

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dein, Judith G.	2. Court or Organization U.S. District Court, MA	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-full time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address United States District Court One Courthouse Way Boston, Massachusetts 02210		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Fellow	Massachusetts Bar Foundation
2.	Trustee	Union College, Schenectady, NY
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2012

Goulston & Storrs - lawyer

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch Cash/Money Account (BIF MA Mun.Money)	A	Interest			Closed	10/15/12	P4		
2. Municipal Bond:Massachusetts ST WPA TR REV SER A OID	A	Interest			Redeemed	08/01/12	J		
3. Agilent Technologies Inc. stock		None	J	T					
4. Merrill Lynch UGMA Cash Account	A	Interest			Closed	12/18/12	K		
5. Blackrock Global Allocation A	C	Dividend	J	T					
6. FIA Card Services NA RASP	A	Interest			Closed	12/17/12	L		
7. FIA Card Services NA RASP	A	Interest			Closed	12/17/12	M		
8. Merrill Lynch CMA Account	A	Interest			Closed	12/17/12	J		
9. Columbia Short Term Bond Fund	B	Dividend	M	T					
10. SBC Communications Inc.	A	Dividend	J	T					
11. Bank of America account	B	Interest	M	T					
12. Bank of America account	A	Interest	L	T					
13. Vodafone Airtouch PLC	A	Dividend	J	T					
14. Blackrock Cap. App. A	A	Dividend			Sold	01/10/12	J		
15. Columbia Marsico Focused Equities FD C	A	Dividend			Sold	08/23/12	M		
16. Columbia Marsico Focused Equities FD C	A	Dividend			Sold	08/23/12	M		
17. ML S&P 500 MITTS	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

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18. Baron Growth Fund	D	Int./Div.	M	T					
19. TRowe Mid Cap Fund	C	Int./Div.	M	T					
20. MainStay S&P 500 Index Fund (Class I)	B	Int./Div.	M	T					
21. Israeli Bonds	A	Dividend	J	T					
22. Blackrock Global Dynamic Equity Class A	A	Dividend			Sold	01/04/12	M		
23. Columbia Marsico Focused CI C	A	Interest	L	T					
24. Main Stay MAP Fund CI I	A	Int./Div.	M	T					
25. AT&T stock	A	Dividend	J	T					
26. Mass. ST CONS LN	B	Interest	L	T					
27. BA RI NA RASP	A	Interest			Closed	12/17/12	M		
28. Wells Fargo&Co Ser. J 8% perpetual	B	Dividend	K	T					
29. Blackrock Global Allocation FD Inc A	B	Dividend	L	T					
30. ML & Co Factor Model SRN		None			Sold	07/03/12	K		
31. Loomis Sayles Invest Gr Bond Fund	B	Dividend	L	T	Sold (part)	01/04/12	K		
32.					Buy (add'l)	08/23/12	K		
33. BANA CPCD SPX SV 895.10 S&P 500	B	Int./Div.			Redeemed	07/03/12	K	B	
34. BANA INDU SV 8473.49 MPCD DJIA	D	Int./Div.	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. Needham Bank Acct & CD	A	Interest	L	T					
36. FIA Card Services NA RASP	A	Interest	J	T					
37. Consumer Discretionary Mut. Fund	A	Interest	K	T					
38. Health Care Select SPDR Mut. Fund	A	Interest	K	T					
39. ISHARES IBOXX \$ Invest. Grade Corp. Bond Fund	A	Interest	K	T					
40. ISHARES Barclays MBS BON Fund	A	Interest	K	T					
41. Sector SPDR Consumers STPL	A	Interest	K	T					
42. Sector SPDR Energy	A	Interest	J	T					
43. Sector SPDR Industrial	A	Interest	K	T					
44. SPDR Barclay Capital International	A	Interest	K	T					
45. Vanguard Information	A	Interest	K	T					
46. Vanguard Short Term Bond	A	Interest	L	T					
47. Phoenix Concierge Acct	A	Interest	K	T					
48. Capital Income Builder Fund Class C-Am. Funds M/F	A	Interest	K	T					
49. First Eagle Global Fund Class A M/F	A	Dividend	L	T					
50. Franklin Income Fund Class C M/F	A	Dividend	J	T					
51. IVA Worldwide Fund Class C M/F	A	Int./Div.	J	T					

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52. Income Fund of Am. Class C-Am. Funds M/F	A	Interest	K	T					
53. Neuberger Berman Equity Inc. Fund Class C M/F	A	Interest	K	T					
54. Nuveen Limited Term Mun Bond Fund Class C M/F	A	Interest	K	T					
55. PIMCO All Asset Fund Class C M/F	A	Interest	J	T					
56. Thornburg Ltd Term Mun. Fund Class C M/F	A	Interest	L	T					
57. Western Asset Managed Municipals Fund Class I (X)	A	Dividend	L	T	Buy	08/23/12	L		see notes in part VIII
58.					Sold (part)	08/23/12	J		
59. Vanguard Dividend Appreciation ETF(X)	A	Dividend	K	T	Buy	08/23/12	J		
60.					Buy (add'l)	10/09/12	J		
61.					Buy (add'l)	11/07/12	J		
62. Vanguard REIT ETF(X)	A	Dividend	K	T	Buy	08/23/12	J		
63.					Buy (add'l)	10/09/12	J		
64.					Buy (add'l)	11/07/12	J		
65. Vanguard Russell 1000 Value ETF (X)	B	Dividend	L	T	Buy	08/23/12	K		
66.					Buy (add'l)	08/23/12	J		
67.					Buy (add'l)	10/09/12	K		
68.					Buy (add'l)	11/07/12	K		

