

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Rovner, Ilana D.	2. Court or Organization 7th Circuit Court of Appeals	3. Date of Report 05/15/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Court of Appeals 219 S. Dearborn Street Chicago, IL 60604		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member Emeritus, Board of Trustees	Illinois Institute of Technology
2. Member, Board of Overseers	IIT-Chicago Kent College of Law
3. Life Member, Board of Directors	Shirley Ryan Ability Lab (Formerly Rehabilitation Institute of Chicago)
4. Trustee	Trust
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Monthly	Rush Retirement Plan of Deceased Spouse See Note 1	\$10,038.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA Acct #5 (H)									
2. -Fidelity Government Cash Reserves	A	Dividend	J	T	Sold (part)	11/29/17	J		
3. -Fidelity Government Income	A	Dividend	J	T	Sold (part)	11/30/17	J		
4. -Fidelity Government Money Mkt		None	J	T					
5. -Fidelity GNMA Fund	A	Dividend	J	T	Sold (part)	11/30/17	J		
6. -Fidelity Canada	A	Dividend	J	T	Sold (part)	11/30/17	J	A	
7. Trust #1 - Account No. 2 (H)			J	T					
8. -Sch AMT TF Money Market Fd (SWFXX)	B	Dividend	N	T					
9. -Sch AMT Tax-Free Money Mt fd (SWWXX)	B	Dividend	M	T					
10. -Intel Corp CS	A	Dividend	J	T					
11. -Marriott Intl Inc. Cl A CS	C	Dividend	N	T	Sold (part)	10/10/17	L	E	
12. -Medtronic, Inc	A	Dividend	K	T					
13. -Buckeye Partners LP	A	Distribution	J	T					
14. -Citigroup Inc	A	Dividend	J	T					
15. -Wells Fargo & Co. 8.00% Pfd	A	Dividend	L	T					
16. -T Rowe Price Tax Free Short Term Inter	B	Dividend	M	T					
17. -Vanguard GNMA Fund	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. -Wells Fargo Advantage S/T Muni Bd Inv	A	Dividend	J	T					
19. -Teradata Corp0		None	J	T					
20. -NCR		None	J	T					
21. -Travelers Companies Inc	A	Dividend	J	T					
22. -Marriott Vacations	A	Dividend	K	T					
23. -Boeing Co	A	Dividend	J	T					
24. -Ford Motor Company	A	Dividend	J	T					
25. -T J X COS, Inc.	A	Dividend	J	T					
26. IRA Acct #8 (H)									
27. -Schwab Bank	A	Interest	M	T					
28. -Vanguard Energy Fund	A	Dividend	K	T					
29. -Vanguard GNMA Fund	C	Dividend	M	T					
30. -TRowe Price New Era Fd	A	Dividend	J	T					
31. -Sequoia Fund	B	Dividend	J	T					
32. -Cerner		None	K	T					
33. -Citigroup Inc	A	Dividend	K	T					
34. -Devon Energy Corp	A	Dividend	J	T					

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35. -Dominion Res Inc va	B	Dividend	K	T					
36. -Kinder Morgan Inc.	A	Dividend	J	T					
37. -Pimco Corp Income Fund	C	Dividend	K	T					
38. -Verizon Communications	A	Dividend	K	T					
39. -Allergan PLC	A	Dividend	K	T					
40. -Harbor Bond Fund Inst Cl	A	Dividend	K	T					
41. -TCW Total Return Bond	A	Dividend	K	T					
42. -Thompson Bond Fund	B	Dividend	K	T					
43. -Vanguard Short Term Bond	A	Dividend	K	T					
44. -Annaly Capital Mgmt	C	Dividend	K	T					
45. -Government Ppty Income Tr	A	Dividend	J	T					
46. -Ishares Iboxx Investment Grade Bond ETF	A	Dividend	J	T					
47. -Spdr Gold Trust		None	J	T					
48. -Blackrock Enhcd Cap & Income	A	Dividend	J	T					
49. -Visa Inc Cl A	A	Dividend	K	T					
50. -Guggenheim Enhanced Eqty Income Fund	B	Dividend	J	T					
51. Trust #4 (H)									

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52. -Fidelity Government Money Market (SPAXX)	A	Dividend	K	T					
53. -Fidelity Municipal Money Market (FTEXX)	B	Dividend	M	T					
54. -AT&T Inc Com	B	Dividend	K	T					
55. -Anadarko Pete Corp	A	Dividend	J	T					
