

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McEwen, Catherine P.	2. Court or Organization Bankruptcy Court, M. Dist. Fla	3. Date of Report 10/06/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address 801 N. Florida Avenue Suite 854 Tampa, Florida 33602-3899		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Advisory Board Member	Salesian Youth Center (charitable organization)
2. Director at Large Board Member (advisory)	Hillsborough Association for Women Lawyers
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. June 2015	Western Michigan University Cooley Law School and I have orally agreed that I will teach one semester or more per year, subject to Eleventh Circuit approval.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 12/2016	Western Michigan University Cooley Law School	\$4,125.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	March 31- April 2, 2016	Clearwater, FL	Annual Paskay Seminar	Lodging, mileage, meals.
2.	National Conference of Bankruptcy Judges	April 26-27, 2016	Washington, D.C.	Legislative Committee meetings on the Hill	Air fare, parking, ground transportation, meals, lodging.
3.	Turnaroud Management Association	June 2-4, 2016	Nashville, TN	SE Regional Conference	Air fare, lodging, ground transportation, meals.
4.	The Florida Bar	June 15-17, 2016	Orlando, FL	Annual Meeting	Lodging, meals.
5.	Jacksonville Bar Ass'n	August 25-27, 2016	Ponte Vedra Beach, FL	Annual Seminar	Air fare, lodging, ground transportation, checked baggage fee, meals.

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6.	The Florida Bar	September 2-3, 2016	Naples, FL	Business Law Section Labor Day Retreat	Mileage, lodging, parking.
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Ray Hyer/Gardner-Gibson/Sun Coatings	Outback Bowl tickets, parking pass, tent brunch (?)	\$395.00
2.	Reem Sawan and Sami Al-Samkari	Two - 6" 3D Photo Crystal Hologram "Best Judge" awards	\$428.00
3.	The Florida Bar	Registration waivers for Annual Meeting, BLS Labor Day Retreat, View from the Bench	\$825.00
4.	USF Alumni Association	Gala dinner, football suite tickets, Bull statue and plaque	\$850.00
5.	Tampa Bay Bankruptcy Bar Association	Dues waiver, 8 formal/8 consumer lunches, holiday party, guest lunches, annual dinner	\$410.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. WELLS FARGO DDA/Savings/MMA Accounts	A	Interest	M	T					
2. State Farm Bank Account	A	Interest	J	T					
3. SCHWAB IRA (H) (4-32 BELOW)									
4. BND	A	Int./Div.	J	T	Buy (add'l)	05/11/16	J		
5. BOND	A	Int./Div.			Sold	05/11/16	J	A	
6. DFJ	A	Int./Div.			Sold	05/11/16	J	A	
7. EFV	A	Int./Div.	J	T	Buy	05/19/16	J		
8. ELD	A	Int./Div.			Sold	05/19/16	J	A	
9. EMB	A	Int./Div.	J	T					
10. EMLC	A	Int./Div.	J	T	Buy	05/11/16	J		
11.					Buy (add'l)	10/31/16	J		
12. FCG	A	Int./Div.			Sold	05/11/16	J	A	
13. IQDF	A	Int./Div.	J	T	Buy (add'l)	05/11/16	J		
14. ITR	A	Int./Div.	J	T	Buy	05/11/16	J		
15. JNK	A	Int./Div.	J	T	Buy	05/11/16	J		
16.					Sold (part)	10/31/16	J	A	
17. MOAT	A	Int./Div.			Sold	05/11/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. PHB	A	Int./Div.			Sold	05/11/16	J	A	
19. SCHP	A	Int./Div.	J	T	Buy	05/11/16	J		
20. SNLN	A	Int./Div.	J	T	Buy	05/11/16	J		
21.					Buy (add'l)	10/31/16	J		
22. STPZ	A	Int./Div.			Sold	05/11/16	J	A	
23. VB	A	Int./Div.			Sold	05/11/16	J	A	
24. VBR	A	Int./Div.	J	T	Buy	05/11/16	J		
25. VEA	A	Int./Div.	J	T	Buy (add'l)	05/11/16	J		
26.					Sold (part)	09/19/16	J	A	
27. VO	A	Int./Div.	J	T	Buy	05/11/16	J		
28. VOE	A	Int./Div.	J	T	Buy	05/11/16	J		
29. VTI	A	Int./Div.			Sold	05/11/16	J	A	
30. VTV	A	Int./Div.	J	T	Buy	05/11/16	J		
31. VWO	A	Int./Div.	J	T	Buy (add'l)	05/11/16	J		
32.					Sold (part)	09/19/16	J	A	
33. AMERIPRISE IRA (RIVERSOURCE) (H) (34-42) BELOW)									
34. ALLBERN VPS INTL VAL	A	Dividend			Sold	12/08/16	K	A	

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35. FID VIP CONTRAFD CL2	A	Dividend			Sold	12/08/16	K	A	
36. FID VIP MID CAP CL 2	A	Dividend			Sold	12/08/16	K	A	
37. COL VP DIVND OPP CL 3	A	Dividend			Sold	12/08/16	K	A	
38. COL VP MID CAP VAL CL 3	A	Dividend			Sold	12/08/16	K	A	
39. WANGER USA	A	Dividend			Sold	12/08/16	K	A	
40. WANGER INTERNATIONAL	A	Dividend			Sold	12/08/16	K	A	
41. FT VIP SMALL CAP VALUE	A	Dividend			Sold	12/08/16	K	A	
42. OPPENHEIMER GBL STRATEGIC	A	Dividend			Sold	12/08/16	K	A	
43. MASSMUTUAL ANNUITY IRA (H) (44-48)									
44. MML EQUITY	A	Int./Div.	L	T	Buy	12/20/16	K		
45. MML GLOBAL	A	Int./Div.	K	T	Buy	12/20/16	K		
46. MML GROWTH & INCOME	A	Int./Div.	L	T	Buy	12/20/16	K		
47. MML MANAGED BOND	A	Int./Div.	M	T	Buy	12/20/16	K		
48. MML MID CAP GROWTH	A	Int./Div.	K	T	Buy	12/20/16	K		
49. BANK OF AMERICA CHECKING ACCOUNTS (PARENTS')	A	Interest	M	T					
50. AMERICAN FUNDS ROTH IRA (H)									
51. AF GROWTH PORTFOLIO CL A	A	Distribution	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Pt. V.

No. 3: Direct-pay meals are included in the registration for the Labor Day Retreat, but I have insufficient information from which to allocate the cost of the meals to reimbursements in Pt. IV.

Pt. VII.

No. 49: I am a signer on my parents' regular and money market checking accounts. Although I am legally on the title, I am not a beneficial owner.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Catherine P. McEwen**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544