

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnson, Kimberly C. Priest	2. Court or Organization U.S District Court for the Eastern District of Texas	3. Date of Report 05/12/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (FT)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address United States Federal Courthouse 7940 Preston Road Plano, TX 75024		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. 2016 Bench Bar Planning Committee	Eastern District of Texas Bar Association
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	SMU Dedman School of Law	\$2,000.00
2. 2016	Self-employed (attorney)	\$213,962.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Fish & Richardson P.C. (salary)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Fish & Richardson, P.C. 401(k)	personal loan	K
2. FACTS Management Co.	tuition loan	J
3. American Bank of Texas, First United Bank	personal loan	K
4. Northwestern Mutual Life	insurance loan	M
5. Bank of America	credit card	K
6. Chase	credit card	K
7. Citi Master Card	credit card	K

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. CHASE BANK (VARIOUS ACCOUNTS)	A	Interest	K	T					
2. BBVA COMPASS (VARIOUS ACCOUNTS)	A	Interest	K	T					
3. RENTAL PROPERTY, TIGERTOWN, TX (1/5 INTEREST)	A	Rent	K	W					
4. FISH & RICHARDSON, P.C. (CASH BALANCE)	A	Interest	N	T					
5. 401(K) #1 (H)									
6. WELLS FARGO STABLE VALUE	A	Interest	J	T					
7. TCW TOTAL RETURN BOND I	A	Dividend	J	T					
8. CALAMOS GROWTH & INCOME I	A	Dividend	J	T					
9. DODGE & COX STOCK	A	Dividend	J	T					
10. MAINSTAY LARGE CAP GROWTH I	A	Dividend	J	T					
11. JPMORGAN MID CAP VALUE INSTL	A	Dividend	J	T					
12. ARTISAN MID CAP INSTL	A	Dividend	J	T					
13. BROWN CAPITAL MGMT SMALL CO INSTL	B	Dividend	J	T					
14. ALLIANZ NFJ SMALL CAP VALUE INSTL	A	Dividend	J	T					
15. HARBOR INTERNATIONAL INSTITUTIONAL	A	Dividend	J	T					
16. ARTISAN INTERNATIONAL INSTL		None	J	T					
17. 401K #2 (H)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. WELLS FARGO STABLE VALUE	A	Interest	J	T					
19. VANGUARD INFLATION-PROTECTED SECS	A	Dividend	J	T					
20. VANGUARD TOTAL BOND MARKET INDEX ADMIRAL	B	Dividend	J	T					
21. VANGUARD INSTITUTIONAL INDEX I	A	Dividend	K	T					
22. VANGUARD MID CAP INDEX ADMIRAL	A	Dividend	K	T					
23. VANGUARD SMALL CAP INDEX ADMIRAL	A	Dividend	J	T					
24. VANGUARD TOTAL INTL STOCK INDEX ADMIRAL	A	Dividend	J	T					
25. 401(K) #3 (H)									
26. BNY MEL LD INT STOCK IND R		None			Buy (add'l)	03/18/16	J		
27.					Buy (add'l)	06/20/16	J		
28.					Sold	10/21/16	M	D	
29. BNY MEL DL SM CAP STOCK IND R		None			Buy (add'l)	03/18/16	J		
30.					Buy (add'l)	06/20/16	J		
31.					Sold (part)	09/20/16	J		
32.					Sold	10/21/16	L	D	
33. NUVEEN MID CAP INDEX R3		None			Sold (part)	03/18/16	J		
34.					Buy (add'l)	06/20/16	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Sold	10/21/16	L	D	
36. HIMCO VIT INDEX IB (Y)									
