

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PERRIS, ELIZABETH L.	2. Court or Organization U. S. Bankruptcy Court	3. Date of Report 10/20/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 01/12/2016
7. Chambers or Office Address 1001 S.W. Fifth Ave., Suite 700 Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	West Services - Book Royalty	\$1,082.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Collections from audiology practice (retired October 1, 2013) and payment for substitute audiology services
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bankruptcy Institute	2/24/15 - 2/27/15	Las Vegas, NV	Seminar	Hotel, transportation and meals
2.	National Conference of Bankruptcy Judges (NCBJ)	4/13/15 - 4/14/15	San Antonio, TX	Meeting	Hotel, transportation and meals
3.	National Federation of Municipal Analysts	5/14/15 - 5/15/15	Las Vegas, NV	Seminar	Hotel, transportation and meals
4.	National Assn. of Bond Lawyers	9/8/15 - 9/10/15	Chicago, IL	Seminar	Hotel, transportation and meals
5.	NCBJ	9/27/15 - 9/30/15	Miami, FL	Meeting	Hotel, transportation and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Account	D	Int./Div.	M	T					
2. -- Teco Stock	A	Dividend			Sold	04/01/15	J	B	
3. -- Vanguard Windsor II Mutual Fund		None			Sold	04/01/15	J	D	
4. -- Spectra Energy Stock	A	Dividend			Sold	04/01/15	J	D	
5. -- Money Market Funds (Schwab Cash Reserves)	A	Interest	K	T	Sold (part)	04/13/15	M	A	
6. -- Vanguard Global Minimum Volatility	A	Dividend	K	T	Buy	07/15/15	K		
7. -- Vanguard Star	A	Dividend	J	T	Buy	04/13/15	J		
8. -- Vanguard Total Intl Bond	A	Dividend	J	T	Buy	04/15/15	J		
9. -- Zeo Strategic Income	A	Dividend	J	T	Buy	08/16/15	J		
10. -- Blackrock Strategic Income	A	Dividend	K	T	Buy	04/13/15	K		
11. -- ishares - EAFE Min. Volatility	A	Dividend	K	T	Buy	04/15/15	K		
12. -- Pimco Foreign Bond Fund	C	Dividend	K	T	Buy	04/13/15	K		
13. -- FPA CresentCL	D	Dividend	K	T	Buy	04/13/15	K		
14. -- i-shares 1-3 Year Treasury	A	Dividend			Buy	07/20/15	J		
15.					Sold	08/06/15	J	A	
16. -- JP Morgan Strategic Inc.	A	Dividend			Buy	04/13/15	K		
17.					Sold	10/06/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- Powershares S&P 500 Downside Hedged	A	Dividend			Buy	04/15/15	K		
19.					Sold	07/17/15	K	A	
20. Vanguard S & P 500 Fund	C	Distribution	M	T					
21. Bristol Meyers Squibb Stock	A	Dividend	J	T					
22. Harbor International Fund	D	Distribution	N	T	Donated (part)				
23. U.S. Savings Bonds	B	Interest	L	T					
24. 1/2 Interest - Condominium, Otter Rock, Oregon	A	Rent	L	R					
25. Baron Asset Fund Shares	D	Distribution	L	T					
26. NASDAQ 100 Stock	A	Dividend	K	T					
27. i Shares-Russell 2000	B	Dividend	K	T	Sold (part)	04/01/15	M	F	
28. Key Bank Accounts (Checking)	A	Interest	M	T	Matured (part)	05/27/15	K	A	
29. On Point FCU (Checking)	A	Interest	N	T					
30. i Shares - Emerging Markets		None			Sold	04/01/15	J	B	
31. IRA #2 - On Point	A	Interest	J	T					
32. Or Vet Welfare Due 2041	A	Interest	K	T					
33. Schwab Cash Dep	A	Interest	N	T					
34. Schwab 1000 SNXFX	E	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Vanguard International Growth VWIGX		None			Sold	03/30/15	K	B	
36. Verizon VZ	A	Dividend			Sold	04/01/15	K	E	
37. Gugenheim Multi Asset CVY	A	Dividend			Sold	04/01/15	K	C	
38. Wisdomtree Global Real Estate Ex US DRW		None			Sold	04/01/15	J	A	
39. i shares Sel Div DVY	A	Dividend			Sold	04/01/15	L	D	
40. i shares Biotech IBB		None			Sold	04/01/15	M	F	
41. i shares US Industrials IYJ	A	Dividend			Sold	04/01/15	K	E	
42. i shares US Basic Materials IYM	A	Dividend			Sold	04/01/15	J	B	
43. i shares US Preferred PFF	A	Dividend			Sold	04/01/15	K	A	
44. Lehman Bros. Holdings 7.2 8/09 bonds - bankruptcy		None	J	T	Distributed (part)	04/02/15	J		
45.					Distributed (part)	10/01/15	J		
46. Powershares Intl Div PID	A	Dividend			Sold	04/01/15	K	A	
47. S & P 500 SPY	A	Dividend			Sold	04/01/15	L	E	
48. Vanguard Consumer Discretionary VCR		None			Sold	04/01/15	K	E	
49. Vanguard Consumer Staples VDC		None			Sold	04/01/15	L	E	
50. Vanguard Energy VDE		None			Sold	04/01/15	L	B	
51. Vanguard Information Technology VGT		None			Sold	04/01/15	L	E	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Vanguard Healthcare VHT		None			Sold	04/01/15	K	E	
53. Vanguard REIT VNQ	A	Dividend			Sold	04/01/15	J	C	
54. Vanguard Telecommunication VOX		None			Sold	04/01/15	J	B	
55. Vanguard Utilities VPU	A	Dividend			Sold	04/01/15	L	E	
56. i sharees Developed Ex-US Properties WPS	A	Dividend			Sold	04/01/15	K	B	
57. Davis Financial CI C DFFCX		None			Sold	04/01/15	K	E	
58. Templeton Global Bond CI C TEGBX	A	Dividend			Sold	04/01/15	K	A	
59. GNMA 7.5%, maturing in 26	A	Interest	J	T					
60. GNMA 7.5%, maturing in 27	A	Interest			Sold	12/15/15	J	A	
61. \$50,000 BA CD Matures 1/15	A	Interest			Matured	01/26/15	K	A	
62. Wells Fargo Accounts (checking/savings)	A	Interest	L	T					
63. Receivable due from Lovejoy Audiology LLC	B	Interest	M	V					
64. Ally Financial CD (Matures 10/17)	B	Interest	M	T					
65. Vanguard Global Minimum Volatility (VMNVX)	D	Dividend	N	T	Buy	07/17/15	M		
66.					Buy	08/10/15	M		
67. Vanguard Star (VGTSTX)	D	Dividend	M	T	Buy	04/13/15	M		
68. Vanguard Total Intl Bond (BNDX)	A	Dividend	K	T	Buy	04/15/15	K		

