

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Cox, Emmett R.	2. Court or Organization U.S. Court of Appeals, Eleventh Circuit	3. Date of Report 04/14/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 113 St. Joseph Street Room 433 Mobile, AL 36602		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- Director and Secretary (see Section VIII for description)
-
-
-
-

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

-
-
-

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds: Euro Pacific Growth A (Mutual Fund)	A	Dividend	J	T	Sold (part)	03/14/12	J	C	
2.					Sold (part)	10/02/12	J	C	
3. RBC Centura Bank (Account)	A	Interest	J	T					
4. BankTrust (Accounts)	A	Interest	J	J					
5. Harbor Fund Capital Appreciation (Mutual Fund)		None	J	T					
6. Matthews Int'l Funds: Asian Grth & Income Fund (Mutual Fund)		None			Sold (part)	03/05/12	J	B	
7.					Sold	03/14/12	J	A	
8. Army Aviation Center FCU (Account)	A	Interest	J	T					
9. Franklin Federal Ala T/F/Incml CL A (Mutual Fund)	B	Interest	K	T	Sold (part)	01/19/12	J	A	
10.					Sold (part)	06/05/12	J	A	
11. Oppenheimer Developing Markets CL A (Mutual Fund)	A	Dividend	K	T					
12. American Funds Europacific Growth F2 CL (Mutual Fund)	A	Dividend	J	T					
13. Clipper Fund Inc Com (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/13/12	J	D	
14.					Sold (part)	01/18/12	J	C	
15.					Sold (part)	03/14/12	J	B	
16. Janus Invt Fund: Perkins Mid Cp VL Inv (Mutual Fund)	A	Dividend	K	T					
17. Professionally Mdg PtfI Osterweis Fund (Mutual Fund)	A	Dividend	J	T	Sold (part)	01/18/12	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18.					Sold (part)	09/13/12	J	B	
19. Ishares: Russell 3000 Index Fund (Mutual fund)	A	Dividend	K	T					
20. Ishares: DJ US Real Estate (Mutual fund)	A	Dividend	J	T	Sold (part)	03/14/12	J	C	
21. American Funds: Euro Pacific Growth F (Mutual Fund)	A	Dividend			Sold	06/05/12	K	B	
22. American Funds: Growth Fund of America F (Mutual Fund)		None			Sold	03/14/12	K	D	
23. Clipper Fund Inc Com (Mutual Fund)		None			Sold (part)	01/26/12	J	A	
24.					Sold (part)	04/26/12	J	A	
25.					Sold (part)	07/25/12	J	A	
26.					Sold	10/03/12	J	C	
27. Loomis Sayles Fds I Bd Fd Instl (Mutual Fund)	B	Dividend	K	T					
28. Mainstay Funds: ICAP Select Equity Fd CL I (Mutual Fund)	A	Dividend	J	T	Sold (part)	03/05/12	J	A	
29. Professionally Mgd Ptfl: Osterweis Fund (Mutual fund)		None			Sold	03/14/12	J	C	
30. Third Avenue Tr Intl Value Fund (Mutual Fund)	A	Dividend	K	T	Sold (part)	01/18/12	J	B	
31. Pimco Funds, Commodity/Real Ret Strat Instl (Mutual Fund)		None			Sold	03/14/12	J		
32. American Funds Fundamental Investors F (Mutual Fund)	A	Dividend	K	T					
33. Jensen Quality Growth J (Mutual Fund)	A	Dividend	J	T					
34. Pimco Fds Emerging Local Bond (Mutual Fund)	A	Dividend	J	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Wasatch Small Cap Growth Fd (Mutual Fund)	A	Distribution	J	T	Buy (add'l)	01/18/12	J		
36.					Sold (part)	03/14/12	J	B	
37. Franklin Ala T/F Incm CL A (Mutual Fund)	A	Int./Div.			Sold	03/14/12	K	A	
38. American Funds New World F (Mutual Fund) AHC	A	Dividend	J	T	Buy	03/06/12	J		
39.					Sold (part)	10/02/12	J	A	
40. T Rowe Price Tax Free Short Intermed (Mutual Fund) AHC	A	Dividend	K	T	Buy	03/16/12	K		
41.					Sold (part)	10/25/12	J	A	
42. Templeton Income TR Global BD Fund Class A (Mutual Fund) AH	A	Dividend	J	T	Buy	03/07/12	J		
43.					Buy (add'l)	03/16/12	J		
44. Harbor Fund, Commodity Real Return Strtgy Inst (Mutual Fund)	A	Dividend			Buy	01/13/12	J		
45.					Sold	10/02/12	J	A	
46. Harbor Fund, Commod Real Return Strtgy Inst (Mutual Fund) J	A	Dividend	K	T	Buy	01/18/12	J		
47. Third Avenue Tr, Real Estate Value Fund (Mutual Fund) J	A	Dividend	J	T	Buy	01/17/12	J		
48.					Sold (part)	10/02/12	J	A	
49. Vanguard Tax Exempt Funds Inc. Inter Trm Port (Mutual Fund)	B	Dividend	K	T	Buy	03/14/12	K		
50.					Buy (add'l)	09/13/12	J		
51.					Sold (part)	10/02/12	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. IShares, Russell 1000 Value (Mutual Fund) J	A	Dividend	K	T	Buy	06/11/12	K		
53. Bristol-Myers Squibb Cm (Stock) (retail)	A	Dividend	J	T	Buy	02/06/12	J		
54. Occidental Petroluem Corp Com (Stock) (retail)	A	Dividend	J	T	Buy	02/28/12	J		
55. Southern Company Com (Stock) (retail)	A	Distribution	J	T	Buy	02/06/12	J		
56. Southern Company (Stock) (ERC) (See note in VIII)		None			Sold	02/09/12	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Section I. Positions.

[REDACTED] is a not-for-profit association that holds title to and manages the common areas for three condominium units, [REDACTED]

Section VII. Investments and Trusts.

Southern Company (stock) was inadvertently omitted from the 2011 Financial Disclosure Report. The asset was purchased on 05/10/11 with a value code of J.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Emmett R. Cox**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544