

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gross, Kevin	2. Court or Organization U.S. Bankruptcy Court, DE	3. Date of Report 05/23/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2016 to 12/31/2016
7. Chambers or Office Address 824 N. Market St, 6th Floor Wilmington, DE 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1 (no reportable assets)
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. New York Institute of Credit	01/19/16	New York, NY	11th Annual NYIC/ AIRA Joint Bankruptcy & Restructuring Conference	train & parking
2. Annual Review of Insolvency Law	02/04 - 02/05/16	Vancouver, CAN	13th Annual ARIL Conference	airfare, baggage, train & parking
3. American Bar Association	04/06 - 04/07/16	Montreal, Quebec CAN	ABA Business Law Section Spring Meeting	airfare, baggage, taxi, parking & lodging
4. American Bankruptcy Institute	10/06- 10/07/16	Washington, DC	Views From The Bench	parking, train & lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. State of Israel 7th Dev Reg Savings Amended Bond 46513ENN8	A	Interest	J	T					
2. State of Israel 5th SABRA SAV 3Y 46513A2C3	A	Interest	J	T					
3. State of Israel 6th Mazel Tov Sav Bond 46513BJ74	A	Interest	J	T					
4. Newberger Berman Brokerage Account #1 (H)									
5. -Dreyfus Treas Prime Cash Mgmt Inv Shs money market fund		None			Closed	06/13/16	J		
6. -Dreyfus Treasury Sec Cash Mgmt Admin money market fund		None	J	T	Open	06/13/16	J		
7. -Neuberger Berman Genesis FD Institutional Class mutual fund	A	Dividend	J	T	Sold (part)	08/02/16	J		
8.	A	Distribution			Sold (part)	11/08/16	J	A	
9. -Occidental Pete Corp common stock	B	Dividend	K	T					
10. -American International Group Inc New common stock	A	Dividend			Sold	04/25/16	J	A	
11. -Koninklijke Philips N V common stock	A	Dividend			Sold	07/07/16	J		
12. -Express Scripts Holding Company common stock		None			Sold	07/07/16	J	A	
13. -Oracle Corporation common stock	A	Dividend			Sold	07/07/16	J	A	
14. -California Resources Corporation common stock		None	J	T	Sold (part)	04/06/16	J		
15. -Textron Inc common stock	A	Dividend			Sold	07/07/16	J		
16. -General Electric Co common stock	A	Dividend			Sold	07/07/16	J	A	
17. -Check Point Software Technologies LTD common stock		None			Sold	07/07/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Lincoln National Corp Inc common stock		None			Sold	02/19/16	J		
19. -Neuberger & Berman International Equity Fund Inst mutual fund	A	Dividend	J	T	Sold (part)	11/08/16	J		
20. -Neuberger & Berman Equity Fd Emerging Mkts Equity Fd Inst mutual fund	A	Dividend	J	T	Sold (part)	11/08/16	J	A	
21. -Neuberger Berman Intrinsic Value Cl Institutional mutual fund	A	Dividend	J	T	Buy (add'l)	02/19/16	J		
22.	A	Distribution			Buy (add'l)	08/04/16	J		
23.					Sold (part)	11/08/16	J		
24. -Neuberger Berman Equity FDS Dividend Growth Fd Instl Cl mutual fund	A	Dividend	J	T	Buy	05/18/16	J		
25.					Buy (add'l)	07/11/16	J		
26.					Buy (add'l)	07/19/16	J		
27.					Buy	07/29/16	J		
28.					Sold (part)	11/08/16	J	A	
29. M&T Bank checking account	A	Interest	J	T					
30. IRA #1 (H)									
31. -Guardian Fund Investor Class mutual fund		None			Closed	03/14/16	K		
32. IRA #2 (H)									
33. -Guardian Fund Investor Class mutual fund	C	Interest	K	T					
34. MetLife common stock	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. MetLife common stock	A	Dividend	J	T					
36. 1/3 interest in Trisis-oil & gas royalty interest & land in									
37. Scurry, Tyler & Wood Counties, TX	E	Royalty	O	W					
38. IRA Rollover Acct #1 (H)									
39. -Dreyfus Treas Prime Cash Mgmt Inv shs money mkt fd	A	Int./Div.			Closed	06/13/16	M		
40. -Dreyfus Treasury Sec Cash Mgmt Admin money market fund	A	Int./Div.	M	T	Open	06/13/16	M		
41. -Neuberger Berman Genesis FD Institutional Class mutual fund	A	Dividend	M	T					
42.	D	Distribution							
43. -Alphabet Inc common stock		None	M	T	Sold (part)	11/14/16	L	D	
44. -Valmont Industries Inc common stock	A	Dividend			Sold	01/15/16	K	C	
45. -Honeywell Intl Inc common stock	B	Dividend	L	T	Sold (part)	03/23/16	K	E	
46. -Roche Holding LTD Spon ADR REPSTG ORD	B	Dividend			Sold	04/29/16	L	E	
47. -Cisco Systems Inc common stock	B	Dividend	L	T					
48. -American International Group Inc New common stock	A	Dividend			Sold (part)	02/08/16	K	D	
49.					Sold	04/21/16	K	D	
50. -Neuberger & Berman Equity FDS Equity Income FD Instl mutual fund	C	Dividend	M	T					
51.	C	Distribution							