56. -Apple Inc	A	Dividend	K	T					
57. -Brocade Communications Sys	A	Dividend			Sold	11/17/17	J	D	
58. -Enbridge Energy Partners LP	A	Dividend	J	T					
59. -Fortis Inc Com ISIN	A	Dividend	J	T					
60. -Ishares Inc MSCI Canada Index Fd	A	Dividend	K	T					
61. -Pimco Mun Income Fd II	B	Dividend	K	T					
62. -SPDR Ser Tr Morgan Stanley Tech	A	Dividend	J	T					
63. -Tata Motors Limited Adr Ea Rep	A	Dividend	K	T					
64. -American Century Inter Term Tax Free Bond (TWTIX)	A	Dividend	K	T					
65. -Baird Intermediate Muni Bond Invs Cl (BMBSX)	B	Dividend	M	T					
66. -BMO Intermediate Tax Free	A	Dividend	K	T					
67. -Pimco Total Return Class D (PTTDX)	A	Dividend	K	T					
68. -T Rowe Price Tax Free Short Inter (PRFSX)	A	Dividend	L	T					

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69. -Thornburg Strategic Municipal Income C (TSSCX)	B	Dividend	L	T					
70. -Vanguard GNMA Investor CI (VFILX)	A	Dividend	J	T					
71. -Wells Fargo Short Term Muni Bd-Invest (STSMX)	A	Dividend	L	T					
72. College Fund 529 #1 (H)									
73. -Capital World Growth & Income Fund Class 529A	B	Dividend	K	T					
74. -Fundamental Investors Class 529A	C	Dividend	K	T					
75. -Growth Fund of America Class 529A	C	Dividend	K	T					
76. -Washington Mutual Investors Fund Class 529A	C	Dividend	K	T					
77.									
78. College Fund 529 #2 (H)									
79. -Capital World Growth & Income Fund Class 529A	B	Dividend	K	T					
80. -Fundamental Investors Class 529A	C	Dividend	L	T					
81. -Euro Pacific Growth Fund		None	J	T	Buy	12/22/17	J		
82. -Growth Fund of America Class 529A	C	Dividend	L	T					
83. -Washington Mutual Investors Fund Class 529A	C	Dividend	L	T					
84.									
85. Trust #5 (H)									

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86. -UBS Bank USA Dep Acct	A	Interest	J	T					
87. -Ishares Core S and P 500	A	Dividend	K	T	Buy	12/19/17	K		
88. -Ishares Core MSCI EAFE	A	Dividend	J	T	Buy	12/19/17	J		
89. -Ishares Core MSCI Emerging	A	Dividend	J	T	Buy	12/19/17	J		
90. -Ishares Currency Hedged	A	Dividend	J	T	Buy	12/19/17	J		
91. -American Funds AMCAP Fund Cl F2	C	Dividend	K	T	Buy	05/24/17	J		
92. -American Funds Washington Mutual Invetors Fund Class F2	E	Dividend	N	T	Buy	05/24/17	J		
93.					Sold (part)	08/09/17	J	A	
94. -America Funds International Growth & Income Fund Class F2	A	Dividend	K	T	Buy	05/24/17	J		
95. -American Funds Fundamental Investors Fund Class F2	C	Dividend	K	T	Buy	05/24/17	J		
96. -The Growth Fund of America Fund Class F2	C	Dividend	K	T	Buy	05/24/17	J		
97. -Ishares 7-10 Year Treas.Bond ETF	A	Dividend	K	T	Buy	12/19/17	K		
98. -Ishares IBoxx \$ Invt, Grade Corp Bond ETF	A	Dividend	K	T	Buy	12/19/17	K		
99.					Buy (add'l)	12/20/17	J		
100. -Ishares 20+ Year Treasury Bond ETF	A	Dividend	J	T	Buy	12/19/17	J		
101. -Ishares Inter Credit Bond ETF	A	Dividend	L	T	Buy	12/19/17	L		
102.					Buy (add'l)	12/20/17	J		

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103. -Ishares Short Mat. Bond ETF	A	Dividend	K	T	Buy	12/19/17	K		
104. -American Funds American Balanced Fund Class F2	D	Dividend	M	T					
105. -American Funds Blackrock Multi Asset Income Portfolio Instl Class	A	Dividend	L	T	Buy	12/18/17	L		
106. -Vanguard Interm-Term Bond Index Adm Shs	B	Dividend			Buy	05/24/17	L		
107.					Sold	12/15/17	L		
108. -Voya Intermediate Bond Fund Class I	B	Dividend			Buy	05/24/17	L		
109.					Sold	12/15/17	L	A	
110. -Wells Fargo Core Bond Fund Class Inst	B	Dividend			Buy	05/24/17	L		
111.					Sold	12/15/17	L	A	
