

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2013

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cummings, Samuel R.	2. Court or Organization U.S. District Court Northern District of Texas	3. Date of Report 03/21/2014
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2013 to 12/31/2013
7. Chambers or Office Address 1205 Texas Avenue Room C-210 Lubbock, Texas 79401		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

Cummings, Samuel R.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME

(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. money market-savings - Wells Fargo Bank	B	Interest	M	T					
2. CD - Wells Fargo Bank	F	Interest	P1	T					
3. CD-IRA - Wells Fargo Bank	B	Interest	N	T					
4. Oil & Gas Royalty & Working Interests: (H)									
5. --Dynergy (Targo) Midstream Service	B	Royalty	J	W					
6. --Roy Furr Estate Operator	B	Royalty	J	W					
7. Brokerage Account #1 (H)									
8. --CD - First State Bank, Buxton, ND	B	Interest	L	T					
9. --CD - Mason State Bank, Mason, MI	C	Interest	L	T					
10. --CD - Morton Community Bank, Morton, IL	C	Interest	L	T					
11. --CD - Citizens State Bank, Clayton, WI	B	Interest	K	T					
12. --CD - National Republic Bank, Chicago, IL	B	Interest	L	T					
13. --CD - Bank of North Carolina, Thomasville, NC	B	Interest	K	T					
14. --CD - Provincial Financial Bank, Clayton, MO	B	Interest	K	T					
15. --CD - National Republic Bank, Chicago, IL	A	Interest	J	T					
16. --CD - Roundbank, Waseca, MN	C	Interest	M	T					
17. --Bond - Eagle Mountain-Saginaw TX School District	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. --Bond - Travis Co. TX	B	Interest	L	T					
19. --Bond - Pflugerville TX ISD Building PSF	B	Interest	K	T					
20. --Bond - Duncanville TX ISD	B	Interest			Redeemed	02/15/13	L		proceeds purchased bond
21. --Bond - Barbers Hill TX ISD	B	Interest	K	T					
22. --Bond - Ft. Worth TX CTF oblig.	B	Interest	L	T					
23. --Bond - NW Harris County Municipal Utility District	C	Interest	L	T					
24. --Bond - Ft. Bend County Municipal Utility District	C	Interest	L	T					
25. --Bond - Donna TX ISD School Building PSF	B	Interest	L	T					
26. --Bond - Pharr San Juan Alamo TX ISD	B	Interest	L	T					
27. --Bond - Dallas TX ISD Building Fund	B	Interest	K	T					
28. --Bond - University of TX Revs. Fin. Sys.	C	Interest	M	T					
29. --Bond - Waxahachie TX CTFS oblig.	B	Interest	L	T					
30. --Bond - Lubbock TX ISD Sch Bldg Fund PSF	B	Interest	L	T					
31. --Burleson TX CTF oblig.	A	Interest	K	T					
32. --McKinney TX Ind. Sch. Dis. g/o	A	Interest	L	T					
33. Brokerage Account #2 (H)									
34. --CD - Bank of North Carolina, Thomasville, NC	B	Interest	M	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. --CD - Discover Bank, Greenwood, DE	B	Interest	M	T					
36. --CD - First Bank Highland Park, Highland Park, IL	B	Interest	M	T					
37. --CD - Luana Savings Bank., Luana, IA	B	Interest	M	T					
38. Brokerage Account #1 (H)									
39. --Bond - Texas Tech University Bond	A	Interest	K	T					
40. --Bond-Hale Center TX ED FACS CORP (cont'd in Part VIII)	B	Interest	L	T					
41. --Bond - Temple Tex. Rev. & Ltd. Tax Bds	A	Interest	K	T					
42. Brokerage Account #3 (H)									
43. --Nureen Quality Preferred FD3	B	Dividend	K	U					
44. --Nureen Quality Preferred FD3	B	Dividend	K	U					
45. Brokerage Account #1 (H)									
46. --Bond - Ft. Bend TX Util Dis BDS	B	Interest	L	T	Buy	01/15/13	L		see part VIII
47. --Bond - Victoria County TX Jr. College Dist.	B	Interest	L	T	Buy	02/20/13	L		see part VIII
48. --Bond - Crowley TX ISD	B	Interest	L	T	Buy	09/15/13	L		see part VIII

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, p. 6, line 40 - Rev. Wayland Baptist Univ. Proj. B/E B/O

Part VII, p. 6, line 46 - Sam R. Cummings & Sally L. Cummings, tenants in common

Part VII, p. 6, line 47 - Sam R. Cummings & Sally L. Cummings, tenants in common

Part VII, p. 6, line 48 - Sam R. Cummings & Sally L. Cummings, tenants in common

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Samuel R. Cummings**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544