

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bloch, Alan N.	2. Court or Organization USDC/WDPA	3. Date of Report 05/01/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address Suite 8370 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Member, Ameritus Board of Directors Make-A-Wish Foundation of Western Pennsylvania (non-profit corporation)

2. _____

3. _____

4. _____

5. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

2. _____

3. _____

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Bloch, Alan N.

Date of Report

05/01/2012

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.	Academy of Trial Lawyers of Allegheny County	10-5-2011 to 10-7-2011	Farmington, PA	Attend the Annual Retreat	Room and meals
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Bloch, Alan N.

Date of Report

05/01/2012

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Bloch, Alan N.

Date of Report

05/01/2012

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Philadelphia Pa. Gas Works Bond (12th Series)	A	Interest	J	T					
2. Pa. State Higher Education Facility Bond	A	Interest	J	T					
3. Pa. State Higher Education Bond	A	Interest	J	T					
4. Montgomery County Bond	A	Interest	J	T					
5. Pa. Turnpike Bond (Series B)	A	Interest	J	T					
6. Sto-Rox School District Bond	A	Interest			Redeemed	12/15/11	J		
7. Stroudsburg Penn Area School District Bond	B	Interest	K	T					
8. Pa. State Higher Educ. Assistance Agency (B/E)	A	Interest	J	T					
9. Harrisburg PA Parking Authority Bond	A	Interest	J	T					
10. Pa. Turnpike B/E OY Bond	B	Interest			Redeemed	7/15/11	K		
11. Pa. State Finance Auth. Beaver Cty. Bond	B	Interest	K	T					
12. Fairview School District Bond	B	Interest			Redeemed	8/1/11	K		
13. Adams County Bond	A	Interest			Redeemed	11/15/11	J		
14. Dauphin County Bond	A	Interest			Redeemed	5/16/11	J		
15. Delaware Cty. IDA Sub. Water Authority Bond	A	Interest	J	T					
16. Mt. Lebanon School District Bond	A	Interest	J	T					
17. Lower Merion School District Bond	A	Interest	J	T					

1. Income Gain Codes:	A ~\$1,000 or less	B ~\$1,001 - \$2,500	C ~\$2,501 - \$5,000	D ~\$5,001 - \$15,000	E ~\$15,001 - \$50,000
(See Columns B1 and D4)	F ~\$50,001 - \$100,000	G ~\$100,001 - \$1,000,000	H1 ~\$1,000,001 - \$5,000,000	H2 ~More than \$5,000,000	
2. Value Codes	J ~\$15,000 or less	K ~\$15,001 - \$50,000	L ~\$50,001 - \$100,000	M ~\$100,001 - \$250,000	
(See Columns C1 and D3)	N ~\$250,001 - \$500,000	O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000	P2 ~\$5,000,001 - \$25,000,000	
	P3 ~\$25,000,001 - \$50,000,000		P4 ~More than \$50,000,000		
3. Value Method Codes	Q ~Appraisal	R ~Cost (Real Estate Only)	S ~Assessment	T ~Cash Market	
(See Column C2)	U ~Book Value	V ~Other	W ~Estimated		

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Altoona PA NTS Series A Bond	B	Interest	K	T					
19. All. Cty. Hosp. Dev. Auth. Bond (Mercy Hospital)	B	Interest			Redeemed	5/18/11	K		
20. Philadelphia PA Auth. (Series B) Bond	B	Interest			Redeemed	10/3/11	K		
21. Scranton PA Gen. Obligation (Series B) Bond	A	Interest	J	T					
22. Norristown School District Bond	A	Interest	J	T					
23. Gateway School District Bond	A	Interest	J	T					
24. Meyersdale Area School District	A	Interest			Redeemed	10/25/11	J		
25. Scranton Penn School District Bond	A	Interest			Redeemed	4/15/11	J		
26. Economy Pa. Municipal Sewer Authority	A	Interest	J	T					
27. Abington School District Bond	A	Interest	J	T					
28. Pa. State Ref. Project (1st Series) Bond	A	Interest	K	T					
29. Fairview School District (Series B) Bond	A	Interest			Redeemed	8/1/11	J		
30. Philadelphia Gas Works (5th Series)	A	Interest	J	T					
31. Ambridge Penn Area School District	A	Interest	J	T					
32. Plum Borough School District	A	Interest	J	T					
33. Garnet Valley School District	A	Interest	J	T					
34. Chestnut Ridge School District	A	Interest			Redeemed	9/16/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Iroquois School District	A	Interest	J	T					
36. Philadelphia Pa. School District	A	Interest	J	T					
37. Southeast Delco School District	A	Interest	J	T	Redeemed (part)	11/21/11	J		
38. State Public School Building Authority	A	Interest	J	T					
39. State Public School Building Authority	B	Interest	K	T					
40. State Public School Building Authority	A	Interest	J	T					
41. Philadelphia Pa. School District	B	Interest	K	T					
42. Spring-Ford Area School District	A	Interest	J	T					
43. State Public School Building Authority	A	Interest	J	T					
44. Pa. State Higher Education Drexel University	A	Interest	K	T					
45. Philadelphia PA Water & Wastewater Series B Bond	B	Interest	K	T					
46. Aliquippa PA School District Bond	B	Interest	K	T					
47. Mahanoy PA Sewer Authority Bond	A	Interest	K	T					
48. Mars PA School District Bond	A	Interest	J	T					
49. Erie PA Water Authority Bond	A	Interest	J	T					
50. Altoona PA Area School District Bond	A	Interest	K	T					
51. Pa. State Turnpike Commission Bond	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

