

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Amon, Carol B.	2. Court or Organization U. S. District Court, EDNY	3. Date of Report 10/31/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 225 Cadman Plaza East Room 908S Brooklyn, New York 11201		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2012	Brooklyn Law School - Teaching	\$1,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Self-Employed Attorney - Law Partnership Distribution
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Pepperdine University School of Law	March 15 - 18, 2012	Malibu, California	Lecturer	Transportation, lodging and food.
2. Federal Bar Council	May 1, 2012	NY, NY	Law Day Dinner	Food
3. Respect For Law Alliance	May 30, 2012	NY, NY	Reception and Banquet	Food
4. Brooklyn Law School Pre-Commencement Dinner	May 31, 2012	Brooklyn, NY	Pre-Commencement Dinner	Food
5. Maritime Law Association of the United States	November 9, 2012	NY, NY	Dinner	Food

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Passumpsic Bank	Mortgage on rental property - Newport, Vermont (Part VII, Line 19)	M
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Accts Citibank, Bklyn,NY FidelityAd.DynamicCapitalAppFud	B	Dividend	K	T					
2. Law Partnership,Cap.Acct		None	M	T					
3. Pension Plan	A	Interest	J	T					
4. PrfShrPlan	A	Interest	J	T					
5. Citibank N.A., Money Market	B	Interest	M	T					
6. FidelityContraFund II	A	Dividend	J	T					
7. Fidelity IndependenceFund	A	Dividend	J	T					
8. Aim Dynamics FD Class B (Name change Invesco/Aim	A	Dividend	J	T					
9. Fidelity Ad. Equity Growth	A	Dividend	K	T					
10. Fidelity Ad. Tech Fund	A	Dividend	J	T					
11. Legg Mason Partners Financial Services Fund B	A	Dividend	J	T					
12. Legg Mason Partners Large CapValue Fund B	A	Dividend	J	T					
13. MFS Moderate Allocation	B	Dividend	M	T					
14. Chase Select Checking	A	Interest	J	T					
15. Encore Networks, Inc. (See VIII)		None	M	T					
16. Rental Property Newport, Vt (SeeVIII)		None	N	W					
17. Equitable Variable Life Policy	B	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Alternative Construction Tech, Inc. (See VIII) (Y)									
19. Rental Property Newport, VT (See VIII)	D	Rent	M	R					
20. Cranston II. LLC (See VIII) (Y)									
21. Aim Technology FD	A	Dividend	J	T					
22. Sonic Mountains Inc.		None	N	U					
23. Citibank CD	A	Interest	J	T					
24. Johnson & Johnson Stock	A	Dividend	J	T					
25. Spoleto Corp. (See VIII)	C	Interest	M	T					
26. Pimco Total Return Fund (Bonds)	C	Interest	M	T					
27. Franklin NY Tax Free Income Bond Fund	B	Interest	L	T	Buy	10/26/12	L		
28. JPMorgan Chase Brokerage Acct #1 Header (See VIII)									
29. Williams Companies	A	Dividend	J	T	Buy	03/01/12	J		
30. Williams Companies	A	Dividend	J	T	Sold (part)	06/27/12	J	A	
31. Williams Companies	A	Dividend	J	T	Sold (part)	06/29/12	J	A	
32. Abbot Labs	A	Dividend	J	T	Buy	03/14/12	J		
33. Abbot Labs	A	Dividend	J	T	Buy (add'l)	07/12/12	J		
34. 3M Co.	A	Dividend	J	T	Buy	03/14/12	J		

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35. 3M Co.	A	Dividend	J	T	Buy (add'l)	07/12/12	J		
36. Arthur Gallagher Co.	A	Dividend	J	T	Buy	04/20/12	J		
37. Arthur Gallagher Co.	A	Dividend	J	T	Buy (add'l)	04/27/12	J		
38. Arthur Gallagher Co.	A	Dividend	J	T	Buy (add'l)	07/12/12	J		
39. AT&T, Inc.	A	Dividend	J	T	Buy	03/14/12	J		
40. AT&T, Inc.	A	Dividend			Sold (part)	04/04/12	J	A	
41. ADP, Inc.	A	Dividend	J	T	Buy	03/14/12	J		
42. Century Link, Inc.		None	J	T	Buy	03/14/12	J		
43. Chevron Corp.	A	Dividend	J	T	Buy	03/14/12	J		
44. Chevron Corp.	A	Dividend	J	T	Buy (add'l)	07/12/12	J		
45. Cincinnati Financial Corp.	A	Dividend	J	T	Buy	03/14/12	J		
46. Cinemark Holdings, Inc.	A	Dividend	J	T	Buy	03/14/12	J		
47. Cinemark Holdings, Inc.	A	Dividend	J	T	Sold (part)	05/01/12	J		
48. Cinemark Holdings, Inc.	A	Dividend	J	T	Sold (part)	05/02/12	J	A	
49. CME Group Inc.	A	Dividend	J	T	Buy	03/14/12	J		
50. CMS Energy Corp.	A	Dividend	J	T	Buy	03/14/12	J		
51. CMS Energy Corp.	A	Dividend	J	T	Buy (add'l)	07/12/12	J		

