

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeown, Mary M.	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 07/31/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address U. S. Court of Appeals 401 West A Street Suite 2000 San Diego CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Managerial Board	International Association of Women Judges
2. Board of Visitors	Georgetown University Law Center
3. Board	ABA Rule of Law Initiative
4. Council	American Law Institute
5. Board	ABA FJE East Asia, Ltd
6. Instructor	Northwestern University Law School
7. Instructor	University of San Diego Law School

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spring 2017	Northwestern University Law School	\$10,000.00
2. Spring 2017	University of San Diego Law School	\$10,527.00
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	University of California San Diego - Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ALI	January 18-20, 2017	Philadelphia, Pennsylvania	Council Meeting	Transportation, lodging and meals
2. University College London/ Lawyers Without Borders	February 7-17, 2017	London; Tanzania	Lecture; Rule of Law Teaching	Transportation, lodging and meals
3. Northwestern Law School	April 26-28, 2017	Chicago, Illinois	Teaching	Transportation, lodging and meals
4. ABA ROLI	May 3-4, 2017	Washington, D.C.	Board Meeting	Transportation, lodging and meals
5. International Trademark Association	May 18-21, 2017	Barcelona, Spain	Trademark Speech	Transportation, lodging and meals
6. University of San Diego	June 19-29, 2017	Paris, France	Teaching	Transportation, lodging and meals

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7.	ABA/Lawyers Without Borders	July 29-August 9, 2017	Tanzania	Rule of Law Teaching	Transportation, lodging and meals
8.	ALI	October 18-20, 2017	New York, New York	Council Meeting	Transportation, lodging and meals
9.	University of Wyoming	October 24-26, 2017	Laramie, Wyoming	Teaching/Research	Transportation and meals
10.	ABA ROLI	October 30-November 3, 2017	Marakech, Morocco	Board Meeting	Transportation, lodging and meals
11.	Georgetown University	November 8-9, 2017	Washington, D.C.	Board of Visitors Meeting	Lodging and meals
12.	IAJ	November 11-16, 2017	Santiago, Chile	Annual Meeting	Transportation, lodging and meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Wells Fargo	RENTAL PROPERTY - SAN FRANCISCO CA	O
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. BANK OF AMERICA	A	Interest	L	T					
2. NORTHERN TRUST (CASH)	A	Interest	N	T					
3. BOEING (BA)	A	Dividend	K	T					
4. RENTAL PROPERTY #1, SAN FRANCISCO CA (50% INTEREST)	E	Rent	O	W					
5. IRA #1 (H)									
6. ABBVIE INC COM (ABBV)	A	Dividend	J	T					
7. AMER EX (AXP)	A	Dividend	K	T					
8. AMER WTR WKS (AWK)	A	Dividend	J	T					
9. AMGEN INC (AMGN)	A	Dividend	K	T					
10. APPLE INC (AAPL)	A	Dividend	K	T					
11. AUTODESK INC (ADSK)		None	J	T					
12. AUTOMATIC DATA PROC (ADP)	A	Dividend	J	T					
13. BRISTOL MEYER SQUIBB CO COM (BMY)	A	Dividend	J	T					
14. CITRIX SYS (CTXS)		None	J	T					
15. COSTCO WHOLESALE (COST)	A	Dividend	K	T					
16. CVS CAREMARK (CVS)	A	Dividend	J	T					
17. DANAHER CORP (DHR)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. DOMINION RES (D)	A	Dividend	J	T					
19. DOWDUPONT (DWDP) (FORMERLY DUPONT (DD))	A	Dividend	J	T					
20. EATON CORP COM (ETN)	A	Dividend	J	T					
21. ENBRIDGE INC (ENB) (FORMERLY SPECTRA ENERGY (SE))	A	Dividend	J	T					
22. EXPRESS SCRIPTS HLDG (ESRX)		None	J	T					
23. FORTIVE CORP COM (FTV)	A	Dividend	J	T					
24. FRNKLN RES (BEN)	A	Dividend	J	T					
25. HOME DEPOT (HD)	A	Dividend	K	T					
26. INTEL CORP (INTC)	A	Dividend	J	T					
27. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
28. JOHNSON CTLS INC (JCI)	A	Dividend	J	T					
29. LOGMEIN (LOGM)	A	Dividend	J	T	Spinoff (from line 14)	02/06/17	J		
30. MCDONALDS (MCD)	A	Dividend	J	T					
31. MEDTRONIC PLC COMMON STOCK (MDT)	A	Dividend	J	T					
32. MFB NORTH FDS FX INC (NOFIX)	D	Dividend	M	T					
33. MFB NORTH FDS GLOBAL RE INDEX (NGREX)	C	Dividend	M	T					
34. MFB NORTH HI YLD FXD INC (NHFIX)	D	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. US GOVT MM FUND (NOGXX)	A	Dividend	K	T					
36. MFC FLEXSHARES 3 YR (TDTF)	A	Dividend	J	T					
37. MFC FLEXSHARES 5 YR (TDTT)	A	Dividend	J	T					
38. MFC FLEXSHARES TR MRNGSTR GLBL UPSTREAM NAT RES INDEX (GUNR)	B	Dividend	L	T					
39. MFC ISHARES CORE S&P (IJR)	B	Dividend	M	T					
40. MFC ISHARES RUSSELL 1000 (IWD)	A	Dividend	K	T					
41. MFC SCTR SPDR BEN INT-HLTH CARE (XLV)	A	Dividend	K	T					
42. MFC SLCT SCTR SPDR CONSUMER STAPLES (XLP)	A	Dividend	J	T					
43. MFC SELECT SECT SPDR ENERGY (XLE)	A	Dividend	K	T					
44. MFC SELECT SECT SPDR FINL (XLF)	A	Dividend	L	T					
45. MFC SELECT SECTOR SPDR TECH (XLK)	A	Dividend	K	T					
46. MFC SELECT SECTOR CNSMR DISC COM (XLY)	A	Dividend	J	T					
47. MFC VANGUARD EMERG (VWO)	C	Dividend	M	T	Buy (add'l)	12/21/17	K		
48. MFC VANGUARD INTL EQ (VEU)	D	Dividend	N	T	Buy (add'l)	12/21/17	K		
49. NIKE INC CL B (NKE)	A	Dividend	J	T					
50. NORFOLK SOUTH (NSC)	A	Dividend	K	T					