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52. West Mifflin PA Area School District Bond	A	Interest	J	T					
53. Pa. State Higher Education Facility College & Univ. Bond (Y)									
54. Uniontown PA Area School District Bond	A	Interest	J	T					
55. Erie PA Water Authority Water Bond	A	Interest	J	T					
56. Altoona PA Area School District Bond	A	Interest	J	T					
57. Reading PA School District Bond	A	Interest	K	T					
58. New Kensington PA Sanitary Authority Bond	A	Interest	J	T					
59. Coatesville PA Area School District Bond	A	Interest	J	T					
60. Reading PA School District Bond	A	Interest	J	T					
61. North Versailles Township Sanitary Authority Bond	A	Interest	J	T					
62. Interest-PNC Bank- Checking; [REDACTED]	A	Interest	J	T					
63. Vanguard Pa. Insured Tax Free Fund	B	Dividend	K	T					
64. Pimco High Yield Fund Class A	A	Dividend	J	T					
65. J.P. Morgan Chase 7% Shares	A	Dividend	J	T					
66. Bond Fund of America	A	Dividend	J	T	Sold (part)	10/3/11	J		
67. American High Income Trust	A	Dividend	J	T					
68. First Trust Preferred Income Series 38 Unit Trust	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

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Bloch, Alan N.

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69. Capital Income Builder Class A of American Funds	C	Dividend	M	T					
70. --					Buy (add'l)	1/24/11	J		
71. --					Buy (add'l)	4/6/11	J		
72. --					Buy (add'l)	4/19/11	J		
73. --					Buy (add'l)	5/13/11	J		
74. --					Buy (add'l)	5/23/11	J		
75. --					Buy (add'l)	7/19/11	J		
76. --					Buy (add'l)	8/3/11	J		
77. --					Buy (add'l)	9/19/11	J		
78. --					Buy (add'l)	10/4/11	J		
79. --					Buy (add'l)	10/18/11	J		
80. --					Buy (add'l)	10/31/11	J		
81. --					Buy (add'l)	11/17/11	J		
82. --					Buy (add'l)	11/21/11	J		
83. --					Buy (add'l)	12/6/11	J		
84. --					Buy (add'l)	12/8/11	J		
85. --					Buy (add'l)	12/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

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86. Income Fund America, Inc. Class A of American Funds	C	Dividend	M	T					
87. --					Buy (add'l)	1/24/11	J		
88. --					Buy (add'l)	4/6/11	J		
89. --					Buy (add'l)	4/19/11	J		
90. --					Buy (add'l)	5/13/11	J		
91. --					Buy (add'l)	5/23/11	J		
92. --					Buy (add'l)	7/19/11	J		
93. --					Buy (add'l)	8/3/11	J		
94. --					Buy (add'l)	9/19/11	J		
95. --					Buy (add'l)	10/4/11	J		
96. --					Buy (add'l)	10/18/11	J		
97. --					Buy (add'l)	10/31/11	J		
98. --					Buy (add'l)	11/17/11	J		
99. --					Buy (add'l)	11/21/11	J		
100. --					Buy (add'l)	12/6/11	J		
101. --					Buy (add'l)	12/8/11	J		
102. --					Buy (add'l)	12/20/11	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section VII - Investments and Trusts.

Line 53.

Pa. State Higher Education Facility College & Univ. Bond was redeemed in full on 10/15/2010. This redemption was inadvertently omitted from the 2010 Annual Report.

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan N. Bloch

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544