

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DEES, JR, HARRY C.	2. Court or Organization UNITED STATES BANKRUPTCY COURT	3. Date of Report 05/01/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address ROBERT K. RODIBAUGH UNITED STATES BANKRUPTCY COURTHOUSE 401 SOUTH MICHIGAN STREET SOUTH BEND, INDIANA 46601		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST #1									
2. -3M COMMON STOCK	A	Dividend	K	T					
3. -ABBOTT LABS COMMON STOCK	A	Dividend	J	T					
4. -ABBVIE INC COMMON STOCK	A	Dividend	K	T					
5. -ALTRIA GROUP COMMON STOCK	A	Dividend	J	T					
6. -APACHE COMMON STOCK	A	Dividend			Sold	06/09/16	J		
7. -AT & T COMMON STOCK	A	Dividend	K	T					
8. -BAXALTA INC COMMON STOCK	A	Dividend			Sold	05/09/16	J	B	
9. -BAXTER INTERNATIONAL COMMON STOCK	A	Dividend	J	T					
10. -CMS ENERGY COMMON STOCK	A	Dividend	K	T					
11. -CENTURYLINK COMMON STOCK	A	Dividend			Sold	06/09/16	J		
12. -CHEVRON COMMON STOCK	A	Dividend	J	T					
13. -COLGATE PALMOLIVE COMMON STOCK	A	Dividend	J	T					
14. -DANAHER COMMON STOCK	A	Dividend			Sold	06/09/16	K	D	
15. -DOLLAR GENERAL COMMON STOCK	A	Dividend	J	T					
16. -ECOLAB COMMON STOCK	A	Dividend	K	T					
17. -EVEREST RE GROUP COMMON STOCK	A	Dividend			Sold	06/09/16	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	L ~\$50,001 - \$100,000 M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -EXXON MOBIL COMMON STOCK	B	Dividend	K	T	Sold (part)	06/09/16	J	C	
19. -FIRST FINANCIAL COMMON STOCK	A	Dividend	L	T					
20. -FORD MOTOR COMMON STOCK	A	Dividend			Sold (part)	06/09/16	J	A	
21.					Sold (part)	06/09/16	J		
22. -GENERAL DYNAMICS COMMON STOCK	A	Dividend	K	T					
23. -GENERAL ELECTRIC COMMON STOCK	A	Dividend	K	T					
24. GENERAL MILLS COMMON STOCK	A	Dividend	J	T					
25. -GILEAD SCIENCES INC COMMON STOCK	A	Dividend			Sold	06/09/16	J	A	
26. -INTEL CORP COMMON STOCK	A	Dividend	K	T					
27. -IBM COMMON STOCK	A	Dividend	K	T					
28. -INVESCO LTD COMMON STOCK	A	Dividend			Sold	06/09/16	J	B	
29. -JOHNSON- JOHNSON COMMON STOCK	A	Dividend	K	T					
30. -KLA-TENCOR CORP COMMON STOCK	A	Dividend			Sold	06/13/16	J	D	
31. -LOWES COMMON STOCK	A	Dividend	K	T					
32. -MCDONALDS CORP COMMON STOCK	A	Dividend	J	T					
33. -MEDTRONIC PLC FOREIGN EQUITY	A	Dividend	J	T					
34. -MERCK COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. -METLIFE INC COMMON STOCK	A	Dividend	K	T					
36. -MICROCHIP TECH COMMON STOCK	A	Dividend	J	T					
37. -MICROSOFT COMMON STOCK	A	Dividend	K	T					
38. -NEXTERA ENERGY COMMON STOCK	A	Dividend	J	T					
39. -NIKE COMMON STOCK	A	Dividend	K	T					
40. -PHILLIP MORRIS COMMON STOCK	A	Dividend	J	T					
41. -PRAXAIR COMMON STOCK	A	Dividend	J	T					
42. -PROCTER & GAMBLE COMMON STOCK	A	Dividend	K	T					
43. -QUALCOMM COMMON STOCK	A	Dividend			Sold	06/09/16	J	A	
44. -ROYAL DUTCH SHELL PLC A SHARES FOREIGN EQUITY	A	Dividend			Sold	06/09/16	J		
45. -SIMON PROPERTY GROUP COMMON STOCK	A	Dividend	K	T					
46. -SMUCKER J M COMMON STOCK	A	Dividend	K	T					
47. -STARBUCKS CORP COMMON STOCK	A	Dividend	J	T					
