

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VANSICKLE, FRED	2. Court or Organization U.S. District Court	3. Date of Report 08/04/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior District Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 7 N. Wenatchee Avenue, Suite 310 Wenatchee, WA 98801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Washington State Judicial Retirement	\$61,757.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	State of Washington Retirement
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T	A	Dividend			Sold	08/24/15	K	B	
2. Exxon/Mobil	A	Dividend			Sold	06/10/15	K	B	
3. Boeing	B	Dividend	L	T	Sold (part)	08/21/15	J	A	
4. Royal Dutch Petrol	A	Dividend			Sold	07/01/15	K	B	
5. Microsoft	B	Dividend	L	T					
6. St. Jude Med	A	Dividend	K	T					
7. Wash Trust Savings	A	Interest	J	T					
8. Johnson & Johnson	B	Dividend	L	T	Sold (part)	08/24/15	K	B	
9. RMPI Account	A	Interest	K	T					
10. Numerica CU	A	Interest	L	T					
11. Comcast Corp	A	Dividend	K	T					
12. North Cascades Bank Shares	A	Dividend	K	T					
13. Apache Corp	A	Dividend			Sold	07/21/15	J		
14. Broadcom Corp	A	Dividend			Sold	01/30/15	K	B	
15. Corning, Inc	A	Dividend	K	T	Sold (part)	01/14/15	J	A	
16. First American Fin Corp	A	Dividend	K	T	Sold (part)	08/19/15	J		
17. FMI Large Capital Fund	A	Dividend	J	T	Sold (part)	06/17/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
(See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	09/23/15	J	A	
19.					Sold (part)	11/11/15	J	A	
20. I Shares S&P Mid Cap	A	Dividend	K	T	Sold (part)	06/17/15	J	B	
21. JP Morgan Tax Aware	B	Dividend	L	T					
22. Lifelock Inc		None			Sold	06/28/15	K	B	
23. NCR Corp New		None	J	T	Sold (part)	06/16/15	J		
24. Nasdaq 100 Shares	A	Dividend	L	T	Buy (add'l)	08/13/15	J		
25.					Sold (part)	09/23/15	J		
26. Potash Corp	A	Dividend			Sold	02/17/15	K		
27. S&P 500 Growth E T F	A	Dividend	K	T	Sold (part)	09/23/15	K	A	
28. Schwab U.S. Mid-Cap E&F	A	Dividend	K	T	Sold (part)	11/11/15	J		
29.					Buy (add'l)	11/12/15	K		
30. Schwab US Large Cap ETF	B	Dividend	L	T	Sold (part)	04/14/15	K	A	
31.					Sold (part)	05/29/15	K		
32.					Buy (add'l)	06/29/15	K		
33.					Sold (part)	09/23/15	J		
34. Schwab US Small Cap ETF	A	Dividend	M	T	Buy (add'l)	09/17/15	L		

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(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35.					Buy (add'l)	10/20/15	J		
36. Verizon Comm	B	Dividend	K	T	Sold (part)	08/25/15	K		
37. Hart Cap Management Acct	A	Interest	L	T					
38. Power Shares Variable Rate Preferred ETF	B	Dividend	K	T	Buy (add'l)	02/12/15	J		
39.					Buy (add'l)	08/13/15	K		
40. Schwab Money Market sweep account	A	Interest	K	T					
41. Pimco Eqs Long Short Fd	A	Dividend	J	T					
42. Spirit Airlines		None			Sold	10/23/15	K		
43. Synchronoss Techs Inc		None	K	T					
44. Viacom Inc.	A	Dividend			Sold	08/25/15	K		
45. Dupont Fabros Technology	A	Dividend	K	T	Sold (part)	02/04/15	K	A	
46.					Buy (add'l)	06/09/15	K		
47. Global X Super Dividend US ETF	B	Dividend	K	T	Buy (add'l)	02/12/15	K		
48.					Sold (part)	05/08/15	J		
49.					Buy (add'l)	10/20/15	J		
50. Goldman Sachs MLP Energy	C	Dividend	L	T	Buy (add'l)	02/12/15	J		
51.					Sold (part)	06/17/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. Kinder Morgan Inc	A	Dividend			Buy (add'l)	02/12/15	J		
53.					Buy (add'l)	08/26/15	J		
54.					Buy (add'l)	06/02/15	J		
55.					Sold	12/02/15	K		
56. Outfront Media Inc	B	Dividend	K	T	Buy (add'l)	02/12/15	J		
57. Potlatch Corporation New	B	Dividend	L	T	Buy (add'l)	05/08/15	J		
58.					Buy (add'l)	06/11/15	J		
59.					Buy (add'l)	11/06/15	K		
60. Enesco Plc Adr	A	Dividend	K	T	Buy	02/12/15	K		
61. JP Morgan Chase & Co	A	Dividend	K	T	Buy	08/25/15	K		
62. Las Vegas Sands Corp	B	Dividend	K	T	Buy	04/14/15	K		
63. MainGate MLP Fund		None	K	T	Buy	12/23/15	K		
64. Realty Income Corp	B	Dividend	K	T	Buy	05/29/15	K		
65. Southwest Airlines		None	K	T	Buy	08/24/15	K		
66. SPDR Dow Jones Global Real Estate ETF	A	Dividend	J	T	Buy	06/17/15	J		
67. Washington Federal Inc		None	J	T	Buy	11/09/15	J		
68.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ FRED VANSICKLE**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544