

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pannell, Charles A.	2. Court or Organization District Court-N.D.of Georgia	3. Date of Report 06/26/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S.District Judge (senior)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 2367 U. S. Courthouse 75 Ted Turner Dr., S.W. Atlanta, Georgia 30303-3309		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Co-Executor	Estate No. 1
2.	Operator	Farm #1
3.	Co-Executor	Estate No. 2
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Association of County Commissioners of Georgia Pension Trust	\$1,347.72
2. 2017	Georgia Judicial Retirement System	\$92,092.02
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Teachers Retirement System of Georgia (defined benefits)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Timberland, [REDACTED], 1/3 Interest		None	O	W					
2. Rental Property #1, [REDACTED]		None	J	W					
3. Estate #1 (H)									
4. -Farm #1 [REDACTED]	C	Rent			Distributed	05/19/17	P1		
5. - First National Bank (Savings Accounts and CDs)	A	Interest			Closed	04/10/17	K		
6. - Cohutta Bank Account	A	Interest	J	T	Distributed (part)	04/17/17	K		See Note
7. Brokerage Account #2 American Funds (H)									Closed on 4/24/17
8. - American Funds - Investment Company of America (AIVSX)	B	Dividend			Sold	04/24/17	K	D	
9. - American Funds - Growth Fund of America (AGTHX)	B	Dividend			Sold	04/24/17	K	D	
10. - American Funds - Small Cap World Fund (SMCWX)	A	Dividend			Sold	04/24/17	J	C	
11. - American Funds - New World Fund (NEWFX)	A	Dividend			Sold	04/24/17	J	D	
12. - American Funds - EUPAC (AEPGX)	A	Dividend			Sold	04/24/17	J	C	
13. -American Funds Bond Fund of America (ABNDX)	A	Dividend			Sold	04/24/17	K	C	
14. -American Funds High Income (AHITX)	A	Dividend			Sold	04/24/17	J		Loss
15. Brokerage Account #3 Fidelity Investments (H)									
16. -Money Market, Fidelity Investments Cash Account	A	Interest	L	T					
17. -Tyson Foods (TSN)	B	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

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18. -Synous Common Stock (SNV)	A	Dividend	K	T					
19. -Apple, Inc (AAPL)	A	Dividend	K	T					
20. -Costco Wholesale Corp. (COST)	A	Dividend	K	T					
21. -Middleby Corp. (MIDD)		None	J	T					
22. -Suntrust Bank (STI)	A	Dividend	K	T					
23. -Tractor Supply co. (TSCO)	A	Dividend	J	T					
24. -Align Tech (ALGN)		None	J	T					
25. -Disney (DIS)	A	Dividend	J	T					
26. -Tesla Motors (TSLA)		None	J	T					
27. -Cerner Corp (CERN)		None	J	T					
28. -Cummings (CMI)	A	Dividend	J	T					
29. -Fidelity Select Banking Portfolio (FSRBX)	A	Dividend	J	T					
30. -Merck (MRK)	A	Dividend	J	T					
31. -Natus Medical Inc. (BABY)		None	J	T					
32. -Total Systems Svcs (TSS)	A	Dividend	L	T					
33. -Fidelity Money Market (SPRX)	A	Dividend	M	T	Buy	06/30/17	K		
34.					Buy	12/13/17	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Roth IRA #2 Fidelity Investments Cash Reserves	A	Interest			Redeemed	04/17/17	J	A	
36. Farm #2, [REDACTED], limited interest		None	L	W					
37. Estate 2 (H)									
38. -First National Bank (CDs and Checking)	A	Interest	K	T					
39. -Suntrust Bank (money market and checking)	A	Interest			Closed	04/11/17	J		
40. -House, Fulton County, Georgia		None			Sold	04/17/17	O		John Pemberton
41. -Farm #2, [REDACTED] partial ownership	B	Rent			Distributed	05/19/17	K		
42. -Timberland, [REDACTED]		None			Distributed	05/19/17	L		
43. -Cohutta Checking Acct	A	Interest			Distributed	05/19/17	J		
44. Rollover IRA Commonwealth Financial Network (H)									
45. -Bank Deposit Sweep Program (QPRMQ)	A	Interest	J	T	Distributed (part)	09/15/17	J		See Note
46. -Mainstay Funds High Yield Bond (MHYIX)	A	Dividend	J	T	Sold (part)	01/12/17	J	A	
47. -AMCAP CL F2 (AMCFX)	B	Dividend	K	T	Sold (part)	01/12/17	J	A	
48. -ARTISAN MID CAP FD (ARTMX)	A	Dividend	J	T	Sold (part)	01/12/17	J		Loss
49. -Oppenheimer Dev Markets (ODVYX)	A	Dividend	J	T	Sold (part)	01/12/17	J		Loss
50.					Sold (part)	06/13/17	J	A	
51. -Templeton Intl Bond (FIBZX)	A	Dividend	J	T	Sold (part)	01/12/17	J	A	