48. -TEVA PHARMACEUTICALS FOREIGN EQUITY	A	Dividend			Sold	06/09/16	J	C	
49. -TORONTO-DOMINION FOREIGN EQUITY	A	Dividend			Sold	06/09/16	J	A	
50. -UNITED TECHNOLOGIES COMMON STOCK	A	Dividend	K	T					
51. -VANGUARD FINANCIAL ETF	A	Dividend	K	T	Buy	06/09/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. -VERIZON COMMON STOCK	A	Dividend	J	T					
53. - WP GLINCER COMMON STOCK	A	Dividend			Sold	06/09/16	J		
54. -AMERICAN FUNDS 433 CAP W/G MUTUAL FUND	A	Dividend			Sold	06/13/16	J	A	
55. -BARTHOLOMEW CNTY IN BLDG FAC 4.25 DUE 07/15/2016 MUNI BOND	B	Interest			Matured	07/15/16	K	A	
56. -BROWNSBURG IN 1999 SCH BLDG 4.00 DUE 07/15/2019 MUNI BOND	B	Interest	L	T					
57. CLARKSVILLE IN PARK DIST TAX 2.25 DUE 11/15/2027/23 MUNI BOND		None	L	T	Buy	07/13/16	L		
58. -DENTON TEX INDPT SCH DIST SCH 3.50 DUE 08/15/2016 MUNI BOND	B	Interest			Matured	08/15/16	K	A	
59. -ELKHART IN COMM SCH BLDG 3.00 DUE 7/15/2023 MUNI BOND	B	Interest	L	T					
60. -FLAT ROCK MI LTD TAX-CAP 3.00 DUE 10/01/2025/24 MUNI BOND	B	Interest	L	T					
61. GARY IN COMMUNITY BLDG CORP 3.30 DUE 07/15/2024/22 MUNI BOND	B	Interest	L	T					
62. -GIBRALTAR SCHOOL DISTRICT/MI 2.00 DUE 05/01/2022 MUNI BOND	A	Interest	K	T					
63. -GREENE CO IN REDEV AUTH 3.00 DUE 02/01/2022 MUNI BOND	B	Interest	L	T					
64. -HAMILTON CO REDEV AUTH 3.55 DUE 08/01/2021 MUNI BOND	B	Interest	K	T					
65. -HANOVER MULTI SCH BLDG 3.00 DUE 07/15/2024 MUNI BOND	B	Interest	L	T					
66. -IN ST FIN-DUKE ENERGY SER A2 3.375 DUE 03/01/19 MUNI BOND	B	Interest	L	T					
67. -IN ST DEPAUW UNIV - B 4.50 DUE 07/01/2020/19 MUNI BOND	B	Interest	L	T					
68. -IN ST FIN AUTHOR REV 3.25 DUE 09/01/2024/22 MUNI BOND	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. -IN ST FIN AUTHOR HOSP REV 3.25 DUE 03/01/2026 MUNI BOND	B	Interest	L	T					
70. -IN ST UNIV REV HSG DIN SER A 4.00 DUE 04/01/2019 MUNI BOND	B	Interest	L	T					
71. IN ST FIN-HANOVER CLG 3.00 DUE 05/01/2022 MUNI BOND	B	Interest	L	T					
72. -IN ST FIN AUTHOR EITEL JORG 3.00 DUE 02/01/2024 MUNI BOND	B	Interest	K	T					
73. -IVY TECH CMNTY COLLEGE S/FEE 4.00 DUE 07/01/2016 MUNI BOND	A	Interest			Matured	07/01/16	K	A	
74. -JEFFERSONVILLE IN REDEV COMM 2.375 DUE 07/15/2022 MUNI BOND	B	Interest	L	T					
75. -JEFFERSONVILLE IN REDEV COMM 3.00 DUE 07/15/2023 MUNI BOND	B	Interest	L	T					
76. -KENTON KY SCH DIST 2.50 DUE 02/01/2022 MUNI BOND	A	Interest	K	T					
77. -KENTUCKY STATE INTERLOCAL SCHL 2.00 DUE 03/01/2021/20 MUNI BOND	A	Interest	K	T					
78. - KENTUCKY STATE INTERLOCAL SCHL 2.50 DUE 03/01/2025/20 MUNI BOND	B	Interest	K	T					
79. LOGAN COUNTY KY SCH DIST FIN 2.00 DUE 09/01/2027/26		None	K	T	Buy	08/17/16	K		
80. -MARION CNTY FLA SCH BRD 5.00 DUE 06/01/2025/17 MUNI BOND	B	Interest	L	T					
81. -MONROE IND CENT SCH BLDG 4.00 DUE 07/15/2017 MUNI BOND	B	Interest	L	T					
82. -NOBLESVILLE IN REDEV AUTH 4.75 DUE 02/01/2021 MUNI BOND	B	Interest	L	T					
83. NORTH WHITE IN ELEM SCH BLDG 1.25 DUE 07/15/2018	A	Interest	K	T	Buy	01/07/16	K		
84. -NORTHEASTERN IL UNIV COPS CAP IMP 3.00 DUE 07/01/2023 MUNI BOND	B	Interest	L	T					