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. -Koninkluke Philips NV common stock	B	Dividend	L	T					
53. -Exxon Mobil Corp common stock	B	Dividend	L	T					
54. -Express Scripts Holding Company common stock		None	L	T					
55. -Lennar Corp Cl A common stock	A	Dividend	K	T					
56. -Sandisk Corp common stock		None			Sold	02/29/16	L	D	
57. -Invesco Ltd common stock		None			Sold	02/09/16	L		
58. -Checkpoint Software Technologies LTD common stock		None			Sold	07/22/16	L	D	
59. -E I DuPont De Nemours & Co common stock		None			Sold	02/01/16	K		
60. -Eastman Chemical Co common stock	A	Dividend			Sold	04/19/16	K		
61. -Kimberly Clark Corp common stock	B	Dividend	K	T					
62. -Nordstrom Inc common stock		None			Sold	02/10/16	K		
63. -Nvida Corp common stock	A	Distribution	M	T	Sold (part)	05/04/16	K	D	
64.					Sold (part)	10/17/16	J	D	
65.					Sold (part)	12/28/16	L	F	
66. -Oracle Corp common stock	A	Dividend			Sold	10/27/16	L		
67. -Pioneer Natural Resources Company common stock	A	Dividend	L	T					
68. -Proctor & Gamble Co common stock	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. -Textron Inc common stock	A	Dividend	L	T	Buy (add'l)	02/10/16	K		
70. -General Electric Co common stock	B	Dividend	K	T					
71. -Schlumberger Ltd common stock	A	Dividend	K	T					
72. -Eli Lilly & Co common stock	B	Dividend	L	T					
73. -Ralph Lauren Corp C1 A common stock	A	Dividend			Sold	02/18/16	K		
74. -Berkshire Hathaway Inc Del C1 B common stock		None	K	T					
75. -Apple Inc common stock	A	Dividend	K	T					
76. -Lincoln National Corp common stock	A	Dividend			Sold	02/19/16	K		
77. -Best Buy Company Inc common stock	B	Dividend	L	T					
78. -Comcast Corp New SPL C1 A common stock	A	Dividend	K	T					
79. -Motorola Solutions common stock	A	Dividend			Sold	12/29/16	K	D	
80. -EOG Res Inc common stock	A	Dividend	L	T					
81. -Raytheon Co common stock	A	Dividend	K	T					
82. -Conagra Foods Inc common stock	A	Dividend	K	T					
83. -United Parcel Svc Inc C1 B common stock	B	Dividend	K	T	Buy	01/08/16	K		
84. -Nokia Corp ADR		None			Buy	02/01/16	K		
85.					Sold	05/13/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. -Mondelez International Inc common stock	A	Distribution	L	T	Buy	02/08/16	K		
87.					Buy (add'l)	02/10/16	K		
88. -International Paper Co common stock	B	Dividend	L	T	Buy	02/09/16	K		
89. -Whirlpool Corp common stock	B	Dividend	K	T	Buy	02/12/16	K		
90. -Fedex Corp common stock	A	Dividend	L	T	Buy	03/01/16	K		
91. -Walt Disney Co common stock	A	Dividend			Buy	03/18/16	K		
92.					Sold	11/07/16	K		
93. -Industrial DiSeno Textile SA Euro 03 common stock	A	Dividend	K	T	Buy	04/01/16	K		
94. -Automatic Data Processing Inc common stock	A	Dividend	K	T	Buy	04/14/16	K		
95. -American Tower Corp Isin common stock	A	Dividend	K	T	Buy	06/15/16	K		
96. -Neuberger Berman Guardian FD Investor Class	A	Dividend	K	T					
97.	B	Distribution							
98. -Carnival Corp Paired CTF common stock	A	Dividend	L	T	Buy	07/14/16	K		
99. -General Dynamics Crp common stock	A	Dividend	L	T	Buy	07/28/16	K		
100. -Allergan PLC common stock		None	K	T	Buy	08/03/16	L		
101. -Union Pacific Corp common stock	A	Dividend	L	T	Buy	08/23/16	K		
102. -NXP Semiconductors NV common stock		None			Buy	08/24/16	K		

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103.					Sold	12/08/16	K	D	
104. -Boston Beer Company Inc Cl A common stock		None	J	T	Buy	12/02/16	J		
105.					Buy (add'l)	12/05/16	K		
106. -Hyatt Hotels Corp common stock		None	K	T	Buy	12/05/16	K		
107. -Versum Matls Inc common stock		None	K	T	Buy	12/20/16	K		
108. -Lamb Westin Hldgs Inc common stock		None			Spinoff (from line 82)	11/10/16	J		
109.					Sold	12/05/16	J	B	
110. IRA #3-Wells Fargo Bank N.A.	A	Interest	J	T					
111. IRA #4-Wells Fargo Bank N.A.	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gross, Kevin

Date of Report

05/23/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 31: Was rolled into IRA Rollover Acct that starts on line 38. Balance is now shown on line 96.

Part VII, line 96 Account received 1,754.76 shares on 03/14/2016 rolled over from IRA previously reported on line 31.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gross, Kevin

Date of Report

05/23/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Kevin Gross**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544