

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Keenan, Barbara M.	2. Court or Organization U.S. Court of Appeals, 4th Circuit	3. Date of Report 5/1/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 200 South Washington Street Suite 305 Alexandria, VA 22314		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Trustee	Trust #1 - See Sec. VII, p. 14, Line 182
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	Retirement Income - Commonwealth of Virginia	\$147,626.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Virginia Retirement System-Monthly Payment
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. National Symposium	November 6-7, 2014	Washington, DC	Symposium for Court of Appeals Judges	Parking, Mileage - \$58.20
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America-Bank Accounts	E	Interest	N	T					
2. BB&T -Bank Accounts	A	Interest	J	T					
3. Jefferson County, WV- farmland, 25% interest	A	Rent	M	Q					
4. Jefferson County, WV- farm + structures-25% int	B	Rent	O	Q					
5. MERRILL LYNCH BROKERAGE ACCT #1;									
6. BB&T Bank Corp. common stock	E	Dividend	N	T					
7. Cisco Systems-common stock	B	Dividend	L	T					
8. Coca Cola Corp.-common stock	A	Dividend	K	T					
9. Freeport McMoran-common stock	B	Dividend	K	T					
10. Glaxo SmithKline-common stock	A	Dividend	J	T					
11. Merk & Co.-common stock	B	Dividend	K	T					
12. Pfizer Inc.-common stock	C	Dividend	M	T					
13. Time Warner Cable-common stock	A	Dividend	K	T					
14. Time Warner Inc.-common stock	B	Dividend	L	T					
15. Wells Fargo & Co.-common stock	A	Dividend	J	T					
16. Express Scripts Hldg. Co.		None	J	T					
17. Dominion Resources - common stock	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18.					Buy (add'l)	08/06/14	L		
19. AOL - common stock	A	Dividend	J	T					
20. Bank of America Tax-Exempt Reserves CL	A	Interest	L	T					
21. Time Inc.	A	Dividend	J	T	Spinoff (from line 14)	06/19/14	J		
22. MERRILL LYNCH BROKERAGE ACCT #2 (IRA)									
23. MainStay Marketfield Fund		None	J	T					
24. Ivy Asset Strategy Fund		None			Sold	6/25/14	J	A	
25. Dreyfus International Bond Fund	A	Dividend			Sold	06/25/14	J	A	
26. Oppenheimer Senior Floating Rate Fund	A	Dividend			Sold	6/25/14	J	A	
27. Oppenheimer International Growth Fund		None			Sold	6/25/14	J	A	
28. Oppenheimer Developing Markets Fund		None			Sold	6/25/14	J	A	
29. JP Morgan Large Cap Growth Fund		None			Sold	1/6/14	J	A	
30. MFS New Discovery Fund		None			Sold	06/25/14	J	A	
31. MFS Value Fund	A	Dividend			Sold	06/25/14	J	A	
32. MFS Equity Opportunities FD		None			Buy	01/06/14	J		
33.					Sold	06/25/14	J	A	
34. JP Morgan Equity Income Fund	A	Dividend			Sold	06/23/14	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. TCW Select Equities	A	Dividend			Sold	06/23/14	J	A	
36. The Oakmark International Fund		None			Sold	06/23/14	J	A	
37. SPDR Gold Trust		None			Sold	06/25/14	J	A	
38. SPDR S&P 500 ETF Trust	A	Dividend	L	T	Buy	06/25/14	L		
39. SSGA Active Multi Asset Fund	A	Dividend			Sold	03/03/14	J	A	
40.			J	T	Buy	06/25/14	J		
41. ALPS/Red Rock Listed Private Equity	A	Dividend	J	T	Buy	02/27/14	J		
42. Goldman Sachs Strategic Income FD	A	Dividend			Buy	02/27/14	J		
43.					Sold	06/25/14	J	A	
44. Vanguard Mega Cap 300	A	Dividend			Sold (part)	06/25/14	J	A	
45.					Sold	07/21/14	J	A	
46. Vanguard Mega Cap Growth Fund	A	Dividend			Sold (part)	06/25/14	J	A	
47.					Sold	07/21/14	J	A	
48. Vanguard FTSE ALL WORLD	A	Dividend	K	T	Buy	06/25/14	K		
49. JP Morgan Strategic Income Opp Fund	A	Dividend			Sold	06/23/14	J	A	
50. Mainstay Unconstrained Bond Fund	A	Dividend			Sold	06/23/14	J	A	
51. Aberdeen Global High Income Fund	A	Dividend			Sold	06/23/14	J	A	

