

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lawson, Jr., Roger H.	2. Court or Organization Middle District, Georgia	3. Date of Report 05/09/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address P.O. Box 838 475 Mulberry Street Macon, Georgia 31202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 01/01/96	Georgia Retirement System for Superior Court Judges
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2016	Georgia Judicial Retirement System--Retirement	\$91,164.72
2. 2016	Judicial Retireess & Survivors Admin Office of the US Courts	\$196,984.59
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	Teacher's Retirement System of Georgia--Retirement
2. 2016	First United Methodist Church--Wages
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	John Deere Credit	Tractor Loan	J
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Sunmark Bancshares, Inc.	A	Dividend	J	U					
2. Merrill Lynch IRA									
3. --Merrill Lynch - Bank of America	A	Interest	J	T					
4. --Merrill Lynch - Blackrock Global	A	Interest	J	T					
5. --Lincoln National Life Legacy III Plus		None	L	T					
6. Merrill Lynch									
7. --Merrill Lynch Bank USA		None	K	T					
8. --Transamerica Life Ins. Co.-Transamerica Landmark Annuity		None	L	T					
9. --Transamerica Life Ins. Co.- Transamerica Landmark Annuity		None	K	T					
10. 270.00 Acres, Hawkinsville,Pulaski County, GA		None	N	W					
11. Wells Fargo-High Yield Bond Fund A	A	Dividend	K	T					
12. Franklin Georgia Tax-Free Income Fund- Class A	A	Dividend	J	T					
13. Rental House, Watson St. Hawkinsville,Pulaski Co., GA.	C	Rent	K	W					
14. Subdivision, Pulaski County, Georgia Und. Interest		None	K	W					
15. Rental House, McCormick Avenue, Hawkinsville, GA. Und. Inter	D	Rent	K	W					
16. Merrill Lynch									
17. Merrill Lynch Deposit Account	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes	F ~\$50,001 - \$100,000 J ~\$15,000 or less (See Columns C1 and D3)	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	P4 ~More than \$50,000,000
3. Value Method Codes	Q ~Appraisal (See Column C2)	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. --Regions Financial Corp.	B	Dividend	L	T					
19. --Pimco Income Fund C1 C	A	Dividend	J	T					
20. --Tribute Pharmaceuticals Inc		None			Merged (with line 21)	02/10/16	J		
21. --Aralez Pharmaceuticals		None	J	T					
22. --Nuveen Georgia Muni Bond Fund	E	Dividend	N	T					
23. --Virnetx Hldg Corp		None	J	T					
24. Sunmark Community Bank-Accounts	A	Interest	J	T					
25. Merrill Lynch									
26. --Merrill Lynch Deposit Account	A	Interest	J	T					
27. --Abbott Laboratories Common	A	Dividend	J	T					
28. --American Intl Group Inc Common	A	Dividend	J	T					
29. --Abbvie Inc SHS	A	Dividend	J	T					
30. --AT&T Inc	A	Dividend	J	T					
31. --Automatic Data Processing Inc Common	A	Dividend	J	T					
32. --Chevron Texaco Corp Common	A	Dividend	J	T					
33. --Cintas Corp Common	A	Dividend	J	T					
34. --CDK Global Inc SHS	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Coca-Cola Co. Common	A	Dividend	J	T					
36. --General Electric Co., Common	A	Dividend	J	T					
37. --Walt Disney Co. Common	A	Dividend	K	T					
38. --E I Dupont De Nemours & Co , Common	A	Dividend	J	T					
39. --Pareteum Corp		None	J	T					
40. --Exxon Mobile Corp Common	A	Dividend	J	T					
41. --Illinois Tool Works Inc.	A	Dividend	J	T					
42. --Eli Lilly & Co. Common	A	Dividend	J	T					
43. --McDonald's Corp. Common	A	Dividend	J	T					
44. --Medtronic Inc. Common	A	Dividend	J	T					
45. --Pfizer Inc. Common	A	Dividend	J	T					
46. --Proctor & Gamble Co. Common	A	Dividend	J	T					
47. --Western Asset Managed Municipals Fd C	C	Dividend	M	T					
48. --Walgreens Boots Alliance Inc.	A	Dividend	J	T					
49. --Chemours Co	A	Dividend	J	T					
50. --Wells Fargo & Co. New Common	A	Dividend	J	T					
51. --WT 01 21American Intl		None	J	T					

