

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gajarsa, Arthur J.	2. Court or Organization U.S. Court of Appeals for the Federal Circuit	3. Date of Report 07/27/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Appeals Judge - Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 06/30/2012
7. Chambers or Office Address 717 Madison Place, N.W. Washington, D.C. 20439		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Rensselaer Institute
2. Board of Visitors	Georgetown University
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2/12 -6/12	University of New Hampshire School of Law - Professor (Salary position)	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	AMERICAN CONFERENCE INSTITUTE	01/29/2011-02/01/2011	NEW YORK, NY	SPEAKING AT SYMPOSIUM	TRANSPORTATION, MEALS, LODGING
2.	LOS ANGELES INTELLECTUAL PROPERTY LAW ASSOCIATION	03/18/2011-03/22/2011	LOS ANGELES, CA	KEYNOTE SPEAKER	TRANSPORTATION, MEALS, LODGING
3.	NEW YORK INTELLECTUAL PROPERTY LAW ASSOCIATION	03/24/11 - 03/26/11	NEW YORK, NY	SPEAKING AT SYMPOSIUM & ANNUAL JUDGES DINNER	TRANSPORTATION, MEALS, LODGING

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4.	FEDERAL CIRCUIT BAR ASSOCIATION	03/31/2011-04/01/2011	SAN JOSE, CALIFORNIA	SPEAKING AT SYMPOSIUM	TRANSPORTATION, MEALS, LODGING
5.	PHILADELPHIA INTELLECTUAL PROPERTY LAW ASSOCIATION	04/26/2011-04/27/2011	NEW YORK, NY	KEYNOTE SPEAKER AT THE JOINT PRIVATE PRACTICE	TRANSPORTATION, MEALS, LODGING, CABS
6.	CONNECTICUT INTELLECTUAL PROPERTY LAW ASSOCIATION	04/27/2011-04/28/2011	NEW HAVEN, CT	KEYNOTE SPEAKER AT ANNUAL DINNER	NONE
7.	FEDERAL CIRCUIT BAR ASSOCIATION	05/16/2011-05/18/2011	MUNICH, GERMANY	SYMPOSIUM	TRANSPORTATION, MEALS, LODGING
8.	ASSOCIATION OF CORPORATE PATENT ATTORNEYS	06/26/2011-06/29/2011	SEATTLE, WA	SPEAKING AT SYMPOSIUM	TRANSPORTATION, MEALS, LODGING, CABS, PARKING
9.	US PATENT & TRADEMARK OFFICE-CHAMBER OF COMMERCE-FEDERAL CIRCUIT BAR ASSOCIATION	10/22/2011-10/28/2011	TOKYO, JAPAN	PANEL MEMBER AT VARIOUS PRESENTATIONS	TRANSPORTATION, MEALS, LODGING
10.	ECNI	11/08/2011-11/11/2011	ROME, ITALY	KEYNOTE SPEAKER & PRESENTATION IN SYMPOSIUM	TRANSPORTATION, MEALS, LODGING, CABS
11.	NEW YORK INTELLECTUAL PROPERTY LAW ASSOCIATION	03/23/2012 - 03/24/2012	NEW YORK, NY	ATTENDANCE AT 90TH ANNUAL DINNER IN HONOR OF THE FEDERAL JUDICIARY	TRANSPORTATION, MEALS, LODGING
12.	STANFORD LAW SCHOOL CONFERENCE ON INTELLECTUAL PROPERTY LAW AND BIOSCIENCES	04/26/2012 - 04/27/2012	SAN FRANCISCO, CA	PARTICIPANT IN CONFERENCE	TRANSPORTATION, MEALS, LODGING
13.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	MORGAN STANLEY SMITH BARNEY	MARGIN LOAN	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. COIN COLLECTION		None	L	W					
2. BANK OF AMERICA	A	Interest	K	T					
3. ROCKYWOLD DEEPHAVEN CAMPS	A	Dividend	K	T					
4. SPECIAL SITUATIONS LIFE SCIENCES FUND LP	D	Int./Div.	M	U					
5. ORTEC THERAPEUTICS INC	A	Dividend	K	T					
6. CHASE PACKAGING CORP TEX		None	J	T					
7. EAGLE BANCORP INC MD		None	K	T					
8. HOLOGIC INC		None	K	T					
9. INSULET CORP		None	L	T					
10. CONSOLIDATED EDISON	D	Dividend	L	T					
11. NORTHEAST UTILITIES (FORMALY NSTAR)	C	Dividend	L	T					
12. UDR INC	B	Dividend	K	T					
13. UNIVERSAL HEALTH REALTY INCOME TRUST	E	Dividend	M	T					
14. BABSON CAP COMP INVESTORS (FORMALY MASSMUTUAL CORP IN)	D	Dividend	M	T					
15. BABSON CAP PARTNERS INVESTO (FORMALY MASS MUTUAL PARTICIP)	E	Dividend	M	T					
16. EATON VANCE GREATER INDIA FUND	A	Dividend	M	T					
17. CITIBANK NA BNK DEPO PRGM "ACCOUNTS"	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. ANADIGICS INC		None	J	T					
19. CORNING INC	A	Dividend	K	T					
20. RUMSON-FAIR HAVEN BK & TRUST CO		None	K	T					
21. TGC INDUSTRIES INC		None	M	T					
22. POWERSHARES EXCHANGE - TRADED FD	A	Dividend	J	T					
23. CPI CAPITAL NORTH AMERICA SER A		None	L	T					
24. CAPITOL PRIVATE OPP LP	E	Int./Div.	N	T					
25.					Buy (add'l)	01/27/11	L		
26. FACEBOOK COMMON STOCK		None			Buy	05/18/12	K		
27.		None			Sold	06/19/12	K		
28. MORGAN STANLEY SMITH BARNEY IRA	A	Int./Div.	J	T					
29. - CITI BANK NA BANK DEPOSIT PROGRAM "ACCOUNT"									
30. - BANK OF AMERICA CORP									
31. MORGAN STANLEY SMITH BARNEY IRA	A	Int./Div.	M	T					
32. -CITIBANK NA SOUTH DAKOTA BNK DEPO PRGM "ACCOUNT"									
33. -CVCI CAYMEN OFF II CLA									
34.					Buy (add'l)	02/01/11	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35.					Buy (add'l)	09/01/11	K		
36. AMERITRADE IRA	E	Int./Div.	P1	T					
37. -CASH INSURED DEPOSIT "ACCOUNT"									
38. -ANADIGICS INC									
39. -BANK OF AMERICA CORP									
40. -CHASE PACKAGING CORPORATION									
41. -DIANA CONTAINERSHIPS INC					Buy	01/19/11	J		
42. -DIANA SHIPPING INC									
43. -DISCOVER FINL SVCS									
44. -GENERAL ELECTRIC COMPANY									
45. -GOLDCORP INC									
46. -HEALTH CARE REIT INC									
47. -INSULET CORPORATION									
48. -INTERMEC INC									
49. -INTRAOP MEDICAL CORP									
50. -LEGG MASON INC									
51. -BABSON CAP COMP INVESTORS (FORMALY MASSMUTUAL CORP IN)									