112. -MFS Growth Fund Class I		None			Buy	05/24/17	K		
113.					Sold	12/15/17	K	C	
114. -Goldman Sachs Large Cap Growth Insights Fd C11	B	Dividend			Buy	05/24/17	K		
115.					Sold	12/15/17	K	C	
116. -American Funds Income Fund of America C1 A	B	Dividend			Sold	05/24/17	M	F	
117. Trust #6 (H)									
118. -UBS Bank USA Dep Acct	A	Interest	J	T					
119. -UBS RMA Government Money Market Fund	A	Dividend			Closed	05/24/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -iShares Russell 2000 ETF	A	Dividend			Sold	05/24/17	K	E	
121. -iShares Russell Midcap ETF	A	Dividend			Sold	05/24/17	L	E	
122. -iShares IBoxx \$ Investment	A	Dividend	K	T	Buy	12/19/17	K		
123.					Buy (add'l)	12/20/17	J		
124. -iShares 20+ Year Treasury	A	Dividend	K	T	Open	12/19/17	K		
125. -iShares 7-10 Year Treas.Bond	A	Dividend	L	T	Buy	12/19/17	L		
126. -iShares Intermediate Credit Bond ETF	A	Dividend	M	T	Buy	12/19/17	M		
127.					Buy (add'l)	12/20/17	J		
128. -iShares Short Maturity Bond ETF	A	Dividend	K	T	Buy	12/19/17	K		
129. -iShares Core MSCI EAFE ETF	A	Dividend	K	T	Buy	12/19/17	J		
130. -iShares Core MSCI Emerging Markets ETF	A	Dividend	K	T	Buy	12/19/17	K		
131. -iShares Currency Hedged MSCI EAFE ETF	A	Dividend	K	T	Buy	12/19/17	K		
132. -Ivy International Core Equity Fund	B	Dividend			Buy	05/24/17	L		
133.					Sold	12/18/17	L	C	
134. -Vanguard Interm-Term Bd IDZ ADM Shs	B	Dividend			Buy	05/24/17	M		
135.					Sold	12/18/17	M		
136. -Voya Intermediate Bond Fund Class I	B	Dividend			Buy	05/24/17	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	10/25/17	J	A	
138.					Sold	12/18/17	M	A	
139. -American Funds International Growth and Income Fund Class A	A	Dividend			Merged (with line 140)	05/25/17	L		
140. -American Funds International Growth and Income Fund CI F2	B		M	T	Buy	05/24/17	J		
141. -American Funds Amcap Fund CI A	D	Dividend			Merged (with line 142)	05/25/17	M		
142. -American Funds Amcap Fund CI F2			M	T	Buy	05/24/17	J		
143. -American Funds Fundamental Investors Fund CI A	A	Dividend			Merged (with line 144)	05/25/17	M		
144. -American Funds Fundamental Investors Fund Class F2	D	Dividend	M	T	Buy	05/24/17	J		
145. -American Funds Growth Fund of America Class A	D	Dividend			Merged (with line 146)	05/25/17	M		
146. -American Funds Growth Fund of America Class F2		None	M	T	Buy	05/24/17	J		
147.					Sold (part)	11/16/17	J	A	
148. -American Funds American High Income Muni Bond Fund CI A	A	Dividend			Sold	05/23/17	L	D	
149. -American Funds Intermediate Bond Fund of America CI A	A	Dividend			Sold	05/23/17	K	A	
150. -American Funds American Balanced Fund CI A	A	Dividend			Sold (part)	05/23/17	L	E	
151.					Merged (with line 152)	05/25/17	M		
152. -American Funds American Balanced Fund CI F2	D	Dividend	M	T					
153. Blackrock Multi Asset Income	A	Dividend	M	T	Buy	12/18/17	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154.					Buy (add'l)	12/19/17	K		
155. -American Funds Income Fund of America CI A	B	Dividend			Sold (part)	05/23/17	M	F	
156.					Merged (with line 157)	05/25/17	N		
157. -The Income Fund of America Fund Class F2	D	Dividend	M	T					
158. -American Funds Washington Mutual Investors Fund CL A	A	Dividend			Merged (with line 159)	05/25/17	L		
159. -American Funds Washington Mutual Investors Fund Class F2	C	Dividend	L	T	Buy	05/24/17	J		
160. -Wells Fargo Core Bond Fund	B	Dividend			Buy	05/24/17	M		
161.					Sold	12/18/17	M	A	
162. IRA #6 (H)									
163. -UBS Bank USA Dep Acct.		None	J	T					
