

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ADLER, JAN M.	2. Court or Organization US DISTRICT COURT, SDCA	3. Date of Report 08/23/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US MAGISTRATE JUDGE FULL-TIME	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address UNITED STATES DISTRICT COURT 940 FRONT STREET, ROOM 2140 SAN DIEGO, CA 92101-8928		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	MEMBER	EQUITY PARTNERS SPECIAL PURPOSE LLC
2.	CO-TRUSTEE	TRUST #1
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2014	FEE INCOME FROM FORMER LAW FIRM TO BE COLLECTED FOR WORK PERFORMED BEFORE LEAVING THE FIRM, APPROXIMATELY \$35,000 PER YEAR THROUGH 2025.
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	EQUITY PARTNERS SPECIAL PURPOSE LLC- MEMBER INCOME	\$34,438.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2014	Nuskin; redeemed value of ADR points
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST #1									
2. AMERIPRISE BROKERAGE ACCOUNT		None	K	T					
3. - AMCAP CL A	D	Dividend	L	T					
4. - AMERICAN BALANCED CL A	C	Dividend	L	T					
5. - AMERICAN SHORT TERM TAX EXEMPT BOND CL A	A	Dividend	L	T					
6. - CAPITAL INCOME BUILDER CL A	C	Dividend	L	T	Sold (part)	11/18/14	K	C	
7. - GROWTH FUND OF AMERICA CL A	C	Dividend	K	T					
8. - LIMITED TERM TAX EXEMPT BOND AMERICA CL A	B	Dividend	L	T					
9. - NEW PERSPECTIVE CL A	D	Dividend	L	T					
10. - NEW WORLD CL A	C	Dividend	L	T					
11. - WASHINGTON MUTUAL INVESTORS CL A	D	Dividend	M	T					
12. AMERIPRISE BROKERAGE ACCOUNT IRA #1 - MM		None	J	T					
13. - CAPITAL INCOME BUILDER CL A	C	Dividend	L	T					
14. - CAPITAL WORLD GROWTH & INCOME - A	A	Dividend	K	T					
15. - MANAGERS YACKTMAN N/K/A AMG YACKTMAN FOCUSED SERVICE CL	C	Dividend	L	T					
16. - NEW PERSPECTIVE - A	C	Dividend	L	T					
17. - NEW WORLD	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.01 - \$500,000.00	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - PIMCO REAL RETURN BOND - A	A	Dividend			Sold	01/16/14	K		
19. - PIMCO ALL ASSET - A	B	Dividend			Sold	11/18/14	L		
20. - HCP INCOME		Dividend			Sold	01/16/14	K		
21. - CNL LIFESTYLE PROPERTIES INC REIT	B	Distribution	K	T					
22. - INLAND AMERICAN	B	Dividend	K	T					
23. - FUNDAMENTAL INVESTORS - A	C	Dividend	L	T					
24. AMERIPRISE BROKERAGE ACCOUNT IRA #2 - MM		None	J	T					
25. - CAPITAL INCOME BUILDER - A	B	Dividend	K	T					
26. - CAPITAL WORLD GROWTH & INCOME	A	Dividend	K	T					
27. AMERIPRISE ONE FINANCIAL ACCOUNT - MM		None	L	T	Buy (add'l)	03/07/14	K		
28.					Buy (add'l)	04/04/14	K		
29.					Buy (add'l)	11/05/14	J		
30.					Buy (add'l)	11/05/14	J		
31.					Buy (add'l)	11/21/14	L		
32.					Buy (add'l)	11/25/14	K		
33. - INTERMEDIATE BOND FUND OF AMERICA CL A	A	Dividend	K	T	Sold (part)	05/23/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. ---FRANKLIN FEDERAL INTERMEDIATE TERM TAX-FREE FUND - A	A	Dividend			Sold (part)	05/23/14	K		
35.					Sold	08/26/14	K		
36. ---FRANKLIN LIMITED TAX-FREE INCOME CA	A	Dividend	K	T	Sold (part)	03/18/14	K	A	
37.					Sold (part)	05/23/14	J	A	
38. - CNL HEALTHCARE TR INC	C	Dividend	L	T					
39. STRATEGIC PORTFOLIO SERVICE ADVANTAGE		None	K	T	Sold (part)	04/04/14	K		
40.					Sold (part)	11/05/14	J		
41.					Sold (part)	11/21/14	L		
42. - BARON SMALL CAP FUND	C	Dividend	L	T					
43. - COLUMBIA DIVIDEND OPPORTUNITY CL A	D	Dividend	L	T					