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52. --Western Assets Managed Municipals	C	Dividend	M	T					
53. Merck and Co., Inc.	A	Dividend	J	T					
54. Merrill Lynch									
55. --Blackrock Strategic Income Opprts	A	Dividend	J	T					
56. --Blackrock Multi Asset	A	Dividend	J	T					
57. --Pimco Investment Grade Corp	A	Dividend	J	T	Buy	08/15/16	J		
58. --Blackrock Equity Dividend	B	Dividend	J	T					
59. --JP Morgan Global Fund	A	Distribution	J	T	Buy	03/18/16	J		
60.					Buy (add'l)	06/10/16	J		
61.					Buy (add'l)	07/26/16			
62.					Buy (add'l)	07/27/16	J		
63.					Buy (add'l)	08/02/16	J		
64.					Buy (add'l)	08/10/16	J		
65. --Blackrock Global Allocation Fund	B	Dividend			Sold	08/15/16	J	A	
66. --Chartwell Small Cap	A	Dividend			Sold	06/22/16	J	A	
67. --Eaton Vance Sht Duration	A	Dividend			Sold	03/18/16	J	A	
68. --Eaton Vance Equity Strategy	A	Dividend			Sold	06/22/16	J	A	

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69. --Henderson Global Equity Inc Fd	A	Dividend			Sold	06/03/16	J	A	
70. --Fidelity Advisor Real Estate Inc	A	Dividend			Sold	12/08/16	J	A	
71. --Franklin Income Fd Adv	A	Dividend			Sold	04/21/16	J	A	
72. --Henderson Global Equity	A	Dividend	J	T					
73. --iShares IBOXX S	A	Dividend	J	T					
74. --iShares MSCI EAFE Index	A	Dividend	J	T					
75. --iShares Select	A	Dividend	J	T					
76. --iShares Edge MSCI	A	Dividend	J	T					
77. --iShares S & P 100	A	Dividend	J	T					
78. --iShares Core High Dividend	A	Dividend	J	T	Buy	12/08/16	J		
79. --JP Morgan Income Builder	A	Dividend	J	T					
80. --Lord Abbett Bond	A	Dividend	J	T					
81. --Pimco Forgeign Bond FD	A	Dividend	J	T					
82. --Pimco Income Fund	A	Dividend	J	T					
83. --Powershares Exchange	A	Dividend	J	T					
84. --Amer Funds Income Funds	A	Dividend	J	T					
85. --American Bond FD	A	Dividend	J	T					

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86. --American Capital Income	A	Dividend	J	T					
87. --Davis Financial Fund	A	Dividend	J	T	Buy	04/21/16	J		
88.					Buy (add'l)	07/26/16	J		
89.					Buy (add'l)	07/27/16	J		
90.					Buy (add'l)	08/02/16	J		
91.					Buy (add'l)	08/10/16	J		
92. --SPDR Index SHS Fds	A	Dividend			Sold	07/06/16	J	A	
93. --Thornburg Invst Income Builder	A	Dividend			Sold	06/10/16	J	A	
94. --Vanguard Value ETF	A	Dividend	K	T					
95. --Vanguard Growth ETF	A	Dividend	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1 - Line 66, IShares MSCI EAFE we showed as being sold in 2015, when actually it was only partially sold. Therefore, it is still on the 2016 report.

2 - Line , Elephant Talk Commuct Inc changed its name to Pareteum Corp.

3 - Line 20 Tribute Pharmaceuticals Inc merged with Aralez Pharmaceuticals and changed names.

4 - Line 76 IShares Trust Shs IShare has changed names and is now IShares Edge MSCI

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Roger H. Lawson, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544