37. MM S&P 500 INDEX R3		None			Sold (part)	03/18/16	J		
38.					Sold	10/21/16	M	E	
39. BNY MEL DL AGG BOND INDEX R		None			Buy (add'l)	09/20/16	J		
40.					Sold	10/21/16	L	C	
41. IRA #1 (H)									
42. RAYMOND JAMES BANK DEPOSIT PROGRAM	A	Interest	J	T	Open	10/21/16	O		
43. AMG SOUTHERNSUN U.S. EQUITY FUND CLASS I N/L (SSEIX)		None	K	T	Buy	10/25/16	K		
44. BROWN ADVISORY GROWTH EQUITY FUND INVESTOR SHARES N/L (IAGX)		None	K	T	Buy	10/21/16	K		
45. EUROPACIFIC GROWTH FUND CLASS F2 - AMERICAN FUNDS N/L (AEPFX)		None	L	T	Buy	10/25/16	L		
46. JOHN HANCOCK DISCIPLINED VALUE FUND CLASS I N/L (JVLIX)		None	K	T	Buy	10/25/16	K		
47. JPMORGAN VALUE ADVANTAGE FUND INSTITUTIONAL CLASS N/L (JVAIX)		None	K	T	Buy	10/21/16	K		
48. LAZARD EMERGING MARKETS EQUITY PORT INSTITUTIONAL SHS N/L (LZEMX)		None	K	T	Buy	10/21/16	K		
49. CLEARBRIDGE AGGRESSIVE GROWTH FUND CLASS I N/L (SAGYX)		None	K	T	Buy	10/25/16	K		
50. METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I N/L (MWTIX)	A	Dividend	K	T	Buy	10/25/16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
51. VICTORY INTEGRITY SMALL CAP VALUE FUND CLASS Y N/L (VSVIX)		None	K	T	Buy	10/21/16	K		
52. ISHARES TR CORE US AGGBD ET (AGG)	A	Dividend	K	T	Buy	10/25/16	K		
53. ISHARES TR CORE MSCI EAFE (IEFA)		None	K	T	Buy	10/25/16	K		
54. VANGUARD INDEX FUNDS S&P 500 ETF SHS NEW (VOO)		None	L	T	Buy	10/25/16	L		
55. EDUCATION ACCOUNTS (H)									
56. MARYLAND COLLEGE INVESTMENT PLAN PORTFOLIO 2021		None	J	T	Sold (part)	09/13/16	J		
57. MARYLAND COLLEGE INVESTMENT PLAN PORTFOLIO 2024		None	J	T	Sold (part)	09/13/16	J		
58. MARYLAND COLLEGE INVESTMENT PLAN PORTFOLIO 2027		None	M	T	Sold (part)	09/13/16	J		
59. BRIGHT START BLENDED AGE BASED 0-6 YEARS PORTFOLIO (Y)									
60. BRIGHT START BLENDED AGE BASED 7-9 YEARS PORTFOLIO		None	J	T					
61. WHOLE/UNIVERSAL LIFE INSURANCE POLICIES (H)									
62. METLIFE FLEXIBLE PREMIUM VARIABLE LIFE	A	Interest	J	T					
63. NORTHWESTERN MUTUAL LIFE		None	K	T					
64. NORTHWESTERN MUTUAL VARIABLE LIFE INVESTMENT POLICY (H)									
65. NORTHWESTERN MUTUAL US EQUITY LARGE CAP	A	Dividend	J	T					
66. NORTHWESTERN MUTUAL US EQUITY MID CAP	A	Dividend	J	T					
67. NORTHWESTERN MUTUAL US EQUITY SMALL CAP	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
68. NORTHWESTERN MUTUAL INT'L DEVELOPED MKTS	A	Dividend	J	T					
69. NORTHWESTERN MUTUAL REAL ESTATE SECURITIES	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, lines 26, 29, 33, 37, 39, 56-58, and 60, Columns B(1) and B(2): These holdings report only unit value -- no reportable income.

Part VII, lines 62 and 63: These policies do not have individual underlying investments.

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Johnson, Kimberly C. Priest

Date of Report

05/12/2017

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Kimberly C. Priest Johnson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544