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69. Zeo Strategic Income (ZEOIX)	D	Dividend	M	T	Buy	08/15/15	M		
70. Barclay's Bank ETN-S&P Volat ETF (VQT)		None			Buy	04/13/15	K		
71.					Sold	07/20/15	K	A	
72. Blackrock Strategic Inc.	D	Dividend	N	T	Buy	04/13/15	N		
73. CS Velocity Shrs Daily Inv (ZIV)		None			Buy	08/27/15	M		
74.					Sold	09/02/15	M	A	
75. FPA Crescent CL (FPC1Z)	D	Dividend	M	T	Buy	04/13/15	N		
76. i shares 1-3 Yr Treas (SHY)	A	Dividend			Buy	07/17/15	L		
77.					Sold	08/10/15	L	A	
78. i shares EAFC Min. Volatility (EFAV)	D	Dividend	N	T	Buy	04/10/15	N		
79. JP Morgan Inc Opportunity	C	Dividend			Buy	04/13/15	N		
80.					Sold (part)	08/25/15	M	A	
81.					Sold	10/05/15	L	A	
82. Pimco Foreign Bond (PFORX)	E	Dividend	N	T	Buy	04/10/15	N		
83. Powershares S&P 500 Downside Hedged EFT (PHD6)	A	Dividend			Buy	04/15/15	M		
84.					Sold	07/17/15	M	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 5. Schwab Cash Reserves is a money market fund. I reinvest the distributions. In addition, I regularly deposit stock dividends, asset liquidation proceeds, and interest income in the account. The dividends and interest deposits are small. Only transactions in excess of \$1,000 within the pertinent account are separately reported.

Lines 6-13, 16, 18, 20, 25, 34, 58, 65, 67-69, 72, and 75. All periodic dividends and capital gains are automatically reinvested in additional shares, the automatic reinvestments are not reported as separate transactions.

Lines 20, 22 & 25. The shares of the Vanguard S&P 500 Fund (line 20), Harbor International Fund (line 22), and Baron Asset Fund Shares (25) were transferred from the pertinent mutual fund to Charles Schwab, but were not liquidated except as noted.

Line 24. Our 1/2 interest in the condominium at Otter Rock, Oregon, the net rents are after payment of expenses. Our share of the rents without reduction of expenses would be Code D. Half interest in condominium purchased in August 1994 for \$55,000.

Line 29. Our OnPoint CD matured 12/1/14, and the proceeds were deposited in our On Point checking accounts. It was an error to continue to call it a CD.

Line 33. The Schwab Cash deposits listed in Lines 20 and 23 of my previous report have been consolidated.

Line 44. Lehman Bros. is in bankruptcy. Partial distributions are made periodically.

Line 63. Valuation method - using balance due on transaction. Sole proprietorship sold to Lovejoy Audiology LLC on September 30, 2013, and that created the receivable. Payments consist of interest and principal. Only the interest is reported as income. If income includes principal payments, the amount would be Code E.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **ELIZABETH L. PERRIS**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544