Gamble Common (Trust)	A	Dividend	J	T					
182. Qualcomm Common (Trust)	A	Dividend	J	T					
183. Siemens Common (Trust)		None			Sold	01/03/12	J	A	
184. Schlumberger Common (Trust)	A	Dividend	J	T					
185. Teva Pharm. Common (Trust)	A	Dividend	J	T	Buy	03/04/12	J		
186. Vanguard Emerg. Common (Trust)		None			Sold	01/03/12	J	A	
187. Goldman Sachs Corporate Bonds (Trust)	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 15 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Bellsouth Corporate Bonds (Trust)	A	Interest	L	T					
189. Credit Suisse Corporate Bonds (Trust)	A	Interest	L	T					
190. Nassau City Municipal Bond (Trust)	A	Interest	L	T					
191. NYS Environ Municipal Bond (Trust)	A	Interest	L	T					
192. Abb Ltd. Common (Trust II)	A	Dividend	J	T					
193. Agilent Tech Common (Trust II)	A	Dividend	J	T	Buy	04/25/12	J		
194. Apache Common (Trust II)	A	Dividend	J	T	Buy	02/22/12	J		
195. Blackrock Common (Trust II)	A	Dividend	J	T					
196. Bunge Common (Trust II)	A	Dividend	J	T					
197. Chevron Common (Trust II)	A	Dividend	J	T					
198. Corning Inc. Common (Trust II)	A	Dividend			Sold	03/08/12	J	B	
199. Covidien Common (Trust II)	A	Dividend	J	T					
200. Devon Energy Common (Trust II)	A	Dividend	J	T					
201. Dominion Res Common (Trust II)	A	Dividend			Sold	02/22/12	J	A	
202. Exxon Mobil Common (Trust II)	A	Dividend	K	T					
203. Hengan Common (Trust II)	A	Dividend	K	T					
204. HSBC Common (Trust II)	A	Dividend			Sold	02/28/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 16 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. Hyundai Common (Trust II)		None	J	T					
206. JP Morgan Chase Common (Trust II)	A	Dividend			Sold	05/16/12	K	A	
207. IBM Common (Trust II)	A	Dividend	J	T	Buy	03/12/12	J		
208. Mead Johnson Common (Trust II)	A	Dividend	J	T					
209. Merck Common (Trust II)	A	Dividend	J	T					
210. Metlife Inc. Common (Trust II)	A	Dividend			Sold	12/14/12	J	A	
211. Phillip Morris Common (Trust II)	A	Dividend	J	T					
212. Precision Cast. Common (Trust II)	A	Dividend	J	T					
213. Ralph Lauren Common (Trust II)	A	Dividend	J	T	Buy	04/13/12	J		
214. United Tech Common (Trust II)	A	Dividend	J	T					
215. Verizon Common (Trust II)	A	Dividend	J	T					
216. Wisconsin Energy Common (Trust II)	A	Dividend			Sold	02/28/12	J	A	
217. Abbott Laboratories Common (Trust II)	A	Dividend			Sold	09/28/12	J	B	
218. Ace Ltd. Common (Trust II)	A	Dividend	J	T					
219. Altria Common (Trust II)	A	Dividend			Sold	03/08/12	J	A	
220. Anadarko Pet. Common (Trust II)	A	Dividend	J	T					
221. Amazon Common (Trust II)		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 17 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Apple Common Common (Trust II)		None			Sold	09/28/12	K	D	
223. Costco Common (Trust II)	A	Dividend	J	T					
224. Cummins Common (Trust II)	A	Dividend	J	T					
225. Pepsico Common (Trust II)	A	Dividend	J	T					
226. American Ex Common (Trust II)	A	Dividend	J	T					
227. Cognizant Common (Trust II)		None	J	T					
228. Diageo Common (Trust II)	A	Dividend	J	T					
229. Emerson Electric Common (Trust II)	A	Dividend	J	T					
230. Gilead Sciences Common (Trust II)		None	J	T					
231. Google Common (Trust II)		None	J	T					
232. Joy Global Common (Trust II)	A	Dividend	J	T	Buy	03/19/12	J		
233. Johnson Cont. Common (Trust II)	A	Dividend	J	T					
234. McDonalds Common (Trust II)	A	Dividend	J	T					
235. Nike Common (Trust II)	A	Dividend	J	T					
236. Oracle Common (Trust II)	A	Dividend			Sold	09/28/12	J	A	
237. Proctor & Gamble Common (Trust II)	A	Dividend	J	T					
238. Qualcomm Common (Trust II)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 18 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. Schlumberger Common (Trust II)	A	Dividend	J	T					
240. Teva Pharm. Common (Trust II)	A	Dividend	J	T					
241. Wisdomtree ETF (Trust II)	A	Dividend	J	T	Buy	09/28/12	J		
242. Weatherford Common (Trust II)		None			Sold	06/15/12	J	A	
243. Vanguard ETF (Trust II)		None	J	T					
244. Goldman Sachs Corporate Bonds (Trust II)	A	Interest	L	T					
245. Bellsouth Corporate Bonds (Trust II)	A	Interest	L	T					
246. Caterpillar Corp. Bds. (Trust II)	A	Interest	K	T	Buy	06/27/12	K		
247. Credit Suisse Corporate Bonds	A	Interest	L	T					
248. Nassau City Municipal Bond (Trust II)	A	Interest	L	T					
249. NYS Environ Municipal Bond (Trust II)	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 19 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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FINANCIAL DISCLOSURE REPORT

Page 20 of 20

Name of Person Reporting

EATON, RICHARD K.

Date of Report

05/07/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: S/ **RICHARD K. EATON**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544