44. - CREDIT SUISSE FLOATING RATE HIGH INCOME CL A	B	Dividend	K	T	Buy	01/10/14	K		
45. - 1ST EAGLE GLOBAL - A FIRST EAGLE FUND	C	Dividend	L	T	Sold (part)	11/18/14	K	C	
46. - FRANKLIN FEDERAL INTERMEDIATE TAX FREE INCOME CL A	A	Dividend			Sold	01/10/14	L	A	
47. - FRANKLIN MANAGED TR RISING DIVIDEND FUND CL A	B	Dividend	L	T					
48. - FRANKLIN STRATEGIC INCOME CL A	C	Dividend	K	T	Sold (part)	11/18/14	K		
49. - GABELLI ASSET AAA	C	Dividend	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
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50. - JANUS VENTURE CL A		None			Sold	11/18/14	K	C	
51. - CLEARBRIDGE MIDCAP CORE A	B	Dividend	L	T					
52. - METROPOLITAN WEST LOW DURATION BOND CL M	A	Dividend	K	T	Buy	01/10/14	K		
53. - VIRTUS REAL ESTATE SECURITIES	C	Dividend	L	T					
54. - S&P 500 ETF TRUST (SPDR) UNIT SER I	B	Dividend	L	T					
55. - VANGUARD TOTAL STOCK MARKET	A	Dividend	K	T					
56. STRATEGIC PORTOLIO SERVICE ADVANTAGE, IRA		None	L	T	Buy (add'l)	01/22/14	L		
57.					Buy (add'l)	12/02/14	L		
58. - BLACKROCK HEALTH SCIENCES INVESTOR CL A	B	Dividend	K	T	Buy	12/02/14	K		
59. - COLUMBIA ACORN - A	B	Dividend			Sold	11/18/14	L	E	
60. - COLUMBIA DIVIDEND OPPORTUNITY CL A	D	Dividend	L	T					
61. - CREDIT SUISSE FLOATING RATE HIGH INCOME CL A	B	Dividend	K	T	Buy	01/10/14	K		
62. - DOUBLELINE TOTAL RETURN BOND CL N	B	Dividend	K	T					
63. - BLACKROCK NATIONAL RESOURCES - A		None			Sold	12/02/14	K	D	
64. - S&P (SPDR) GOLD TRUST		None	K	T					
65. - FIDELITY ADVANTAGE STRATEGIC INCOME - A	A	Dividend			Sold	10/10/14	K	B	
66. - 1ST EAGLE US VALUE - A FIRST EAGLE FUND		None			Sold	01/10/14	L	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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67. - FRANKLIN RISING DIVIDENDS	B	Dividend	L	T	Buy (add'l)	01/23/14	K		
68. - FRANKLIN STRATEGIC INCOME CA	C	Dividend	K	T	Buy (add'l)	01/23/14	K		
69. - MANAGERS YACKTMAN N/K/A AMG YACKTMAN FOCUSED SERVICE CL	D	Dividend	L	T	Buy (add'l)	01/10/14	L		
70.					Buy (add'l)	01/13/14	J		
71. - MANNING & NAPIER FUND PRO BLEND MODERATE TERM CL A	D	Dividend	L	T					
72. - METROPOLITAN WEST LOW DURATION BOND CL M	A	Dividend	L	T	Buy	01/23/14	K		
73.					Buy (add'l)	12/02/14	K		
74. - METROPOLITAN WEST TOTAL RETURN BOND CL M	A	Dividend	L	T	Buy	12/02/14	K		
75. - NUVEEN SANTANA BARBARA GROWTH CL A	B	Dividend	K	T					
76. - WEITZ SHORT INTERIM INCOME	A	Dividend	L	T					
77. - VANGUARD TOTAL STOCK MARKET	A	Dividend	L	T	Buy (add'l)	12/05/14	K		
78. RIVERSOURCE LIFE INSURANCE COL RAVA 5 - COLUMBIA VP		None	N	T					
79. RIVERSOURCE RETIREMENT ADVISOR 4 - VP MODERATE CL 4		None	M	T					
80. RIVERSOURCE LIFE PROTECTIONI PLUS UL INSURANCE		None	M	T					
81. JP MORGAN CHASE BANK ACCOUNTS	A	Interest	J	T					
82. FIDELITY 529 PLAN COLLEGE PORTFOLIO CL - A #1		None			Distributed (part)	07/09/14	K		
83.					Distributed	12/11/14	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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84. FIDELITY 529 PLAN COLLEGE PORTFOLIO CL - A #2		None	K	T	Distributed (part)	07/24/14	K		
85.					Distributed (part)	12/29/14	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII, LINE 1: HEADER FOR INVESTMENTS LINES 2-82 HELD IN TRUST #1.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ JAN M. ADLER

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544