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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52. -Adirondack Small Cap (ADKSX)	A	Dividend	J	T	Sold (part)	01/12/17	J	A	
53. -JP Morgan Equity Income (HLIEX)	A	Dividend	K	T	Sold (part)	01/12/17	J		Loss
54. -Invesco Intl Small (IEGYX)	A	Dividend	J	T	Sold (part)	01/12/17	J	A	
55.					Sold (part)	06/13/17	J	A	
56. -John Hancock Val Midcap (JVMIX)	A	Dividend	J	T	Sold (part)	01/12/17	J	A	
57. -Oakmark Intl (OAKIX)	A	Dividend	K	T	Sold (part)	01/12/17	J		Loss
58.					Sold (part)	06/09/17	J	A	
59. -Fidelity Total Bond (FTBFX)	A	Dividend			Sold (part)	01/12/17	J		Loss
60.					Sold	06/09/17	J		Loss
61. -Columbia Balanced Fund (CBDRX)	A	Dividend	J	T	Sold (part)	01/12/17	J	A	
62. -Doubleline Total RT Bond (DLTNX)	A	Dividend	J	T	Sold (part)	01/12/17	J		Loss
63.					Sold (part)	06/09/17	J		Loss
64. -Lazard Global (GLIFX)	A	Dividend	J	T	Sold (part)	01/12/17	J	A	
65.					Sold (part)	06/09/17	J	A	
66. -Nuveen Real Estate Class (FARCX)	A	Dividend	J	T	Sold (part)	01/12/17	J		Loss
67. -AB Global Bond (ANAYX)	A	Dividend	J	T	Buy	06/08/17	J		
68. -Metropolitan West Total Return Class I (MWTIX)	A	Dividend	J	T	Buy	06/08/17	J		

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69. Wells Fargo Savings Account	A	Interest	J	T					
70. First National Bank, Accounts Checking and Money Market	A	Interest	L	T					
71. Cohutta Bank Checking and MMKT	A	Interest	L	T					
72. Associated Credit Union Money Market and Checking	A	Interest	J	T					
73. Brokerage Account # 4 Charles Schwab Co. (H)									
74. -checking account	A	Interest	J	T					
75. -NXP Semi Conductors (NXPI)		None			Sold	06/30/17	J	B	
76. -Tyson Foods (TSN)	A	Dividend	J	T					
77. -Tesla Motors (TSLA)		None	J	T					
78. -Berkshire Hathaway B (BRK.B)		None	J	T	Buy	09/25/17	J		
79. -Schwab 1000 Index Fund (SNXFX)	A	Dividend	J	T	Buy	10/13/17	J		
80. -Schwab US Large CAP Growth ETF (SCHG)	A	Dividend	J	T	Buy	06/30/17	J		
81. Parcel 1 - Murray County, Georgia (X)		None	M	W					See Note
82. Parcel 2 - Murray County, Georgia		None	O	W	Buy	06/09/17	O		James L. Pannell
83. Timberland, 1/3 of 36 acres [REDACTED], [REDACTED] (X)		None	K	W					See Note
84. Timberland, 1/3 of 36 acres [REDACTED], [REDACTED]		None	K	W	Buy	06/09/17	K		James L. Pannell

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part I. Positions: Further clarification to Answer 2 "Operator".

Part VII. INVESTMENTS AND TRUSTS

Line 6 There was a partial distribution of these funds ot the heirs of the estate.

Line 45: IRA Required minnum distribution from IRA Account.

Lines 81 and 83: This was inheritance.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Charles A. Pannell**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544