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85. -NW ALLEN IND SCH BLDG CORP 4.00 DUE 01/15/2018 MUNI BOND	B	Interest	L	T					
86. -OWEN COUNTY IN PUBLIC LIBRARY 2.125 DUE 07/15/2023/22 MUNI BOND	A	Interest	K	T					
87. -PEORIA ARIZ MUN DEV AUTH 4.25 DUE 01/01/2020/18 MUNI BOND	B	Interest	L	T					
88. -PLAINFIELD IN REDEV AUTH 3.00 DUE 08/01/2026/23 MUNI BOND	B	Interest	K	T					
89. -SAINT JOSEPH CNTY IN ECON 4.00 DUE 04/01/2023/20 MUNI BOND	B	Interest	L	T					
90. -SOUTH BEND REDEV 2.50 DUE 05/01/2021 MUNI BOND	B	Interest	L	T					
91. -SOUTH MADISON IND CMNTY SBC 3.80 DUE 01/10/2016 MUNI BOND	A	Interest			Matured	01/10/16	K	A	
92. -STARKE CNTY IN BLDG CORP 3.00 DUE 08/01/2023/22 MUNI BOND	B	Interest	L	T					
93. -TERRE HAUTE IND REDEV DIST 2.25 DUE 08/01/2021/18 MUNI BOND	B	Interest	L	T					
94. -TEXAS WOMANS UNIV REF FIN 4.25 DUE 07/01/2017 MUNI BOND	B	Interest	L	T					
95. -WALLINGFORD CT 4.00 DUE 3/15/2022/16 MUNI BOND	A	Interest			Redeemed	03/15/16	K		
96. -WARSAW IN SEWAGE WKS REV 2.00 DUE 07/01/2020 MUNI BOND	A	Interest	L	T					
97. -WASHINGTON ST CTFS PARTN 4.125 DUE 01/01/2018 MUNI BOND	B	Interest	L	T					
98. -WASHINGTON DC CONV CTR AUTH 5.00 DUE 10/01/2026 MUNI BOND	B	Interest	L	T					
99. -WASHINGTON MTR VEHICLE FUEL 5.00 DUE 07/01/2027/17 MUNI BOND	B	Interest	L	T					
100. -WESTFIELD IN H/SCHL 1995 BLDG 4.125 DUE 07/15/2021 MUNI BOND	B	Interest			Redeemed	07/15/16	K		
101. -YUMA ARIZ MUN PPTY CORP REV 5.00 DUE 07/01/2025/17 MUNI BOND	B	Interest	L	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
102. -ZIONSVILLE IND REDEV AUTH 4.25 DUE 08/01/2020/18 MUNI BOND	B	Interest	L	T					
103. DREIHAUS EMERGING MKTS ASSET ALLOCATION FUND	A	Dividend	K	T	Buy	06/13/16	K		
104. FIDELITY AD INTL DISCOVERY ASSET ALLOCATION FUND	A	Dividend	K	T	Buy	06/13/16	K		
105. PRINCIPAL MIDCAP GROWTH ASSET ALLOCATION FUND	A	Dividend	L	T	Buy	06/13/16	K		
106. VANGUARD REIT INDEX FUND-ADM ASSET ALLOCATION FUND	A	Dividend	K	T	Buy	06/13/16	K		
107. VANGUARD SMALL CAP VALUE INDEX-ADM ASSET ALLOCATION FUND	A	Dividend	K	T	Buy	06/13/16	K		
108. -FIRST FINANCIAL BANK MONEY MARKET FUND	A	Interest	K	T					
109. TRUST #2									
110. ABBOTT LABS COMMON STOCK	A	Dividend	J	T					
111. -ABBVIE INC COMMON STOCK	A	Dividend	J	T					
112. -APPLE INC COMMON STOCK	A	Dividend	K	T					
113. -AT & T COMMON STOCK	A	Dividend	J	T					
114. -BP P.L.C. FOREIGN EQUITY	A	Dividend	J	T					
115. -BRISTOL MYERS SQUIBB COMMON STOCK	A	Dividend	J	T					
116. -CENTURYLINK COMMON STOCK	A	Dividend			Sold	08/04/16	J		
117. -CHEVRON CORP COMMON STOCK	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