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52. -BABSON CAP PARTNERS INVESTO (FORMALY MASS MUTUAL PARTICIP)									
53. -ROSETTA STONE, INC					Sold	03/11/11	J		
54. -RUMSON-FAIR HAVEN BANK & TRUST									
55. -STEC INC									
56. -SUPREME INDS INC CL A					Buy	04/25/12	K		
57. -TGC INDS INC									
58. -UNIVERSAL HEALTH REALTY INCM T SH BEN INT									
59. -WORLD FUEL SERVICE CORP					Buy	02/03/11	K		
60. AMERITRADE ROTH IRA	A	Int./Div.	J	T					
61. -ENERGY CONVERSION DEVICES INC									
62. -MOTOROLA INC									
63. -MOTOROLA MOBILITY HOLDINGS INC					Spinoff (from line 62)	01/04/11	J		
64.					Sold	08/12/11	J		
65. AMERICAN EUROPACIFIC GROWTH FUND 529-A		None	J	T					
66. AMERICAN GROWTH FUND OF AMERICA 529-A		None	J	T					
67. AMERICAN NEW PERSPECTIVE FUND CL 529-A		None	K	T					
68. AMERICAN WASHINGTON MUTUAL INVESTORS FUND CL 529-A		None	J	T					

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69.									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII:

LINE 11: NSTAR - MERGED WITH NORTHEAST UTILITIES ON 04/10/2012.

LINES 14 & 15 AND LINES 51 & 52, HAD A NAME CHANGE DURING THE YEAR. LINE 14 & 51 NEW NAME BABSON CAPITAL CORP INVESTORS COM FROM MASSMUTUAL CORP INVESTORS . LINES 15 & 52 NEW NAME BABSON CAPITAL PART INVESTORS FROM MASS MUTUAL PARTICIPATION INVS .

LINES 65 - 68 HAD A NAME CHANGE, AMERICAN WAS ADDED TO THE BEGINNING OF EACH INVESTMENT.

MORGAN STANLEY IRA ACCOUNTS UTILIZE VARIOUS CITI BANK CASH ACCOUNTS IN THEIR BANK DEPOSIT PROGRAM. THESE ACCOUNTS OPEN & CLOSE DURING THE YEAR AS CASH FLOWS THROUGH THE ACCOUNTS. THERE IS NO GAIN OR LOSS UPON THE CLOSING OF THESE CASH DEPOSIT PROGRAM ACCOUNTS.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Arthur J. Gajarsa

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544