

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kelly, Maureen P.	2. Court or Organization U.S. District Court for the Western District of Pennsylvania	3. Date of Report 09/26/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief United States Magistrate Judge Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address U.S. District Court for the Western District of Pennsylvania 9280 U.S. Post Office and Courthouse 700 Grant Street Pittsburgh, PA 15219		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Seton LaSalle High School
2.	(Term ended 9/1/15)
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	Architect, S-Corp, Hayes Design Group-Architects, Inc - W2 and K1 (Employee & 100% Shareholder)
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Allegheny County Bar Association	6/18/2015 - 6/19/2015	Champion, PA	Bench-Bar Conference	Conference registration, food, and lodging (\$554.00)
2. Academy of Trial Lawyers of Allegheny County	9/30/2015 - 10/02/2015	Farmington, PA	Academy of Advocacy Conference	Conference registration, food, and lodging (\$683.00)
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Schwab retirement inherited IRA	B	Distribution	J	T					
2. Cash & Cash Equivalents		None	J	T					
3. -Large Domestic Equity Berk Hathaway class B		None	J	T					
4. -Large Domestic Equity Longleaf Partners Fund		None	J	T					
5. -Large Domestic Equity Wintergreen Fund		None	J	T					
6. PNC Savings Account	A	Interest	J	T					
7. PNC Savings Account	A	Interest	J	T					
8. BCCZ 401k Retirement Plan (no control)			N	U					
9. Schwab Brokerage Account									
10. -Large US Equity Berk Hath new Class B		None	M	T					
11. -Large US Equity Third Ave Value	D	Int./Div.	M	T	Distributed (part)	12/30/15	J	D	
12. -Large US Equity Longleaf Partners	C	Int./Div.	L	T	Distributed (part)	05/21/15	J	C	
13. -Large US Equity Dodge & Cox Stock Fund	C	Int./Div.	M	T	Distributed (part)	12/21/15	J	C	
14. -Large US Equity Yacktman Focused	E	Int./Div.	M	T	Distributed (part)	12/28/15	K	E	
15. -Large US Equity Touchstone Sands Capital	D	Int./Div.	M	T	Distributed (part)	12/11/15	J	D	
16. -Large US Equity Fairholme Fund	E	Int./Div.	L	T	Distributed (part)	12/11/15	K	E	
17. -Large US Equity American Express Co		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -Large US Equity Harbor Cap Appreciation Fund	E	Int./Div.	L	T	Distributed (part)	04/28/15	K	E	
19. -Large US Equity Chase Investment Counsel	D	Int./Div.	L	T	Distributed (part)	12/08/15	J	D	
20. -Large US Equity Jensen Quality Fund	D	Int./Div.	L	T	Distributed (part)	12/17/15	J	D	
21. -Large US Equity Sequoia Fund	D	Int./Div.	J	T	Distributed (part)	10/30/15	J	D	
22. -Large US Equity Beck Mack & oliver Partners Fund(Y)	A	Int./Div.			Distributed	11/10/15	J	A	
23. -Large US Equity PNC Finl Services		None	J	T					
24. -Small US Equity FPA Capital Fund	C	Int./Div.	M	T	Distributed (part)	12/21/15	J	C	
25. -Small US Equity Akre Fd Inst	D	Int./Div.	L	T	Distributed (part)	05/21/15	J	D	
26. -Small US Equity Longleaf Partners	D	Int./Div.	M	T	Distributed (part)	11/12/15	J	D	
27. -Small US ASHTON River Road Independent (X)		None	K	T	Buy	04/28/15	K		
28. -Small US Equity Martin Focused Value (Y)	A	Int./Div.			Distributed	03/05/15	J	A	
29. -Small US Equity Wintergreen Fund		None	K	T					
30. -Small US Equity Evermore Global Value	A	Int./Div.	K	T	Distributed (part)	12/11/15	J	A	
31. -Small US Equity Vulcan Value Partners Small Cap		None	J	T	Buy	12/01/15	J		
32. -International Equity Oakmark Global Select Fund	C	Int./Div.	M	T	Distributed (part)	12/17/15	J	C	
33. -International Equity Tweedy Browne Global Value Fund	C	Int./Div.	L	T	Distributed (part)	12/29/15	J	C	
34. -International Equity Harbor Int Fund	A	Int./Div.	L	T	Distributed (part)	12/17/15	J	A	

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35. -International Equity First Eagle Overseas Fund	A	Int./Div.	L	T	Distributed (part)	12/17/15	J	A	
36. -International Equity Longleaf Partners Intl Fund	A	Int./Div.	L	T	Distributed (part)	12/17/15	J	A	
37. -International Equity Thornburg Develop world fd (Y)	E	None			Distributed	11/13/15	K	E	
38. -International Equity Lazard Intl Strategic (X)		None	L	T	Buy	12/21/15	L		
39. -Real Estate Securities-Third Ave RE Value fund(Y)	D	Int./Div.			Distributed	12/30/15	K	D	
40. -Real Estate Securities-Baron RE Fund		None	K	T	Buy	12/31/15	K		
41. -Alternative Securities AQR Diversified		None	L	T					
42. -Alternative Securities Litman Gregory Masters	A	Int./Div.	L	T	Distributed (part)	12/22/15	L	A	
43. -Alternative Securities Calamos market Neutral	A	Int./Div.	K	T	Distributed (part)	12/17/15	J	A	
44. -Alternative Securities Greenspring Fund(Y)	A	Int./Div.			Distributed	12/17/15	J	A	
45. -Fixed Income Long Intermediate Loomis Sayles Bond	A	Int./Div.	K	T	Distributed (part)	12/17/15	K	A	
46. -Fixed Income Long Intermediate Third Ave Focused Credit	D	Int./Div.	J	T	Distributed (part)	12/07/15	J	D	
47. -Fixed Income Long Intermediate FPA New Income (X)		None	J	T	Buy	12/21/15	J		
48. -Cash & Cash Equivalents (Schwab various Accounts)		None	K	T					
49. -American Funds Invest acct Capital Income Builder (Y)									
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51.									

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52.									
53.									
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Maureen P. Kelly**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544