

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) TEILBORG, JAMES A.	2. Court or Organization U. S. DISTRICT COURT	3. Date of Report 05/07/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. DISTRICT JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2013 to 12/31/2013
7. Chambers or Office Address 401 WEST WASHINGTON STREET SUITE 523-SPC 51 PHOENIX, AZ 85003-2154		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1997	METROPOLITAN LIFE # 1 (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2013	METROPOLITAN LIFE (STRUCTURED SETTLEMENT - COMPENSATION FOR PRIOR SERVICES)	\$13,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. LIBERTY UNIVERSITY	MARCH 22- 24, 2013	LYNCHBURG, VA	PRESIDING AT MOOT COURT COMPETITION	TRANSPORTATION, FOOD AND HOTEL
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ++++RAW LAND, (2000, \$79,000.)		None	L	R					
2. (H) PACIFIC LIFE VARIABLE ANNUITY # 1									
3. --- PIMCO INFLATION MANAGED		None	J	T					
4. --- PIMCO MANAGED BOND		None	J	T					
5. --- T. ROWE PRICE SHRT DUR BD(PREV. MANAGED BY GOLMAN SACHS)		None	K	T					
6. --- COMSTOCK-INVESCO (PREV. MANAGED BY VAN KAMPEN)		None	L	T					
7. --- JANUS FOCUSED 30		None	K	T					
8. ---SCOUT MID-CAP EQUITY (PREV. MANAGED BY LAZARD)		None	K	T					
9. --- BLACK ROCK SMALL CAP INDEX		None	K	T					
10. --- JPMORGAN INT'L VALUE (PREV. MANAGED BY BERNSTEIN)		None	K	T					
11. --- DIVIDEND GROWTH-T ROWE PRICE		None	L	T					
12. THERMOSURGERY, INC.		None	J	T					
13. (H) NATIONWIDE FLEXIBLE VARIABLE UNIVERSAL LIFE POLICY									
14. --- NVIT MID CAP INDEX 1		None	K	T					
15. --- DREY VIF APPRECIATION PT		None	K	T					
16. --- OPP MDCAP/VA NSS		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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17. --- OPP MS ST/VA NSS		None	K	T					
18. --- JnsAspOverseas Svc(Formerly JAS INTL GRTH PORT SV SHRS)		None	K	T					
19. --- NVIT Mult-Mgr MdCap Gr 1		None	J	T					
20. (H) EQUITABLE LIFE POLICY									
21. --- EQ/ INTRMDTE GOVT BOND INDX(FORMERLY ALLBRNSTN INT GOVT)		None	K	T					
22. --- EQ/ BLACK ROCK BASIC VALUE EQUITY		None	K	T					
23. --- EQ/ MID CAP		None	K	T					
24. --- EQ/ LARGE CAP CORE PLUS		None	J	T					
25. --- EQ/ EQUITY GROWTH PLUS (FORMERLY EQ /FOCUS PLUS)		None	K	T					
26. --- EQ/ INTERNATIONAL CORE PLUS		None	J	T					
27. --- MULTIMANAGER SMALL CAP VALUE		None	K	T					
28. [REDACTED] HANGER [REDACTED], INC.		None	L	U					
29. FIRST CREDIT UNION ACCOUNTS		None			Closed	03/08/13	J		
30. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2013-2016A (NO CONTROL)	A	Int./Div.	K	T					
31. JOHN HANCOCK FREEDOM 529, PORTFOLIO A, (NO CONTROL)	A	Int./Div.	J	T					
32. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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33. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2017-2020A, (NO CONTROL)	A	Int./Div.	K	T					
34. JOHN HANCOCK FREEDOM 529, PORTFOLIO 2021-20524A (NO CONTROL)	A	Int./Div.	J	T					
35. NUVEEN MUNICIPAL VALUE FUND	A	Dividend	J	T					
36. (H) LINCOLN NATIONAL AMERICAN LEGACY III VIEW 14L									
37. --- BL CHP INCOME & GROWTH		None	L	T					
38. ---GROWTH		None	M	T					
39. --- GROWTH & INCOME		None	L	T					
40. --- GLOBAL SMALL CAP		None	K	T					
41. --- INTERNATIONAL		None	L	T					
42. --- NEW WORLD		None	K	T					
43. --- GLOBAL GROWTH-INCOME		None	K	T					
44. --- GLOBAL GROWTH		None	L	T					
45. --- BOND		None	K	T					
46. --- GLOBAL BOND		None	K	T					
47. --- GOV'T/AAA SECURITIES		None	K	T					
48. --- HIGH INCOME BOND		None	J	T					

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49. AXA EQUITABLE LIFE INSURANCE	A	Int./Div.	M	T					
50. GENERAL AMERICAN WHOLE LIFE INSURANCE POLICY	A	Int./Div.	J	T					
51. FIA CARD SERVICES	A	Dividend	L	T					
52. ISHARES MBS BOND (FORMERLY ISHARES BARCLAYS MBS BOND)	B	Dividend	M	T					
53. (H) PRUDENTIAL RETIREMENT B SERIES ANNUITY									
54. ---AST SCHRODERS MULTI-ASSET WORLD STRAT.		None	L	T					
55. ---AST ACADEMIC STRATEGIES ASSET ALLOCATION		None	L	T					
56. ---AST GOLDMAN SACHS MULTI-ASSET(FORMERLY HORIZON MODERATE ASSET ALLO)		None	L	T					
57. ---AST BLACKROCK GLOBAL STRATEGIES PORT.		None	L	T					
58. ELEMENTS - ROGERS TR SV=4126.81		None			Sold (part)	04/01/13	K		
59.					Sold	06/03/13	K		
60. ISHARES DOW JONES US REAL ESTATE	A	Dividend			Buy	01/02/13	K		
61.					Sold (part)	03/21/13	J	A	
62.					Sold	07/08/13	K	B	
63. ISHARES GOLD TR		None			Sold (part)	02/01/13	K		
64.					Sold	05/01/13	K		

