

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Elrod, Jennifer W.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 06/06/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Court of Appeals, Active Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 515 Rusk Avenue, 12th Floor Houston, TX 77002-2603		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Regents Member	Baylor University
2. Board Member	Houston Urban Debate League
3. Board Member	Garland R. Walker American Inn of Court
4. Board Member	Federal Judges Association
5. Advisory Board Member	Baylor University Center for Christian Music Studies
6. Emeritus Board Member (non-voting)	Texas Center for Legal Ethics

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	Employee Retirement System of Texas (since 2002), no control - not vested
2. 2014	Texas County and District Retirement System (since 2002), no control - not vested
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2014	Allconnect, Inc - salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Baylor University	2/13/2014-2/14/2014	Waco, TX	Board Meeting	Lodging and Meals
2. Fed Soc/Stamford and Berkeley Law Schools	3/17/2014-3/18/2014	Palo Alto, CA and Berkely, CA	Speaking to law students	Travel and Meals
3. Baylor University	4/11/2014-4/12/2014	Waco, TX	Planning Meetinga at Law School	Lodging and Meals
4. Dallas Bar Assn	05/02/2014	Dallas, TX	Speaking to Attorneys for Law Day	Travel and Meals
5. Federal Judges Association	05/03/2014-05/04/2014	Washington, DC	Board Meeting	Travel, Lodging, and Meals
6. Baylor University	05/15/2014-05/16/2014	Waco, TX	Board Meeting	Lodging and Meals

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7.	American Law Institute	05/19/2014-05/21/2014	Washington, DC	Annual Conference	Travel, Lodging, and Meals
8.	Baylor University	07/16/2014-07/18/2014	Waco, TX	Board Meeting	Lodging and Meals
9.	Dallas Bar Assn.	09/09/2014	Dallas, TX	Speaking to Attorneys	Travel and Meals
10.	Fee Soc/UT Law School	10/02/2014	Austin, TX	Speaking to law students	Meal
11.	Fed Soc/Harvard Law School	10/06/2014	Cambridge, MA	Speaking to law students	Travel and Meals
12.	Baylor University	10/23/2014	Waco, TX	Board Meeting	Meal
13.	Baylor University	10/31/2014-11/02/2014	Waco, TX	Board Meeting	Meals
14.	Federalist Society	11/12/2014-11/13/2014	Washington, DC	Speaking at Convention	Travel, Lodging, and Meals
15.	Appellate Judges Education Institute	11/15/2014-11/16/2014	Dallas, TX	Speaking at Conference	Lodging and Meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Fidelity Balanced Fund	C	Dividend	L	T					
2. Fidelity GNMA Fund	A	Dividend	J	T					
3. Fidelity Government Income(X)	A	Dividend	K	T					
4. Fidelity Growth & Income Fund	A	Dividend	K	T					
5. Fidelity Real Estate Investment	A	Dividend	K	T					
6. Fidelity Short Term Bond	A	Dividend	J	T					
7. Fidelity Small Cap Stock	D	Dividend			Sold	12/31/14	K	E	
8. Fidelity Total Bond	A	Dividend	J	T					
9. iShares Core S&P Total US Stock	B	Dividend	K	T	Sold (part)	12/31/14	K	D	
10. iShares Core Total US Bond	B	Dividend	L	T					
11. iShares Trust MSCI Total Intl Stk	B	Dividend	M	T					
12. Janus Fund Class T	D	Dividend	K	T	Sold (part)	11/25/14	J	D	
13. JH Total Stock Mkt Index Fund 401k	A	Int./Div.	J	T					
14. Landus International Marketmasters Fund(X)	B	Dividend	M	T					
15. Fidelity Merger Fund	A	Dividend	J	T					
16. Perkins Mid Cap Value Fund	D	Dividend	K	T	Sold (part)	11/25/14	J	A	
17. Pimco Short Term Admin SHS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Pimco Total Return Admin SHS	A	Dividend	J	T					
19. SCH US AGG Bond ETF	A	Dividend	L	T	Buy (add'l)	12/08/14	K		
20. Schwab MarketMgr International	B	Dividend	L	T					
21. Schwab Small Cap Index Fund	C	Distribution	L	T					
22. Schwab Total Stk Market	D	Dividend	O	T	Donated (part)				
23. Templeton Global Bond	A	Dividend	J	T					
24. Vanguard Lifestrategy Growth	C	Dividend	M	T					
25. Vanguard College Savings Iowa 529 Age - based Savings Track A	A	Dividend	K	T					
26. Texas County and District Retirement System	A	Interest	J	T					
27. Employee Retirement System of Texas	B	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Line 14: This investment was aquired 11/11/2013, but was inadvertently left of the 2013 report. Noted as per Instructions page 39.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jennifer W. Elrod**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544