

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S.	2. Court or Organization US District Court - DNJ	3. Date of Report 08/08/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Board of Directors	Federal Judges Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 1/12-12/12	Partner, Law Firm - [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Federal Judges Association	5-8-12 - 5-10-12	Washington, DC	FJA Board Meeting	Hotel, Transportation, Meals
2. Committee on Defender Services	6-10-12 - 6-12-12	Washington, DC	Committee Meeting	Hotel, Transportation, Meals
3. Committee on Defender Services	12-2-12 - 12-05-12	Naples, FL	Committee Meeting	Hotel, Transportation, Meals
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1									
2. - Arbor Realty Trust Inc. Common Stock	A	Distribution	J	T					
3. - Consolidated Edison Inc. Common Stock	A	Dividend	K	T					
4. - Duke Energy (Holding) NKA Due Energy Corp New Common Stock	A	Dividend	J	T					See Note in Part VIII
5. - Encana Corp-Cad Common Stock	A	Dividend	J	T					
6. - Enterprise Prods Partners LP Common Stock	A	Distribution	K	T					
7. - GlaxoSmithKline PLC SP ADR Common Stock	A	Dividend	J	T					
8. - JP Morgan Chase & Co. Common Stock	A	Dividend	K	T					
9. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T					
10. - Nokia Corp. Sponsored ADR Common Stock	A	Dividend			Sold (part)	10/05/12	J		
11.					Sold	10/08/12	J		
12. - Pentair Inc. Common Stock	A	Dividend			Merged (with line 85)	10/01/12	K		See Note in Part VIII
13. - Pfizer Inc. Common Stock	A	Dividend	J	T					
14. - Sasol Ltd., Spons. ADR Common Stock	A	Dividend	K	T					
15. - Walt Disney Co. Common Stock	A	Dividend	K	T	Sold (part)	10/08/12	J	C	
16. - Altria Group Inc. Common Stock	A	Dividend	J	T					
17. - Aqua America Inc. Common Stock	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - AT&T Inc. Common Stock	B	Dividend	K	T					
19. - Chesapeake Energy Corp. Common Stock	A	Dividend	J	T	Sold (part)	09/17/12	J		
20.					Sold (part)	10/08/12	J		
21. - Honeywell Intl Inc. Common Stock	A	Dividend	K	T					
22. - Microsoft Corp Common Stock	A	Dividend	J	T					
23. - Mitsubishi UFJ Financial Group Inc. ADR Common Stock	A	Dividend	J	T					
24. -Procter & Gamble Co. Common Stock	A	Dividend	K	T					
25. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T	Sold (part)	04/27/12	J	B	
26. -CVS Caremark Corp. Common Stock	A	Dividend	J	T	Sold (part)	10/05/12	J	B	
27.					Sold (part)	10/08/12	J	B	
28. - Transocean Ltd Switzerland Common Stock	A	Dividend			Sold	10/05/12	J		
29. - Seaspan Corp Common Stock	A	Distribution	J	T					
30. - Energy Transfer Partners LP Common Stock	A	Distribution	K	T					
31. - Home Depot Inc Common Stock	A	Dividend	J	T	Sold (part)	10/08/12	J	C	
32. - Layne Christensen Co Common Stock		None	J	T					
33. - Lowes Companies Inc Common Stock	A	Dividend	J	T					
34. - McDonalds Corp Common Stock	A	Dividend	K	T	Sold (part)	10/05/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. - Wells Fargo & Co Common Stock	A	Dividend	J	T	Buy (add'l)	08/29/12	J		
36.					Buy (add'l)	12/03/12	J		
37.					Buy (add'l)	12/20/12	J		
38.					Buy (add'l)	12/21/12	J		
39. - Bristol Myers Squibb Co. Common Stock	A	Dividend	K	T	Buy (add'l)	08/09/12	J		
40. - Conagra Foods Inc. Common Stock	A	Dividend	K	T	Buy (add'l)	12/21/12	J		
41. - Deere & Co. Common Stock	A	Dividend	K	T					
42. - El Paso Corp. Common Stock	A	Dividend			Sold	05/24/12	J	B	See Note in Part VIII
43. - General Electric Co. Common Stock	A	Dividend	J	T					
44. - H J Heinz Co. Common Stock	A	Dividend	K	T					
45. - Kellogg Co. Common Stock	A	Dividend	J	T					
46. - Kraft Foods NKA Mondelez Intl Inc. Common Stock	A	Dividend	J	T					See Note in Part VIII
47. - National Oilwell Varco Inc. Common Stock	A	Dividend	J	T					
48. - Nustar Energy LP Common Stock	A	Distribution	K	T	Buy (add'l)	09/07/12	J		
49. - Otter Tail Corp. Common Stock	A	Dividend			Sold	05/02/12	J		
50. - Parker-Hannifin Corp. Common Stock	A	Dividend	K	T					
51. - Philip Morris Intl Inc. Common Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Quanta Services Inc. Common Stock		None	J	T					
53. - Raytheon Company Common Stock	A	Dividend	J	T					
54. - Cenovus Energy Inc. Common Stock	A	Dividend	K	T					
55. - General Cable Corp Comon Stock		None	J	T					
56. - Unilever NY NY Shs Common Stock	A	Dividend			Sold	04/10/12	J	B	
57. - Florida Citizens Pty Ins Corp Sr Sec Municipal Bond	B	Interest	K	T					
58. - NJ Edu Facs Auth Rev Rfndg Bonds - Uni of Med/Den of NJ	C	Interest	L	T					
59. - New Jersey ST Edl Facs Auth Rev Refdg - Kean Univ Bond	B	Interest	K	T					
60. - California St Var Purp Genl Oblig Municipal Bond	B	Interest	K	T					
61. - AXT Inc. Common Stock		None	J	T					
62. - Boeing Co. Common Stock	A	Dividend	K	T	Buy (add'l)	05/11/12	J		
63. - New York Community Bancorp Common Stock	A	Dividend	J	T					
64. - Public Service Enterprise Grp Common Stock	A	Dividend	J	T					
65. - Total S.A Spons ADR Common Stock	A	Dividend			Sold (part)	05/11/12	J		
66.					Sold	06/06/12	J		
67. - Tupperware Corp. Common Stock	A	Dividend			Sold	04/10/12	J	B	
68. - Vodafone Group PLC Spons ADR New Common Stock		None			Sold	04/10/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. - Whirlpool Corp Common Stock	A	Dividend	J	T					
70. - Casino Reinvestment Dev Auth NJ Bond	A	Interest	K	T					
71. - NJ Higher Ed Assistant Auth Bond	B	Interest	K	T					
72. - El Du Pont De Nemours & Co Common Stock	A	Dividend	J	T	Buy (add'l)	12/03/12	J		
73.					Buy (add'l)	12/21/12	J		
74. - Eaton Corp Common Stock	A	Dividend			Sold	12/03/12	J	B	See Note in Part VIII
75. - Emerson Electric Co Common Stock	A	Dividend	J	T	Buy (add'l)	09/17/12	J		
76. - Nucor Corp Common Stock	A	Dividend	J	T					
77. - Virgin Islands PFA Rev Bond	B	Interest	K	T					
78. - Citibank NA Bank Deposit Program	A	Interest	L	T					See Note in Part VIII
79. - Buckeye Partners LP	A	Distribution	J	T	Buy	05/02/12	J		
80. - Eaton Corp. PLC SHS Common Stock		None	J	T	Spinoff (from line 74)	12/03/12	J		See Note in Part VIII
81. - Kinder Morgan Inc. Common Stock	A	Dividend	J	T	Spinoff (from line 42)	06/01/12	J		See Note in Part VIII
82. - Kraft Foods Group Inc. Common Stock		None	J	T	Spinoff (from line 46)	10/01/12	J		See Note in Part VIII
83. - Mine Safety Appliances Co Common Stock		None	J	T	Buy	12/20/12	J		
84.					Buy (add'l)	12/21/12	J		
85. - Pentair Ltd. Common Stock	A	Dividend	K	T					See Note in Part VIII