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65. ISHARES IBOXX \$ INV GRADE CORP BD FD	B	Dividend	K	T					
66. ISHARES IBOXX HIGH YIELD CORP BD FD	B	Dividend	K	T					
67. ISHARES JP MORGAN EM BOND	A	Dividend			Sold	08/01/13	K		
68. ISHARES MSCI EAFE INDEX FD	D	Dividend	N	T	Sold (part)	03/21/13	J	A	
69.					Sold (part)	12/18/13	J	A	
70. ISHARES RUSSELL 1000 GROWTH INDEX FD		None			Sold	01/02/13	M	D	
71. VANGUARD CONSUMER STAPLES ETF	B	Dividend	L	T	Sold (part)	03/21/13	J	A	
72.					Sold (part)	12/18/13	J	A	
73. VANGUARD FINANCIALS ETF	A	Dividend	L	T	Sold (part)	03/21/13	J	A	
74.					Sold (part)	12/18/13	J	A	
75. VANGUARD HEALTH CARE ETF		None			Sold	01/02/13	K		
76. VANGUARD INDUSTRIAL ETF		None			Sold	01/02/13	K	C	
77. VANGUARD INTERMEDIATE TERM BOND ETF	C	Dividend	L	T	Buy	01/02/13	K		
78.					Sold (part)	08/01/13	K		
79. VANGUARD MATERIALS ETF		None			Sold	04/01/13	K	B	
80. VANGUARD MSCI EMERGING MRKTS ETF	B	Dividend			Sold (part)	06/03/13	L		
81.					Sold	07/01/13	L		

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82. VANGUARD SHORT TERM BOND	B	Dividend	M	T	Sold (part)	01/02/13	K		
83.					Buy (add'l)	02/01/13	J		
84.					Buy (add'l)	04/02/13	J		
85.					Buy (add'l)	05/01/13	K		
86.					Buy (add'l)	06/03/13	K		
87.					Buy (add'l)	07/01/13	K		
88.					Buy (add'l)	07/08/13	K		
89.					Buy (add'l)	10/01/13	K		
90.					Sold (part)	11/01/13	M		
91.					Sold (part)	12/02/13	L	A	
92. VANGUARD TELECOMM SRVCS ETF		None			Sold	01/02/13	K	A	
93. VANGUARD CONSUMER DISCRETIONARY ETF		None			Buy	01/02/13	K		
94.					Sold (part)	03/21/13	J	A	
95.					Sold	04/01/13	K	C	
96. VANGUARD ENERGY ETF	A	Dividend	L	T	Buy	01/02/13	K		
97.					Sold (part)	03/21/13	J	A	
98.					Sold (part)	12/18/13	J	A	

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99. VANGUARD SMALL CAP VALUE ETF	C	Dividend	M	T	Buy	01/02/13	M		
100.					Sold (part)	03/21/13	J	B	
101.					Sold (part)	12/18/13	J	C	
102. VANGUARD UTILITIES ETF	B	Dividend	K	T	Buy	01/02/13	K		
103.					Sold (part)	03/21/13	J	A	
104. VANGUARD HEALTH CARE ETF		None			Buy	04/01/13	K		
105.					Sold	10/01/13	L	D	
106. VANGUARD INDUSTRIAL ETF		None			Buy	04/01/13	K		
107.					Sold	07/01/13	K	B	
108. ELEMENTS - ROGERS TR DUE 10/24/22		None	K	T	Buy	07/01/13	J		
109.					Buy (add'l)	09/03/13	K		
110.					Sold (part)	10/01/13	J	A	
111. ISHARES 1 - 3 YEAR TREASURY BOND ETF	A	Dividend	L	T	Buy	06/03/13	K		
112.					Buy (add'l)	07/01/13	K		
113.					Buy (add'l)	07/08/13	J		
114.					Buy (add'l)	08/01/13	L		
115.					Sold (part)	09/03/13	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

TEILBORG, JAMES A.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
116. VANGUARD CONSUMER DISCRETIONARY ETF	A	Dividend	K	T	Buy	07/01/13	K		
117. ISHARES GOLD TR		None			Buy	09/03/13	K		
118.					Sold	10/01/13	K		
119. VANGUARD INDUSTRIAL ETF	A	Dividend	K	T	Buy	10/01/13	K		
120. VANGUARD MSCI EMERGING MARKETS	A	Dividend	M	T	Buy	11/01/13	M		
121. ISHARES GOLD TR		None	K	T	Buy	12/02/13	K		
122. VANGUARD REIT ETF	A	Dividend	K	T	Buy	12/02/13	K		
123.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAMES A. TEILBORG

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544