DEES, JR, HARRY C.

Date of Report

05/01/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
118. CMS ENERGY COMMON STOCK	A	Dividend	J	T	Sold (part)	08/04/16	K	D	
119. -COLGATE PALMOLIVE COMMON STOCK	A	Dividend			Sold	08/04/16	J	D	
120. -DANAHER COMMON STOCK	A	Dividend	K	T					
121. -DUKE ENERGY CORP COMMON STOCK	A	Dividend	J	T					
122. -ECOLAB COMMON STOCK	A	Dividend	K	T	Sold (part)	08/04/16	J	C	
123. -EVEREST RE GROUP COMMON STOCK	A	Dividend	J	T					
124. -FIRST FINANCIAL COMMON STOCK	A	Dividend	K	T					
125. -FORTIVE CORP COMMON STOCK		None			Spinoff (from line 121)	07/13/16	J		
126.					Sold	08/04/16	J	C	
127. -GENERAL DYNAMICS COMMON STOCK	A	Dividend	K	T					
128. -GENERAL ELECTRIC COMMON STOCK	A	Dividend	K	T					
129. -GENERAL MILLS INC COMMON STOCK	A	Dividend	J	T					
130. -INTEL CORP COMMON STOCK	A	Dividend	J	T					
131. -IBM COMMON STOCK	A	Dividend	K	T					
132. -INVESCO COMMON STOCK	A	Dividend			Sold	08/04/16	J	A	
133. -JOHNSON-JOHNSON COMMON STOCK	A	Dividend	J	T					
134. -KINDER MORGAN COMMON STOCK	A	Dividend			Sold	08/04/16	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

DEES, JR, HARRY C.