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52. Coca-Cola Co.	A	Dividend	J	T	Buy	03/14/12	J		
53. Coca-Cola Co.	A	Dividend	J	T	Buy (add'l)	07/12/12	J		
54. Conoco Phillips	A	Dividend	J	T	Buy	03/14/12	J		
55. Conoco Phillips	A	Dividend	J	T	Buy (add'l)	07/12/12	J		
56. Cullen Frost Bankers	A	Dividend	J	T	Buy	07/12/12	J		
57. Dr. Pepper Snapple Group Inc.	A	Dividend			Buy	03/14/12	J		
58. Dr. Pepper Snapple Group Inc.	A	Dividend			Sold (part)	04/19/12	J	A	
59. Dr. Pepper Snapple Group Inc.	A	Dividend			Sold (part)	04/23/12	J	A	
60. Dr. Pepper Snapple Group Inc.	A	Dividend			Sold	04/24/12	J	A	
61. E.I. DuPont deNemours & Co.	A	Dividend			Buy	03/14/12	J		
62. E.I. DuPont deNemours & Co.	A	Dividend			Buy (add'l)	07/13/12	J		
63. E.I. DuPont deNemours & Co.	A	Dividend			Sold (part)	10/24/12	J	A	
64. E.I. DuPont deNemours & Co.	A	Dividend			Sold	11/16/12	J	A	
65. Emerson Electric Co.	A	Dividend	J	T	Buy	03/14/12	J		
66. Genuine Parts Co.	A	Dividend			Buy	03/14/12	J		
67. Genuine Parts Co.	A	Dividend			Buy (add'l)	07/13/12	J		
68. Genuine Parts Co.	A	Dividend			Sold (part)	12/10/12	J	A	

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69. Genuine Parts Co.	A	Dividend			Sold	12/18/12	J	A	
70. Hershey Company	A	Dividend	J	T	Buy	04/16/12	J		
71. Home Depot, Inc.	A	Dividend			Buy	03/14/12	J		
72. Home Depot, Inc.	A	Dividend			Sold	03/26/12	J	A	
73. Home Depot Inc.	A	Dividend	J	T	Buy	07/12/12	J		
74. Intel Corp.	A	Dividend			Buy	03/14/12	J		
75. Intel Corp.	A	Dividend			Sold	10/10/12	J	A	
76. JM Smucker Co.	A	Dividend			Buy	03/14/12	J		
77. JM Smucker Co.	A	Dividend			Sold	04/16/12	J	A	
78. Johnson & Johnson	A	Dividend	J	T	Buy	03/14/12	J		
79. Johnson & Johnson	A	Dividend	J	T	Buy (add'l)	07/13/12	J		
80. KLA-Tencor Corp.	A	Dividend	J	T	Buy	03/14/12	J		
81. Kraft Foods Inc. Class A	A	Dividend			Buy	03/14/12	J		
82. Kraft Foods Inc. Class A	A	Dividend			Buy (add'l)	07/13/12	J		
83. Kraft Foods Inc. Class A	A	Dividend			Sold	10/31/12	J	A	
84. Lincare Holdings Inc.	A	Dividend			Buy	03/14/12	J		
85. Lincare Holdings Inc.	A	Dividend			Sold	06/27/12	J	A	

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86. Lincare Holdings Inc.	A	Dividend	J	T	Buy	07/13/12	J		
87. Limited Brands Inc.	A	Dividend	J	T	Buy	07/27/12	J		
88. Lorillard Inc.	A	Dividend			Buy	03/14/12	J		
89. Lorillard Inc.	A	Dividend			Sold	03/30/12	J	A	
90. Lorillard Inc.	A	Dividend	J	T	Buy	07/12/12	J		
91. Lorillard Inc.	A	Dividend	J	T	Buy (add'l)	07/17/12	J		
92. M&T Bank Corp.	A	Dividend	J	T	Buy	03/14/12	J		
93. M&T Bank Corp.	A	Dividend			Buy (add'l)	07/12/12	J		
94. McDonald's Corp.	A	Dividend			Buy	03/14/12	J		
95. McDonald's Corp.	A	Dividend			Sold	12/11/12	J	A	
96. Merck & Co. Inc.	A	Dividend	J	T	Buy	03/14/12	J		
97. Merck & Co. Inc.	A	Dividend	J	T	Buy (add'l)	04/04/12	J		
98. Merck & Co. Inc.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
99. McGraw Hill Co. Inc.	A	Dividend	J	T	Buy	12/10/12	J		
100. Merck & Co. Inc.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
101. Microsoft Corp.	A	Dividend	J	T	Buy	03/14/12	J		
102. Microsoft Corp.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		

