

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norton, Cynthia A.	2. Court or Organization U.S. Bankruptcy Court, W.D. of Missouri	3. Date of Report 08/03/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 400 E. 9th St Room 6462 Kansas City, MO 64106		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 2013	Agreement with Steven R. Rebein, former co-owner/co-member of Grimes & Rebein, LLC, to collect and divide equally the remaining earned accounts receivable
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

08/03/2016

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1. 2015	State of Kansas salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Missouri Bar Association	Feb. 27; Mar. 3-4; Mar. 19	Kansas City, Springfield, and Columbia, MO	Attend and teach CLE at annual bankruptcy seminar	Transportation, meals, hotel, registration
2. American College of Bankruptcy	Mar. 11-15	Washington, D.C.	Attend annual conference meeting and bankruptcy CLE	Transportation, meals, hotel, registration
3. National Association of Consumer Bankruptcy Attorneys	Apr. 23-25	Chicago, IL	Attend and teach CLE at bankruptcy conference	Transportation, meals, hotel, registration
4. Turnaround Management Association	June 11-13	St. Louis, MO	Attend and teach CLE at bankruptcy meeting	Transportation, meals, hotel, registration

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

08/03/2016

5.	National Association of Chapter 13 Trustees	July 1-5	Salt Lake City, UT	Attend and teach CLE at bankruptcy conference	Transportation, meals, hotel, registration
6.	National Conference of Bankruptcy Judges	Sept. 27 - 30	Miami, FL	Attend and participate at bankruptcy conference	Transportation, meals, hotel, registration

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

08/03/2016

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capitol One Bank	credit card	J
2.	JP Morgan Chase	credit card	K
3.	Commerce Bank	credit card	J
4.	USAA Federal Savings Bank	credit card	K
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

08/03/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Northwestern Mutual Whole Life Policies	A	Int./Div.	K	T					
2. TIAA-CREF 403(b) (investments listed below:									
3. 1. TIAA-CREF Stock R3 Fund	B	Int./Div.	L	T					
4. 2. TIAA-CREF Bond Market R3 Fund	A	Int./Div.	J	T					
5. 3. TIAA-CREF Money Market	B	Int./Div.	J	T					
6. Exchange National Bank bank accounts	C	Int./Div.	J	T					
7. Emprise Bank bank accounts (Y)	A	Int./Div.	J	T	Closed	04/04/15	J	A	
8. Commerce Bank bank accounts	A	Int./Div.	J	T					
9. Navy Federal Credit Union accounts	A	Int./Div.	J	T					
10. Waddell & Reed money market (invested in Cash Management Fund) (X)	A	Int./Div.	J	T	Open	07/31/15	J	A	
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

08/03/2016

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

All the liabilities in Part VIII have been satisfied.

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Norton, Cynthia A.

Date of Report

08/03/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Cynthia A. Norton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

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