Date of Report

05/01/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
135. -LOWES COMMON STOCK	A	Dividend	J	T	Sold (part)	08/04/16	K	D	
136. -MARATHON OIL COMMON STOCK	A	Dividend			Sold	08/04/16	J		
137. -MARATHON PETE COMMON STOCK	A	Dividend	J	T					
138. -METLIFE INC COMMON STOCK	A	Dividend	K	T					
139. -MICROSOFT COMMON STOCK	A	Dividend	K	T					
140. -NIKE COMMON STOCK	A	Dividend	K	T	Sold (part)	08/04/16	J	D	
141. -PEPSICO COMON STOCK	A	Dividend	J	T					
142. -PFIZER INC COMMON STOCK	A	Dividend	J	T					
143. -PROCTOR & GAMBLE COMMON STOCK	A	Dividend	K	T					
144. -SIMON PROPERTY GROUP COMMON STOCK	A	Dividend	K	T					
145. -TEVA PHARMACEUTICALS FOREIGN EQUITY	A	Dividend	J	T					
146. -TORONTO-DOMINION FOREIGN EQUITY	A	Dividend			Sold	08/04/16	J	A	
147. -UNITED TECHNOLOGIES COMMON STOCK	A	Dividend	K	T					
148. UTILITIES SELECT SECTOR SPIDER EXCHANGE TRADE FUND EQUITY	A	Dividend			Sold (part)	08/04/16	J	A	
149.					Sold (part)	08/04/16	J	B	
150. -WP GLINCHER COMMON STOCK	A	Dividend			Sold	08/04/16	J		
151. -ALBERTVILLE AL BD OF EDUCATION 2.25 DUE 02/01/2024/22 MUNI BOND	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting

DEES, JR, HARRY C.

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05/01/2017

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
152. -BLOOMFIELD CHARTER TWP 2.125 DUE 04/01/2022 MUNI BOND	A	Interest	K	T					
153. -DENTON TEX INDPT SCH DIST SCH 3.50 08/15/2016 MUNI BOND	A	Interest			Matured	08/15/16	K	A	
154. -EAST ALLEN MULTI SCH BLDG 3.00 DUE 07/10/2018 MUNI BOND	B	Interest	L	T					
155. -EAST JACKSON MI CMNTY SCH 2.375 DUE 05/01/2022 MUNI BOND	B	Interest	L	T					
156. -GIBRALTAR SCHOOL DIST/MI 2.00 DUE 05/01/2022 MUNI BOND	A	Interest	K	T					
157. -GREENE CNTY IN REDEV AUTH 3.00 DUE 02/01/2022 MUNI BOND	B	Interest	L	T					
158. -GREENCASLE IN SCH 2.25 DUE 07/15/2021 MUNI BOND	A	Interest	K	T					
159. -GREENWOOD MIDWEST DISASTER 4.00 DUE 08/01/2017 MUNI BOND	A	Interest	J	T	Redeemed (part)	02/01/16	J		
160.					Redeemed (part)	08/01/16	J		
161. -HAMILTON CO IN REDEV 3.55 DUE 08/01/2021 MUNI BOND	B	Interest	L	T					
162. -HANCOCK COUNTY KY DIST FIN 2.00 DUE 10/01/2023 MUNI BOND	A	Interest	K	T	Buy	03/04/16	K		
163. -HANOVER MULTI SCH BLDG 2.5 DUE 07/15/2023/23 MUNI BOND	B	Interest	L	T					
164. -JEFFERSONVILLE IN REDEV COMM 2.375 DUE 07/15/2022 MUNI BOND	A	Interest	K	T					
165. -KOKOMO CTR SCHOOL 3.20 DUE 07/15/2017 MUNI BOND	B	Interest	L	T					
166. -LAKELAND IN SCH BLDG 3.00 DUE 07/15/2017 MUNI BOND	A	Interest			Redeemed	07/15/16	J		
167. -MIAMI CNTY IN HLDG CORP 4.75 DUE 01/15/2018/17 MUNI BOND	B	Interest	L	T					
168. -NINEVEH-HENSLEY-JACKSON SCHOOL 3.35 DUE 07/15/2016 MUNI BD	B	Interest			Redeemed (part)	01/15/16	K	A	