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103. Molex Inc.	A	Dividend	J	T	Buy	03/14/12	J		
104. Mondelez Int'l	A	Dividend	J	T	Buy	12/19/12	J		
105. Nextera Energy Inc.	A	Dividend			Buy	03/14/12	J		
106. Nextera Energy Inc.	A	Dividend			Buy (add'l)	07/12/12	J		
107. Nextera Energy Inc.	A	Dividend			Sold	09/19/12	J	A	
108. Northeast Utilities	A	Dividend	J	T	Buy	03/14/12	J		
109. Northeast Utilities	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
110. Nsource Services Inc.	A	Dividend	J	T	Buy	12/19/12	J		
111. One Beacon Ins. Group Ltd.	A	Dividend			Buy	03/14/12	J		
112. One Beacon Ins. Group Ltd.	A	Dividend			Sold (part)	06/29/12	J		
113. One Beacon Ins. Group Ltd.	A	Dividend			Sold (part)	07/10/12	J		
114. One Beacon Ins. Group Ltd.	A	Dividend			Sold	07/30/12	J	A	
115. Oneok Inc.	A	Dividend	J	T	Buy	03/14/12	J		
116. Oneok Inc.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
117. Oneok Inc.	A	Dividend	J	T	Sold (part)	12/19/12	J	A	
118. Oneok Inc.	A	Dividend			Sold (part)	12/31/12	J	A	
119. Pfizer Inc.	A	Dividend	J	T	Buy	03/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pfizer Inc.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
121. People's United Financial	A	Dividend			Buy	03/14/12	J		
122. People's United Financial	A	Dividend			Sold (part)	06/27/12	J	A	
123. People's United Financial	A	Dividend			Sold	07/15/12	J	A	
124. Phillip Morris Int'l	A	Dividend	J	T	Buy	03/14/12	J		
125. PPG Industries, Inc.	A	Dividend	J	T	Buy	03/14/12	J		
126. Procter & Gamble Co.	A	Dividend	J	T	Buy	03/14/12	J		
127. Procter & Gamble Co.	A	Dividend	J	T	Sold (part)	05/17/12	J	A	
128. Procter & Gamble Co.	A	Dividend	J	T	Sold (part)	07/12/12	J	A	
129. Sempra Energy	A	Dividend	J	T	Buy	03/14/12	J		
130. Sempra Energy	A	Dividend	J	T	Buy (add'l)	04/19/12	J		
131. Sempra Energy	A	Dividend	J	T	Buy (add'l)	04/20/12	J		
132. Sempra Energy	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
133. Sempra Energy	A	Dividend			Sold (part)	09/25/12	J	A	
134. Snap On	A	Dividend	J	T	Buy	03/14/12	J		
135. Snap On	A	Dividend	J	T	Buy (add'l)	07/12/12	J		
136. Snap On	A	Dividend			Sold (part)	07/27/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Snap On	A	Dividend			Sold (part)	07/31/12	J	A	
138. Southern Co.	A	Dividend			Buy	03/14/12	J		
139. Southern Co.	A	Dividend			Sold	06/20/12	J	A	
140. Petra Energy Corp.	A	Dividend	J	T	Buy	03/14/12	J		
141. Petra Energy Corp.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
142. T. Rowe Price Group Inc.	A	Dividend	J	T	Buy	03/14/12	J		
143. T. Rowe Price Group Inc.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
144. The Travelers Companies Inc.	A	Dividend	J	T	Buy	03/14/12	J		
145. The Travelers Companies Inc.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
146. Time Warner Inc.	A	Dividend	J	T	Buy	03/14/12	J		
147. Time Warner Inc.	A	Dividend			Sold (part)	03/26/12	J	A	
148. Tupperware Corp.	A	Dividend	J	T	Buy	12/20/12	J		
149. Validus Holdings Ltd.	A	Dividend	J	T	Buy	03/14/12	J		
150. Validus Holdings Ltd.	A	Dividend	J	T	Buy (add'l)	12/06/12	J		
151. Verizon Communications	A	Dividend	J	T	Buy	03/14/12	J		
152. Verizon Communicatons	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
153. Williams Sonoma Inc.	A	Dividend	J	T	Buy	03/14/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Amon, Carol B.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Williams Sonoma Inc.	A	Dividend	J	T	Buy (add'l)	04/04/12	J		
155. Williams Sonoma Inc.	A	Dividend	J	T	Buy (add'l)	12/10/12	J		
156. Wells Fargo & Co.	A	Dividend	J	T	Buy	03/14/12	J		
157. Wells Fargo & Co.	A	Dividend	J	T	Buy (add'l)	07/16/12	J		
158. Yum Brands	A	Dividend	J	T	Buy	12/10/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Re: Part VII

Item 15 - Market value of vested options less exercise price on 12/31/11.

Item 16 - Rental property located in City of Newport, Chittendon County, Vermont; [REDACTED] in 1994. Value at date acquired - \$200,000.

Item 18 - Full description - Alternative Construction Tech, Inc., F/K/A Alternative Construction Co., Inc. (Restricted Stock).

Item 19 - Rental property located in City of Newport, Chittendon County, Vermont acquired [REDACTED] on September 5, 2005. Purchase price - \$220,000.

Item 20 - Interest in Cranston II, LLC is owned through Denmark Management, LLC.

Item 25 - Asset management company owned [REDACTED] .

Item 28 - Managed Asset Account of Spoleto Corp.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: *s/ Carol B. Amon*

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544