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52. Blackrock Strategic Income Opportunities	A	Dividend			Sold	02/27/14	J	A	
53. Pioneer Multi Asset Real Return Fund	A	Dividend			Sold	06/25/14	J	A	
54. JP Morgan Undiscovered Mgrs Behavioral Value Fund; Select		None			Sold	06/20/14	J	A	
55. Lord Abbett Short Duration Income Fund	A	Dividend			Sold	06/25/14	J	A	
56. Vanguard Total Bond Market Per Advisor	A	Dividend	J	T					
57. FIA Card SVS NA Rasp MM Fund (X)	A	Interest	J	T					
58. MERRILL LYNCH BROKERAGE ACCT #3									
59. Coca Cola Co.-common stock	A	Dividend	K	T					
60. General Electric-common stock	B	Dividend	M	T					
61. Intel Corp.-common stock	B	Dividend	K	T					
62. IBM-common stock	B	Dividend	L	T					
63. Pfizer Inc.-common stock	C	Dividend	L	T					
64. Bank of America Muni Reserves Money Market	B	Interest	L	T					
65. Dominion Resources - common stock	B	Dividend	L	T					
66.					Buy (add'l)	08/06/14	L		
67. MERRILL LYNCH BROKERAGE ACCT #4									
68. Thornburg Intermediate Muni Fund	A	Dividend			Sold	06/19/14	J	A	

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69. Lord Abnett Intermediate Tax Free Fund	A	Dividend			Sold	06/19/14	J	A	
70. MainStay Market Field Fund		None	J	T					
71. Ivy Asset Strategy Fund		None			Sold	06/19/14	J	A	
72. Dreyfus International Bond Fund	A	Dividend			Sold	06/19/14	J	A	
73. Oppenheimer Senior Floating Rate Fund	A	Dividend			Sold	06/19/14	J	A	
74. Oppenheimer International Growth Fund		None			Sold	06/19/14	J	A	
75. Oppenheimer Developing Markets Fund		None			Sold	06/19/14	J	A	
76. JP Morgan Large Cap Growth Fund	A	Dividend			Sold	01/03/14	J	A	
77. MFS New Discovery Fund		None			Sold	06/19/14	J	A	
78. MFS Value Fund	A	Dividend			Sold	06/19/14	J	A	
79. MFS Equity Opportunities FD		None			Buy	01/03/14	J		
80.					Sold	06/19/14	J	A	
81. JP Morgan Equity Income Fund	A	Dividend			Sold	06/19/14	J	A	
82. TCW Select Equities		None			Sold	06/19/14	J	A	
83. OakMark International Fund		None			Sold	06/19/14	J	A	
84. SPDR Gold Trust		None			Sold	06/19/14	J	A	
85. SPDR S&P ETF Trust		None	K	T	Buy	06/19/14	K		

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86.					Sold (part)	06/30/14	J	A	
87. SSGA Active Multi Asset	A	Dividend			Sold	02/26/14	J	A	
88.			J	T	Buy	06/19/14	J		
89. Vanguard Mega Cap 300	A	Dividend			Sold	06/19/14	J	A	
90.					Sold	07/21/14	J	A	
91. Vanguard Mega Cap Growth	A	Dividend			Sold (part)	06/19/14	J		
92.					Sold	07/21/14	J	A	
93. Vanguard Total Bond Market	A	Dividend	K	T	Buy	06/19/14	K		
94. Vanguard FTSE ALL WORLD	A	Dividend	J	T	Buy	06/19/14	J		
95. JP Morgan Strategic Income Opp Fund	A	Dividend			Sold	06/19/14	J	A	
96. MainStay Unconstrained Bond Fund	A	Dividend			Sold	06/19/14	J	A	
97. Aberdeen Global High Income Fund	A	Dividend			Sold	06/19/14	J	A	
98. Blackrock Strategic Income Opprtnts Fund	A	Dividend			Sold	02/26/14	J	A	
99. Pioneer Multi Asset Return Fund	A	Dividend			Sold	06/19/14	J	A	
100. JP Morgan Undiscovered Mgrs Behavioral Value Fund; select		None			Sold	06/19/14	J	A	
101. Goldman Sachs Strategic Income FD	A	Dividend			Buy	02/26/14	J		
102.					Sold	06/19/14	J	A	