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169.					Matured	07/15/16	K		
170. -PEORIA ARIZ MUN DEV AUTH 4.25 DUE 01/01/2020/18 MUNI BOND	B	Interest	L	T					
171. PIKE COUNTY KY SCHL DIST FIN 2.00 DUE 10/01/2023 MUNI BOND	A	Interest	K	T	Buy	02/29/16	K		
172. -PIONEER SCH BLDG CORP IN 2.25 DUE 07/15/2024 MUNI BOND	A	Interest	K	T					
173. -PLAINFIELD IN REDEV AUTH 3.00 DUE 08/01/2026/23 MUNI BOND	A	Interest	K	T					
174. -SAINT JOHN IN SEW 1.90 DUE 01/01/2020 MUNI BOND	A	Interest	K	T					
175. -ST UNIV OF IOWA REV 2.00 DUE 07/01/2021 MUNI BOND	A	Interest	K	T					
176. -STUCKER FORK IN CONS DIST 1.75 DUE 01/01/2020 MUNI BOND	A	Interest	K	T					
177. -WACHOVIA BANK NA 5.60 DUE 03/15/2016 CORP BOND	B	Interest			Matured	03/15/16	K	A	
178. -WASHINGTON DC CONV CTR AUTH 5.00 DUE 10/01/2026 MUNI BOND	B	Interest	L	T					
179. -YUMA AZ MUN PPTY CORP REV 5.00 DUE 07/01/2025/17 MUNI BOND	B	Interest	L	T					
180. -ZIONSVILLE CMNTY SCHL BLDG 4.00 DUE 01/10/2020 MUNI BOND	A	Interest	K	T					
181. VANGUARD REIT INDEX FUND-ADM ASSET ALLOCATION FUND	A	Dividend	K	T	Buy	08/10/16	K		
182. VANGUARD SMALL CAP VALUE INDEX-ADM ASSET ALLOCATION FUND	A	Dividend	K	T	Buy	08/10/16	K		
183. VANGUARD DEV MKT INDEX-ADM ASSET ALLOCATION FUND	A	Dividend	L	T	Buy	08/10/16	L		
184. VANGUARD EM MKT STK IND-INST ASSET ALLOCATION FUND	A	Dividend	K	T	Buy	08/10/16	K		

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185. VANGUARD MID CAP INDEX-ADM ASSET ALLOCATION FUND	A	Dividend	L	T	Buy	08/10/16	L		
186. -FIRST FINANCIAL BANK MONEY MARKET FUND	A	Interest	K	T					
187. FIRST FINANCIAL CORP; TERRE HAUTE, IN	A	Dividend	J	T					
188. USAA FEDERAL SAVINGS BANK	B	Int./Div.	M	T					
189. USAA CORNERSTONE STRATEGY MUTUAL FUND	A	Int./Div.	K	T					
190. USAA WORLD GROWTH MUTUAL FUND	A	Int./Div.	K	T					
191.									

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DEES, JR, HARRY C.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART VII; COLUMN D (1) - ALL DISPOSITIONS CODED AS REDEEMED OR REDEEMED (PART) REFLECT FULL OR PARTIAL MUNICIPAL BOND CALLS. THIS WAS THE CLOSEST CATEGORY DESCRIPTION AVAILABLE.

PART VII; LINE 33 - MEDTRONIC PLC IS A FOREIGN EQUITY. IT WAS PREVIOUSLY NOTED AS A COMMON STOCK.

PART VII; LINES 125 & 126 - FORTIVE WAS A SPINOFF FROM DANAHER. TRUST #1 DID NOT RECEIVE THESE SPINOFF SHARES BECAUSE DANAHER, LINE 14, WAS SOLD PRIOR TO THE SPINOFF. UPON RECEIPT OF THE SHARES IN TRUST #2, THEY WERE THEN SOLD A FEW WEEKS LATER.

PART VII - THERE ARE A COUPLE OF SECURITIES THAT HAVE 2 LINES OF SALES WITH THE SAME SALE DATE. THEY ARE FORD MOTOR, LINES 20 & 21, AND UTILITIES SELECT SECTOR SPIDER, LINES 148 & 149. THE REASON IS THAT EACH SECURITY HAD 2 TAX LOTS.

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Name of Person Reporting

DEES, JR, HARRY C.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **HARRY C. DEES, JR**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544