164. -American Funds Growth Fund of America Class A	D	Dividend	M	T					
165. -American Funds New Perspective Fund CI A	D	Dividend	M	T					
166. -American Funds Washington Mutual Investors Fund CI A	D	Dividend	M	T					
167. -American Funds Capital World Growth & Income Fund	D	Dividend	M	T					
168. -American Funds Global Balanced Fund A	D	Dividend	M	T					
169. -American Funds American Balanced Fund CI A	E	Dividend	N	T					
170. -American Funds Income Fund of America CI A	D	Dividend	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. IRA # 7 (H)									
172. -UBS Bank USA Dep Acct		None	J	T					
173. -American Funds Capital World Growth & Income Fund(X)	D	Dividend	M	T					
174. -American Funds Growth Fund of America Class A	D	Dividend	M	T					
175. -American Funds New Perspective Fund Cl A	D	Dividend	M	T					
176. -American Funds Washington Mutual Investors Fund Cl A	D	Dividend	M	T					
177. -American Funds Global Balanced Fund A(X)	D	Dividend	M	T					
178. -American Funds American Balanced Fund Cl A(X)	E	Dividend	N	T					
179. -American Funds Income Fund of America Cl A(X)	E	Dividend	N	T					
180. IRA #4 (H)									
181. -UBS Bank USA Dep Acct	A	Interest	J	T					
182. -Adient PLC	A	Dividend			Sold	08/03/17	J	A	
183. -Johnson Ctls Intl PLC	A	Dividend			Sold	08/04/17	J		
184. -Guggenheim S & P 500 Equal Weigt EFT	A	Dividend			Sold	08/03/17	K	D	
185. -Ishares Russell 2000 EFT	A	Dividend			Sold	08/03/17	L	E	
186. -Spdr S&P Dividend EFT	A	Dividend			Sold	08/03/17	K	D	
187. -IShares Russell Midcap ETF	A	Dividend			Sold	08/04/17	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. -Teton Westwood Mighty Mites Fund Class AAA					Sold	08/04/17	L	E	
189. -Invesco Equally-Weighted S&P 500 Fund	D	Dividend	N	T	Buy (add'l)	08/04/17	M		
190.					Buy (add'l)	08/07/17	J		
191. -JP Morgan Large Cap Growth Fund Class I	E	Dividend	N	T	Buy	08/04/17	M		
192.					Buy (add'l)	08/07/17	J		
193. -MFS Value Fund Class A	D	Dividend	N	T	Buy	08/04/17	M		
194.					Buy (add'l)	08/07/17	J		
195. -Vanguard Total Stock Mkt Idx Adm	A	Dividend			Sold	08/04/17	L	D	
196. -Vanguard Equity Income Fund Admiral	A	Dividend			Sold	08/04/17	K	D	
197. -Vanguard Index Funds Vanguard Value ETF	A	Dividend			Sold	08/08/17	L	D	
198. -Vanguard FTSE Developed Mkt ETF	A	Dividend			Sold	08/03/17	K	B	
199. -Dodge & Cox Stock Fund	B	Dividend			Sold	08/03/17	L	C	
200. -Dodge & Cox Global Stock Fund					Sold	08/03/17	L	D	
201. -Dodge & Cox Balanced Fd	B	Dividend			Sold	08/03/17	K	C	
202. -Powershares FTSE RAFI	A	Dividend			Sold	08/03/17	K	D	
203. IRA #9 (H)									
204. -UBS Bank USA Dep Acct	A	Interest	L	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. -Ishares Russell 1000 EFT	B	Dividend	M	T					
206. -Ishares Russell 2000 EFT	B	Dividend	M	T					
207. -Ishares Russell Midcap EFT	B	Dividend	M	T					
208. American Funds Capital Income Builder Fund CL A	D	Dividend	N	T	Buy	08/03/17	N		
209. -American Funds Growth Fund of America Class A					Sold	08/03/17	M	C	
210. -American Funds New Perspective Fund Cl A					Sold	08/03/17	M	G	
211. -American Funds Washington Mutal Investor Fund	E	Dividend	M	T					
212. -American Funds Global Balanced Fund A	C	Dividend	M	T					
213. -American Funds American Balanced Fund Cl A	E	Dividend	N	T					
214. -American Funds Capital World Growth & Income Fund	D	Dividend	M	T					
215. -American Funds Income Fund of America	E	Dividend	N						
216. Brokerage #1 (H)									
217. -Tax-Exempt Money Mkt	A	Int./Div.	J	T					
218. -Treasury Money Market	A	Int./Div.	J	T					