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69. Vanguard Russell 1000 Value ETF (X)	B	Dividend	L	T	Buy	08/23/12	J		see note in part VIII
70.					Buy (add'l)	10/09/12	J		
71.					Buy (add'l)	11/07/12	J		
72. Pioneer Fundamental Growth Fund(X)	A	Int./Div.			Buy	08/23/12	K		
73.					Buy (add'l)	10/09/12	K		
74.					Sold	11/07/12	K		
75. Pioneer Fundamental Growth fund (X0)	A	Int./Div.			Buy	08/23/12	J		
76.					Buy (add'l)	10/09/12	J		
77.					Sold	11/07/12	K		
78. Blackrock Equity Dividend Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
79.					Buy (add'l)	10/09/12	J		
80.					Buy (add'l)	11/07/12	J		
81. Blackrock Equity Dividend Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
82.					Buy (add'l)	10/09/12	J		
83.					Buy (add'l)	11/07/12	J		
84. First Eagle Global Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
85.					Buy (add'l)	10/09/12	J		

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86.					Buy (add'l)	11/07/12	J		
87. Loomis Sayles Strategic Income Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
88.					Buy (add'l)	10/09/12	J		
89.					Buy (add'l)	11/07/12	J		
90. Loomis Sayles Strategic Income Fund (X)	B	Dividend	K	T	Buy	08/23/12	J		
91.					Buy (add'l)	10/09/12	J		
92.					Buy (add'l)	11/07/12	K		
93. Mainstay High Yield Corp. Bond fund (X)	C	Dividend	J	T	Buy	08/23/12	J		
94.					Buy (add'l)	10/09/12	J		
95.					Buy (add'l)	11/07/12	J		
96. Mainstay High Yield Corp. Bond fund (X)	B	Dividend	J	T	Buy	08/23/12	J		
97.					Buy (add'l)	10/09/12	J		
98.					Buy (add'l)	11/07/12	J		
99. MFS Emerging Markets Debt Fund (X)	B	Dividend	K	T	Buy	08/23/12	J		
100.					Buy (add'l)	10/09/12	J		
101.					Buy (add'l)	11/07/12	J		
102. MFS Emerging Markets Debt Fund (X)	A	Dividend	J	T	Buy	08/23/12	J		

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103.					Buy (add'l)	10/09/12	J		
104.					Buy (add'l)	11/07/12	J		
105. Nuveen Diversified Commodity Fund (X)	B	Dividend	K	T	Buy	08/23/12	J		
106.					Buy (add'l)	10/09/12	J		
107.					Buy (add'l)	11/07/12	J		
108. Nuveen Energy MLP Total Return (X)	B	Dividend	K	T	Buy	8/23/12	J		
109.					Buy (add'l)	10/9/12	J		
110.					Buy (add'l)	11/07/12	J		
111. PIMCO Real Return Fund (X)	A	Dividend	J	T	Buy	08/23/12	J		
112.					Buy (add'l)	10/09/12	J		
113.					Buy (add'l)	11/07/12	J		
114. PIMCO Real Return Fund (X)	A	Dividend	J	T	Buy	08/23/12	J		
115.					Buy (add'l)	10/09/12	J		
116.					Buy (add'l)	11/07/12	J		
117. PIMCO Total Return Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
118.					Buy (add'l)	10/09/12	J		
119.					Buy (add'l)	11/07/12	J		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PIMCO Total Return Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
121.					Buy (add'l)	10/09/12	J		
122.					Buy (add'l)	11/07/12	J		
123. TCW Total Return Bond Fund (X)	A	Dividend	J	T	Buy	08/23/12	J		
124.					Buy (add'l)	10/09/12	J		
125.					Buy (add'l)	11/07/12	J		
126. TCW Total Return Bond Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
127.					Buy (add'l)	10/09/12	J		
128.					Buy (add'l)	11/07/12	J		
129. Templeton Global Bond Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
130.					Buy (add'l)	10/09/12	J		
131.					Buy (add'l)	11/07/12	J		
132. Templeton Global Bond Fund (X)	A	Dividend	K	T	Buy	08/23/12	J		
133.					Buy (add'l)	10/09/12	J		
134.					Buy (add'l)	11/07/12	J		
135. Royce Pennsylvania Mutual Fund (X)	A	Dividend	J	T	Buy	08/23/12	J		
136.					Buy (add'l)	10/09/12	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Buy (add'l)	11/07/12	J		
138. Franklin Growth Fund (X)	A	Dividend	K	T	Buy	11/07/12	K		
139.	A	Dividend	L	T	Buy	11/07/12	K		
140. Vanguard Russel 1000 Growth ETF	A	Dividend	L	T	Buy	08/23/12	J		
141.					Buy (add'l)	10/09/12	K		
142.					Buy (add'l)	11/07/12	J		
143. Calamos Growth & Income Fund Class C M/F	A	Interest	K	T	Buy	02/09/12	K		
144. Clearbridge Equity Income Fund Class C M/F	A	Interest	K	T	Buy	02/09/12	J		
145. Thornburg Investment Income Builder Fund Class C M/F	B	Interest	K	T	Buy	02/09/12	J		
146. Thornburg Limited Term Municipal Fund Class A M/F	A	Interest	K	T					
147. Capital Income Builder Fund Class F1-American Funds N/L	A	Interest	J	T					
148. UBS Bank USA Dep. Acct	A	Interest	M	T	Open	12/01/12	M		
149.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Where there were a number of transactions relating to the same asset (e.g. a number of buys) I listed the total yearly income in the first line and listed the additional transactions in subsequent lines

Where there are "buys" of the same asset listed twice it is because they were purchased in different accounts. I listed the total yearly income in the first line for each account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Judith G. Dein**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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