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103. ALPS/Red Rocks, Listed Private Equity ED	A	Dividend	J	T	Buy	02/26/14	J		
104. Merrill Lynch Bank Deposit Program	A	Interest	M	T					
105. WELLS FARGO BROKERAGE ACCT									
106. Altria Group - common stock	A	Dividend	K	T					
107. AOL, Inc. - common stock		None	J	T					
108. Boeing Co. - common stock	A	Dividend	K	T					
109. Cisco Systems Inc. - common stock	A	Dividend	J	T					
110. Express Scripts Holding Co. - common stock		None	J	T					
111. Freeport - McMoran Copper and Gold inc. - common stock	B	Dividend	K	T					
112. General Electric Co. - common stock	A	Dividend	K	T					
113. Hewlett-Packard Co. - common stock	A	Dividend	J	T					
114. IBM - common stock	A	Dividend	J	T					
115. Kraft Food Group - common stock	A	Dividend	J	T					
116. Marriott Int'l - common stock	A	Dividend	K	T					
117. Marriott Vacations Worldwide Corp. - common stock	A	Dividend	J	T					
118. Merck & Co. - common stock	A	Dividend	J	T					
119. Mondelez Int'l Inc. - common stock	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Mylan Inc. - common stock		None	J	T					
121. Pfizer - common stock	D	Dividend	O	T					
122. Philip Morris International - common stock	A	Dividend	K	T					
123. Reynolds American Inc. - common stock	A	Dividend	J	T					
124. Time Warner Cable - common stock	A	Dividend	J	T					
125. Time Warner Inc. - common stock	A	Dividend	J	T					
126. 3 M Co. - common stock	A	Dividend	K	T					
127. MFS Municipal - Open End Mutual Fund	C	Interest	L	T					
128. Sentinel Short Maturity Govt Fund - Open End Mutual Fund	A	Interest	K	T					
129. Eaton Vance Senior -Floating Rate Fund - Closed End Mut.	A	Dividend	J	T					
130. Federal Premier Municipal Income Fund	A	Dividend	J	T					
131. Time Inc.	A	Dividend	J	T	Spinoff (from line 125)	06/09/14	J		
132. MERRILL LYNCH BROKERAGE ACCT (IRA-)									
133. Mainstay Marketfield Fund		None	J	T					
134. Ivy Asset Strategy Fund		None			Sold	06/20/14	J	A	
135. Dreyfus International Bond Fund	A	Dividend			Sold	06/25/14	J	A	
136. Oppenheimer Senior Floating Rate Fund	A	Dividend			Sold	06/20/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Oppenheimer International Growth Fund		None			Sold	06/20/14	J	A	
138. Oppenheimer Developing Markets Fund		None			Sold	06/25/14	J	A	
139. JP Morgan Large Cap Growth FD		None			Sold	01/03/14	J	A	
140. MFS New Discovery FD		None			Sold	06/20/14	J	A	
141. MFS Value FD	A	Dividend			Sold	06/20/14	J	A	
142. JP Morgan Equity Income FD	A	Dividend			Sold	06/20/14	J	A	
143. TCW Select Equities		None			Sold	06/20/14	J	A	
144. The Oakmark International FD		None			Sold	06/23/14	J	A	
145. SPDR Gold Trust		None			Sold	06/20/14	J	A	
146. SPDR S&P 500 ETF Trust	A	Dividend	K	T	Buy	06/20/14	J		
147. SSGA Active Multi Asset Real Return	A	Dividend			Sold	02/26/14	J	A	
148.			J	T	Buy (add'l)	06/20/14	J		
149. Vanguard Mega Cap 300	A	Dividend			Sold (part)	06/20/14	J	A	
150.					Sold	07/21/14	J	A	
151. Vanguard Mega Cap Growth	A	Dividend			Sold	06/20/14	J	A	
152. Vanguard FTSE ALL WORLD	A	Dividend	J	T	Buy (add'l)	06/20/14	J		
153. JP Morgan Strategic Income Opp Fund	A	Dividend			Sold	06/20/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Mainstay Unconstrained Bond Fund	A	Dividend			Sold	06/20/14	J	A	
155. Aberdeen Global High Income FD	A	Dividend			Sold	06/20/14	J	A	
156. Blackrock Strategic Income Opportunities	A	Dividend			Sold	02/27/14	J	A	
157. Pioneer Multi Asset Real Return Fund	A	Dividend			Sold	06/20/14	J	A	
158. JP Morgan Undisc. Mgrs Behavioral Value Fund, Select		None			Sold	06/20/14	J	A	
159. Lord Abbett Short Duration Income Fund	A	Dividend			Sold	06/20/14	J	A	
160. Vanguard Total Bond Mkt Per Advisor	A	Dividend	K	T	Buy	06/20/14	K		
161.					Sold (part)	06/30/14	J	A	
162. ALPS/Red Rocks Listed Private Equity	A	Dividend	J	T	Buy	02/26/14	J		
163. Goldman Sachs Strategic Income FD	A	Dividend			Buy	02/27/14	J		
164.					Sold	06/20/14	J	A	
165. Bank of America RASP	A	Interest	J	T					
166. COMMONWEALTH OF VA - DEFINED CONTRIBUTION PLAN									
167. Treasury Bill-Money Mkt Fnd		None	K	T					
168. S&P 500 Index		None	M	T					
169. Active Inflation Pro Bond Fund		None	L	T					
170. International Equity Index Fund		None	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Active Global Equity Fund		None	K	T					
172. 401(a) Cash Match-S&P 500 Index Fund		None	J	T					
173. COMMONWEALTH OF VIRGINIA -DEFINED CONTRIBUTION PLAN									
174. Treasury Bill Money Market Fund		None	L	T					
175. S&P 500 Index Fund		None	N	T					
176. Active Inflation Pro Bond Fund		None	L	T					
177. International Equity Index Fund		None	K	T					
178. Active Global Equity Fund		None	K	T					
179. 401(a) Cash Match S&P Index		None	J	T					
180. TRUST #1:									
181. BB&T Corp. Accounts	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

The appraisal date for the assets in Part VII, page 4, lines 3 and 4 is 2009.

In the prior report (2013), lines 155, 156, and 158 were listed in duplicate, that is why they are not listed in the current report.

In the prior report (2013), line 191 was listed as Sold in part, and it should have been listed as Sold, that is why it is not listed in the current report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barbara M. Keenan**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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