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51. NUCOR CORP (NUE)	A	Dividend	J	T					
52. OMNICOM GRP (OMC)	A	Dividend	J	T					
53. ORACLE CORP (ORCL)	A	Dividend	J	T					
54. PEPSI CO INC (PEP)	A	Dividend	K	T					
55. PRINCIPAL FIN GRP (PFG)	A	Dividend	J	T					
56. PROCTOR & GAMBLE (PG)	A	Dividend	J	T					
57. QUALCOMM INC COM (QCOM)	A	Dividend	J	T					
58. SELECT SECT SPDR INDL (XLI)	A	Dividend	J	T					
59. SIMON PROP GRP (SPG)	A	Dividend	J	T					
60. SPDR S&P MIDCAP (MDY)	B	Dividend	M	T					
61. UNITED TECH CORP (UTX)	A	Dividend	J	T					
62. VF CORP (VFC)	A	Dividend	K	T					
63. WHOLE FOODS MKT (WFM)	A	Dividend			Redeemed	08/28/17	J		
64. IRA #2 (H)									
65. TEMPLETON FOREIGN CL A (TEMF)	B	Dividend	L	T	Buy (add'l)	12/27/17	J		
66. IRA #3 (H)									
67. AMERICAN BALANCED CL A (ABALX)	C	Dividend	L	T	Buy (add'l)	12/20/17	J		

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68. 403(b) #1 (H)									
69. UC SAVINGS FUNDS	A	Dividend	L	T					
70. FIDELITY CONTRA (FCNTX)	A	Dividend	N	T					
71. FIDELITY EURO CAP APPREC (FDCAX)	B	Dividend	L	T					
72. FIDELITY SELECT TECH/PURITAN	B	Dividend	K	T					
73. FIDELITY SELECT INDUS EQUIP (FSCGX)		None	K	T					
74. FIDELITY SMALL CAP DISCOVERY (FSCRX)		None	K	T					
75. BROKERAGE ACCOUNT #1 (H)									
76. ABBOTT LAB (ABT)	A	Dividend	J	T					
77. ABBVIE (ABBV)	A	Dividend	J	T					
78. AMER EX (AXP)	A	Dividend	J	T					
79. AMGEN INC (AMGN)	A	Dividend	J	T					
80. APPLE (APPL)	A	Dividend	K	T					
81. AUTODESK INC (ADSK)		None	J	T					
82. BRISTOL MEYERS SQUIBB CO COM (BMY)	A	Dividend	J	T					
83. CITRIX SYS (CTXS)		None	J	T					
84. COSTCO (COST)	A	Dividend	J	T					