219. IRA #10 (H)									
220. -Federal Money Mkt Fnd	A	Dividend	J	T					
221. -Total Stock Mkt Idx Adm	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. Brokerage #2 (H)									
223. -Federal Money Mkt	A	Dividend	J	T					
224. -Tax-Exempt Money Mkt	A	Dividend	J	T					
225. -Treasury Money Market	A	Dividend	J	T					
226. IRA #11 (H)									
227. -Dividend Growth Fund	D	Dividend	M	T					
228. -Federal Money Mkt Fnd	A	Dividend	K	T					
229. -GNMA Fund Admiral Shares	B	Dividend	L	T					
230. -Selected Value Fund					Merged (with line 228)	12/04/17	K		
231. -Short-Term Invest Gr Adm	B	Dividend	L	T					
232. -STerm Inf Pro Sec Idx Adm	B	Dividend	L	T					
233. -Treasury Money Market	A	Dividend	K	T					
234. -Wellesley Income Fund Adm	B	Dividend	L	T					
235. -Windsor II Fund Adm	A	Dividend							
236. -Artisan Intl Investor CL	A	Dividend	K	T					
237. -FPA Crescent	B	Dividend	K	T					
238. -Vanguard Dividend Appreciation ETF	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. -Vanguard High Dividend Yield ETF	A	Dividend	K	T					
240. -Vanguard Federa Money Market Fund	A	Dividend	K	T					
241. -PRIMECAP Core Fund	B	Dividend	L	T					
242. -PRIMECAP Fund Investor	C	Dividend	L	T					
243. Brokerage #3 (H)									
244. -Fidelity Government Money Market	A	Int./Div.	J	T					
245. -United Finl Bancorp Inc Md Com	A	Dividend	J	T					
246. -MFS Utilities Class A	A	Dividend	K	T					
247. -Fidelity Mass Muni Money Market	A	Dividend	J	T					
248. IRA #12 (H)									
249. -Vanguard Specialized Funds Div App ETF- Name Chg from Div Index Fd Vpr	B	Dividend	M	T					
250. - Vanguard Consumer Staples Vipers	A	Dividend	K	T					
251. -Vanguard Utils Vipers	B	Dividend	K	T	Sold (part)	12/20/17	J	C	
252. -Oakmark Fund Investor Class	A	Dividend	J	T	Buy	02/28/17	J		
253. -Oakmark Intl Investor	A	Distribution	J	T	Buy	02/28/17	J		
254. -Oppenheimers Steel Path MLP Income C1 Y	C	Dividend	K	T	Sold (part)	12/19/17	J		
255. -SPDR Gold Tr Gold SHS		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Rovner, Ilana D.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. -Barclays PLC	A	Dividend			Sold	10/04/17	J	A	
257. -Fidelity Government Money Market	A	Dividend	L	T					
258. IRA #13 (H)									
259. -Atlas Energy Group LLC Com		None			Sold	10/04/17	J		
260. -Berkshire Hathaway Inc Del Cl B		None	N	T					
261. -BHP Billiton Limited ADR Each Rep 2 Ord	A	Dividend	J	T					
262. -iShares Silver Tr iShares		None	J	T					
263. -iShares Russell Midcap	A	Dividend	L	T					
264. -iShares Core S&P Small Cap EFT	A	Dividend	L	T					
265. -Powershares QQQ Tr Unit Ser	A	Dividend	L	T					
266. -SPDR Gold Tr Gold SHS		None	K	T					
267. -Fidelity Contrafund	A	Dividend	K	T					
268. -Fidelity Low Priced Stock	A	Dividend	L	T					
269. -Fidelity Government Income	A	Dividend			Sold	01/30/17	J	B	
270. -Pimco All Asset Fund Class D	B	Dividend	K	T					
271. -Fidelity Treasury Only Money Market Fd	A	Dividend	J	T					
272. -Fidelity Govt Cash Reserves	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. Bank Account #1 - Bank of America	A	Interest	J	T					
274. Bank Account #2 - JPMorgan Chase	A	Interest	J	T					
275. President & Fellows of Harvard - Trusts & Gifts Department	B	Distribution	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Note 1: Part III-A Pension distribution from employer of deceased husband.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Ilana D. Rovner**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544