

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Whinery, Brenda M.	2. Court or Organization U.S. Bankruptcy Court, District of Arizona	3. Date of Report 04/03/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 02/28/2013
7. Chambers or Office Address James A. Walsh U.S. Courthouse 38 S. Scott Ave Tucson, AZ 85701		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)
☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2. Shareholder, President (terminated 12/31/2012)	Mesch, Clark & Rothschild, P.C.
3. Trustee (terminated 12/31/2012)	Mesch, Clark & Rothschild, P.C. - Pension and Profit Sharing Plan
4. Partner (terminated 12/31/2012)	259 N Meyer Assoc. II
5. Member (terminated 12/31/2012)	285-295 N Meyer Assoc. LLC
6. Creditor Trustee (terminated 1/24/2013)	Estate of Fort Defiance Housing Corp. (Court Appointed Chapter 11 Trustee)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)
☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2002	Mesch, Clark & Rothschild 401(k) Retirement Plan (in process of removing funds to qualified rollover accounts)
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

04/03/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2011	Mesch, Clark & Rothschild, P.C.	\$333,740.00
2. 2012	Mesch, Clark & Rothschild, P.C.	\$575,636.00
3. Jan. 2013	Mesch, Clark & Rothschild, P.C.	\$43,501.00
4. Dec. 2012	Mesch, Clark & Rothschild, P.C. (stock buyout)	\$27,500.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Exempt				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

04/03/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Exempt		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Chase Card Services	Credit Card	J
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

04/03/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - Accounts	A	Interest	K	T	Exempt				
2. Chase Bank - Accounts	A	Interest	L	T	Exempt				
3. Trust #1					Exempt				
4. -Bank of America - Account	B	Interest	O	T	Exempt				
5. Wells Fargo Brokerage Account - Trust					Exempt				
6. -Cash - Wells Fargo	A	Interest	L	T	Exempt				
7. -Alerian MLP - Stock ETF	B	Dividend	K	T	Exempt				
8. -Chevron Corp Stock	A	Dividend	J	T	Exempt				
9. -National Retail PPTYS Stock	B	Dividend	K	T	Exempt				
10. -Bank of America Corp Stock	A	Dividend			Sold	01/15/13	J	A	
11. -Ford Motor Credit Co Bond	A	Interest	K	T	Exempt				
12. -Goldman Sachs Group Bond	A	Interest	K	T	Exempt				
13. -Citigroup Funding Inc Bond	A	Interest	K	T	Exempt				
14. -Hartford Financial Services Bond	A	Interest	K	T	Exempt				
15. -Morgan Stanley Bond	A	Interest	K	T	Exempt				
16. -General Motors Preferred Stock	B	Dividend	K	T	Exempt				
17. -Vanguard Index 500 Trust	A	Dividend	K	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

04/03/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Golf Links Green Building LLC	C	Distribution	K	U	Exempt				
19. -Forbes Warehouse LLC	C	Distribution	K	U	Exempt				
20. -NW Ambulatory Building LLC	D	Distribution	K	U	Exempt				
21. -Fremont Street LLC	A	Distribution	K	U	Exempt				
22. -259 N Meyer Assoc II	A	Distribution			Sold	12/31/12	M	F	Partnership re-purchased
23. -285-295 N Meyer LLC	C	Distribution			Sold	12/31/12	K	A	LLC re-purchased
24. Wells Fargo IRA					Exempt				
25. -Cash	A	Interest	L	T	Exempt				
26. -Walt Disney Co. Stock	A	Dividend	J	T	Exempt				
27. -General Electric Co. Stock	A	Dividend	J	T	Exempt				
28. -Home Depot Inc. Stock	A	Dividend	J	T	Exempt				
29. -Intel Corp Stock	A	Dividend	J	T	Exempt				
30. -Int'l Rectifier Corp Stock	A	Dividend	J	T	Exempt				
31. -Manpower Group Stock	A	Dividend	J	T	Exempt				
32. -Dow Chemical Bond	A	Interest	K	T	Exempt				
33. -Pimco FDS Total Return Fund	A	Interest	K	T	Exempt				
34. Mesch, Clark & Rothschild 401(k)					Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

04/03/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -Wells Fargo Brokerage Acct Cash	A	Interest	M	T	Exempt				
36. -Fremont LLC	C	Distribution	K	U	Exempt				
37. -Wattis Runway Drive	C	Distribution	K	U	Exempt				
38. -Morgan Stanley Bond	A	Interest	K	T	Exempt				
39. -John Hancock Lifestyle Growth Fund	B	Dividend	L	T	Exempt				
40. Mesch, Clark & Rothschild Stock		None			Sold	12/31/12	J		Sold back to Partners
41. 529 Account - Washington Mutual Investors Funds-ShareClass C	B	Dividend	K	T	Exempt				
42. Jackson National Life Annuity	A	Interest	L	T	Exempt				
43. Northwestern Mutual Adjustable Comp Life	B	Dividend	M	T	Exempt				
44. Vanguard Index 500	E	Dividend	J	T	Exempt				
45. Wells Fargo Brokerage Account									
46. -Walt Disney Co. Stock	A	Dividend	J	T	Exempt				
47. -Intel Stock	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

04/03/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Whinery, Brenda M.

Date of Report

04/03/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Brenda M. Whinery**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544