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85. CVS CAREMARK (CVS)	A	Dividend	J	T					
86. DANAHER CORP (DHR)	A	Dividend	J	T					
87. DOMINION (D)	A	Dividend	J	T					
88. DOWDUPONT (DWDP) (FORMERLY DUPONT (DD))	A	Dividend	J	T					
89. ENBRIDGE INC (ENB) (FORMERLY SPECTRA ENERGY (SE))	A	Dividend	J	T					
90. EXPRESS SCRIPTS HLDG (ESRX)		None	J	T					
91. FORTIVE CORP (FTV)	A	Dividend	J	T					
92. GRAINGER (GWW)	A	Dividend	J	T					
93. INTEL CORP (INTC)	A	Dividend	J	T					
94. JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T					
95. MCDONALDS (MCD)	A	Dividend	J	T					
96. MEDTRONIC PLC COMMON STOCK (MDT)	A	Dividend	J	T					
97. MFB NORTH FDS CALIF INTER TAX EXPT (NCITX)	C	Dividend	M	T					
98. US GOVT MM FUND (NOGXX)	A	Dividend	K	T					
99. MFB NORTH FDS CA TAX EXPT (NCATX)	B	Dividend	L	T					
100. MFB NORTH HI YIELD FXD INC (NHFIX)	D	Dividend	L	T					
101. MFB NORTH STK INDEX (NOSIX)	B	Dividend	L	T					

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102. MFB NORTH MM GLOBAL RE (NMMGX)	B	Dividend	K	T					
103. MFB NORTH INTL EQTY INDEX (NOINX)	C	Dividend	M	T	Buy (add'l)	12/21/17	J		
104. MFC FLEXSHRS 5 YR (TDTF)	A	Dividend	J	T					
105. MFC FLEXSHRS 3 YR (TDTT)	A	Dividend	J	T					
106. MFC ISHARE TR CORE S&P (IJR)	A	Dividend	K	T					
107. MFC SECTOR SPDR BEN INT-HLTH (XLV)	A	Dividend	K	T					
108. MFC SLT SECTOR SPDR ENERGY (XLE)	A	Dividend	J	T					
109. MFC SLT SECTOR SPDR FINANCIAL (XLF)	A	Dividend	K	T					
110. MFC SLECT SECTOR SPDR TECH (XLK)	A	Dividend	K	T					
111. MFC FLEXSHARES MORNINGSTAR GLOBAL NAT RES FD (GUNR)	A	Dividend	K	T					
112. MFC VANGUARD MSCI EMERGING MKTS (VWO)	B	Dividend	L	T	Buy (add'l)	12/21/17	J		
113. MFO DFA INVT DIMENSIONS (DFREX)	A	Dividend	K	T					
114. NIKE INC CL B (NKE)	A	Dividend	J	T					
115. NORFOLK SOUTH (NSC)	A	Dividend	J	T					
116. OMNICOM GRP (OMC)	A	Dividend	J	T					
117. ORACLE (ORCL)	A	Dividend	J	T					
118. PEPSICO INC (PEP)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
119. PRINCIPAL FINL GRP (PFG)	A	Dividend	J	T					
120. PROCTOR & GAMBLE (PG)	A	Dividend	J	T					
121. QUALCOMM (QCOM)	A	Dividend	J	T					
122. SIMON PROP GRP (SPG)	A	Dividend	J	T					
123. SPDR S&P MIDCAP (MDY)	A	Dividend	K	T					
124. UNITED TECH (UTX)	A	Dividend	J	T					
125. VF CORP COM (VFC)	A	Dividend	J	T					
126. WHOLE FOODS MKT (WFM)	A	Dividend			Redeemed	08/28/17	J		
127. BROKERAGE ACCOUNT #2 (H)									
128. AIM VI TECH FUND		None	K	T					
129. ALGER LARGE CAP GROWTH (AAGOX)		None	K	T					
130. AMER CENTURY VP INTL (AVIIX)		None	J	T					
131. BARON GROWTH OPPORT FND		None	K	T					
132. SCHWAB S&P 500 INDEX FUND (SWPPX)		None	K	T					
133. BROKERAGE ACCOUNT #3 (H)									
134. FIDELITY MID-CAP (FMCSX)	A	Dividend	K	T					
135. FIDELITY PURITAN (FPURX)	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 3: This entry consolidates all of the Northern Trust cash accounts identified in my prior report (see 2016, lines 24, 26, 28, 30, 180, and 182). No reportable transactions are associated with this consolidation.

Part VII, Column B: Lines reflecting no income are so reported because none was attributed to those particular holdings during the reporting period, whether taxable, tax exempt, or tax deferred, or because the investments do not allocate income to individual holdings, but instead are credited only in unit value, per page 42 of the filing instructions.

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Mary M. McKeown**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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