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

86. - Weyerhaeuser Co. Common Stock		None	J	T	Buy	12/03/12	J		
87. - Xylem Inc. Common Stock	A	Dividend	J	T	Buy	07/27/12	J		
88. BROKERAGE ACCOUNT #2									
89. - Fidelity Investments Money Market Account	A	Dividend	J	T					
90. - Western Asset Municipal Money Market	A	Interest	J	T					
91. BROKERAGE ACCOUNT #3									
92. - Citibank NA Bank Deposit	A	Interest	L	T					
93. IRA/401K ACCOUNTS									
94. - IRA Smith Barney Money Funds Inc. Class A	A	Interest	J	T					
95. - IRA Smith Barney Money Funds Class A	C	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting	Date of Report
Hayden, Katharine S.	08/08/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII-Line 4 - Due to a .333 to 1 Reverse Split on 7/5/2012, shares in Duke Energy Corp. (Holding Company) Common Stock were exchanged for Duke Energy Corp. New Common Stock and cash in lieu of fractional shares

Part VII, Line 12 and Line 85 - Due to a Merger on 10/1/2012, shares in Pentair Inc. (Line 12) were exchanged for Pentair Ltd. Common Stock (Line 85)

Part VII-Lines 42 and Line 81 - Due to a Merger on 6/1/2012, shares in El Paso Corp.(Line 42) were exchanged for cash and shares in Kinder Morgan Inc. (Line 81) and WTS Kinder Morgan Inc. However, WTS Kinder Morgan Inc. value is under \$1000 and therefore not reportable.

Part VII, Line 46 and Line 82 - Due to a Stock Dividend, holders of Kraft Foods Inc. (Line 51) received shares in Kraft Foods Group Inc. Common Stock (Line 82)

Part VII- Line 46 - Due to a Name Change on 10/5/2012, Kraft Foods Inc. Common Stock is now known as Mondelez International Inc. Common Stock

Part VII-Lines 74 and Line 80 - Due to a Merger on 12/3/2012, shares in Eaton Corp. (line 81) were exchanged for cash and shares in Eaton Corp. PLC (Line 80)

Part VII-Line 78 - Citibank NA Bank Deposit Program is a sweep money market bank account

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Hayden, Katharine S.

Date of Report

08/08/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Katharine S. Hayden**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544