

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Parsons, Marcia P.	2. Court or Organization USBC Eastern District of Tennessee	3. Date of Report 05/13/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief Bankruptcy Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 200 West Depot Street Suite 321 Greeneville, Tennessee 37743		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

Parsons, Marcia P.

Date of Report

05/13/2013

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2012	Tusculum College--teaching
2. 2012	Appalachian School of Law--teaching
3. 2012	Walters State Community College--teaching
4. 2012	Wolters Kluwer Law & Business Publishers--book royalties

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Tennessee Bar Association	4/20/12 to 4/20/12	Gatlinburg, TN	Educational seminar	Transportation, meals, room
2. National Conference of Bankruptcy Judges	10/23/12 to 10/27/12	San Diego, CA	Annual Meeting	Transportation, meals, room
3.				
4.				
5.				

Name of Person Reporting Parsons, Marcia P.	Date of Report 05/13/2013
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

Parsons, Marcia P.

Date of Report

05/13/2013

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital Bank Checking	A	Interest	L	T					
2. TN Energy Acqu. Rev. Bond	B	Interest	K	T					
3. TN State School Brd Muni Bond	B	Interest			Redeemed	07/24/12	K	A	
4. U.S. Savings Bonds	A	Int./Div.	L	T					
5. IRA #2 (UBS Cus: Fin'ing Corp CPN Zero Coupon bonds)	A	Interest	J	T					
6. IRA #2 (UBS Cus: Fin'ing Corp Fed CPN Zero Coupon bonds)	A	Interest	J	T					
7. IRA #2 (UBS Cus: Fed Hom Ln Ntg Corp Zero Coupon bonds)	A	Interest	K	T					
8. IRA #2 (UBS Cus: Fed Natl Mtg Ass Med Term Zeros)	A	Interest	K	T					
9. IRA #2 (UBS Cus: Bank USA Deposit Account)	A	Interest	J	T					
10. IRA #1 (UBS Cus: Fin'ing Corp CPN FICO Zero Coupon Bonds)	A	Interest	K	T					
11. IRA #1 (UBS Cus: Fin'ing Corp Fed CPN Zero Coupon Bonds)	A	Interest	L	T					
12. IRA #1 (UBS Cus: Fin'ing Corp CPN Zero Coupon Bonds)	A	Interest	K	T					
13. IRA #1 (UBS Cus: Fin'ing Corp Strip Zero Coupon Bonds)	A	Interest	K	T					
14. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Med Trm Zero Coup Bonds)	A	Interest	J	T					
15. IRA #1 (UBS Cus: Fed Nat's Mtg Assn Zero Coupon Bonds)	A	Interest	L	T					
16. IRA #1 (UBS Cus: Resol Funding Corp Zero Coupon Bonds)	A	Interest	J	T					
17. IRA #1 (UBS Cus: Fed Home Ln Mtg Zero Coupon Bonds)	A	Interest			Sold	07/24/12	K	C	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

Parsons, Marcia P.

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05/13/2013

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. IRA #1 (UBS Cus: TN Vally Zero Coupon Bonds)	A	Interest	K	T					
19. IRA #1 (UBS Cus: Bank USA Deposit Account)	A	Interest	K	T					
20. IRA #1 (UBS Cus: TVA Zero Coupon Bonds)	A	Interest			Sold	07/24/12	J	B	
21. IRA #1 (UBS Cus: Jackson National Annuity)	A	Int./Div.	L	T	Buy	07/24/12	L		
22. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	J	T					
23. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	K	T					
24. IRA #1 (UBS Cus: FNMA Zero Coupon Bonds)	A	Interest	J	T					
25. IRA #1 (UBS Cus: Ohio Nat'l Annuity)	A	Interest	K	T					
26. ING Variable Annuity #1	A	Interest	L	T					
27. ING Variable Annuity #2	A	Interest	K	T					
28. Hartford Fixed Annuity	A	Int./Div.	K	T					
29. ING Annuity #3	A	Interest	L	T					
30. Tennessee State Retirement Account	A	Int./Div.	M	T					
31. Tennessee State 401k Plan (Grt Wes Cus: Fidelity Puritan F)	A	Int./Div.			Sold	07/28/12	L	C	
32. Tennessee State 401k Plan (Grt Wes Cus: Fidelity OTC F)	A	Int./Div.			Sold	07/28/12	J	A	
33. Tennessee State 401k Plan (Grt Wes Cus: Fidelity Asset Mgr)	A	Int./Div.			Sold	07/28/12	K	B	
34. Tennessee State 401k Plan (Grt Wes Cus: Claverty Income Fd)	A	Int./Div.			Sold	07/28/12	J	A	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

Parsons, Marcia P.

Date of Report

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35. Tennessee State 401k Plan (Grt Wes Cus: ING Fixed Plus Fnd)	A	Int./Div.			Sold	07/28/12	J	A	
36. Tennessee State 401k Plan (Grt Wes Cus: Fidelity Int'l Fnd)	A	Int./Div.			Sold	07/28/12	K	C	
37. Tennessee State 401k Plan (Grt Wes Cus: Fidelity Contra Fnd)	A	Int./Div.			Sold	07/28/12	J	C	
38. Tennessee State 401k Plan (Grt Wes Cus: Gov MM Fund)	A	Interest			Sold	07/28/12	J	B	
39. Great West IRA	A	Int./Div.	L	T	Buy	07/28/12	M		
40. Fidelity Personal Annuity	A	Int./Div.			Sold	07/28/12	L	C	
41. New York Life Annuity	A	Interest	L	T	Buy	07/28/12	L		
42. TIAA-CREF Retirement Annuity (ASL)	A	Int./Div.	L	T					
43. UBS Bank USA Deposit Account	A	Interest	K	T					
44. ING Electric Orange Checking Account	A	Interest	M	T	Buy	01/12/12	M		
45. AMEX Personal Savings Account	A	Int./Div.	M	T	Buy	01/19/12	M		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

Parsons, Marcia P.

Date of Report

05/13/2013

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

On Line 1, Part VII, Capital Bank is the successor in interest to GreenBank which was referenced on Line 1, Part VII of the 2011 report.

The investments listed on Lines 22-25 of Part VII correspond to the investments listed on Lines 44-47 of the 2011 report. These were moved to follow other investments contained within IRA #1.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Parsons, Marcia P.

Date of Report

05/13/2013

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marcia P. Parsons**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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