

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                     |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br><br>Cox, Jacqueline P.                                                                                                                   | <b>2. Court or Organization</b><br><br>Bankruptcy Northern Illinois                                                                                                                                                                                                                                 | <b>3. Date of Report</b><br><br>12/19/2017                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br><br>Bankruptcy Court Judge Active                                                 | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input checked="" type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2016<br>to<br>12/31/2016 |
| <b>7. Chambers or Office Address</b><br><br>U.S. Courthouse<br>219 S. Dearborn St., Room 676<br>Chicago, Ill. 60604                                                                                       |                                                                                                                                                                                                                                                                                                     |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                                     |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

### POSITION

### NAME OF ORGANIZATION/ENTITY

|                                      |                                                      |
|--------------------------------------|------------------------------------------------------|
| 1. Member of Judicial Advisory Board | Just the Beginning Foundation                        |
| 2. Director                          | Northern District of Illinois Historical Association |
| 3.                                   |                                                      |
| 4.                                   |                                                      |
| 5.                                   |                                                      |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

### DATE

### PARTIES AND TERMS

|         |                                                                                                                     |
|---------|---------------------------------------------------------------------------------------------------------------------|
| 1. 1978 | Cook County Employees' Annuity & Benefit Fund. Terms: Eligibility at age 55 with certain years of service.          |
| 2. 1984 | Municipal Employees' Annuity & Benefit Fund of Chicago. Terms: Eligibility at age 55 with certain years of service. |
| 3. 1988 | Judges Retirement System of Illinois. Terms: Eligibility at age 55 with certain years of service.                   |

**FINANCIAL DISCLOSURE REPORT**

Page 2 of 6

Name of Person Reporting

Cox, Jacqueline P.

Date of Report

12/19/2017

**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                           | <u>INCOME</u><br>(yours, not spouse's) |
|-------------|------------------------------------------------------------------|----------------------------------------|
| 1. 2016     | Judges Retirement System of Illinois Pension                     | \$97,851.96                            |
| 2. 2016     | Municipal Employees' Annuity and Benefit Fund of Chicago Pension | \$12,930.00                            |
| 3. 2016     | Cook County Employees' Annuity & Benefit Fund Pension            | \$17,439.60                            |
| 4.          |                                                                  |                                        |

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u> |
|-------------|------------------------|
| 1.          |                        |
| 2.          |                        |
| 3.          |                        |
| 4.          |                        |

**IV. REIMBURSEMENTS** *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u>                                                 | <u>DATES</u>      | <u>LOCATION</u>       | <u>PURPOSE</u>                        | <u>ITEMS PAID OR PROVIDED</u>                                      |
|---------------------------------------------------------------|-------------------|-----------------------|---------------------------------------|--------------------------------------------------------------------|
| 1. Bankruptcy Bar Association of Southern District of Florida | May 12-15, 2016   | Marco Island, Florida | Speak on Bankruptcy issues for 3 days | Transportation, Food, Lodging, Amenity Bag and Registration Costs. |
| 2. Just the Beginning Foundation-A Pipeline Organization      | Sept. 15-18, 2016 | New York, N.Y.        | Conference and Seminar Sessions       | Registration, Food and Lodging costs (in part).                    |
| 3.                                                            |                   |                       |                                       |                                                                    |
| 4.                                                            |                   |                       |                                       |                                                                    |
| 5.                                                            |                   |                       |                                       |                                                                    |

**FINANCIAL DISCLOSURE REPORT**

Page 3 of 6

Name of Person Reporting

Cox, Jacqueline P.

Date of Report

12/19/2017

**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

|    | <u>SOURCE</u>                | <u>DESCRIPTION</u>                                         | <u>VALUE</u> |
|----|------------------------------|------------------------------------------------------------|--------------|
| 1. | Union League Club of Chicago | Federal Judicial Membership Discount as a Privilege Holder | \$2,184.00   |
| 2. |                              |                                                            |              |
| 3. |                              |                                                            |              |
| 4. |                              |                                                            |              |
| 5. |                              |                                                            |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u> | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|-----------------|--------------------|-------------------|
| 1. |                 |                    |                   |
| 2. |                 |                    |                   |
| 3. |                 |                    |                   |
| 4. |                 |                    |                   |
| 5. |                 |                    |                   |

# FINANCIAL DISCLOSURE REPORT

Page 4 of 6

Name of Person Reporting

Cox, Jacqueline P.

Date of Report

12/19/2017

## VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Hyde Park Bank Accounts, Chicago, Ill.                                                                                   | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 2. Prudential Var. Appreciable Life Ins. Policy: Money Market                                                               | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 06/06/16                | L                               | A                              | Sec. 1035 IRS law Exchange                                        |
| 3. Prudential Var. Appreciable Life Ins. Policy: Money Market                                                               | A                                       | Interest                                      |                                                 |                                           | Matured                                         | 06/06/16                | L                               | A                              | Sec. 1035 IRS law Exchange                                        |
| 4. The Ariel Fund                                                                                                           | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 5. Shorebank/Urban Partnership Bank, Chicago, IL                                                                            | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Seaway Bank Accounts, Chicago, Ill.                                                                                      | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. Hyde Park Cooperative Society, Inc.                                                                                      | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. Chase Bank Accounts                                                                                                      | A                                       | Interest                                      | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 9. VVO Vanguard FTSE Emerging Markets ETF FTSE Emerging Index                                                               | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 10. DODFX Dodge & Cox Funds International Stock Fund                                                                        | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 11. SPY SPDR S&P 500 ETF Trust                                                                                              | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. IWR IShares Russell Mid Cap ETF                                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. IWM IShares Russell 2000 ETF                                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. QWESQ JP Morgan TR II Mun Money MKT FD INSTL CL                                                                         | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. WSBIX Wells Fargo FDS TR Short Term Mun BD FD                                                                           | B                                       | Interest                                      | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 16. PTYIX Price T Rowe Tax Free High Yield FD INC CL I                                                                      | A                                       | Interest                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. VNQ Vanguard Sector Index FDS Vanguard REIT ETF                                                                         | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |

|                                                  |                                                                                       |                                                        |                                                              |                                                                                          |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------|------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000      | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000                                     | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$5,000,000 | L = \$50,001 - \$100,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                              | T = Cash Market                                                                          |                         |

# FINANCIAL DISCLOSURE REPORT

Page 5 of 6

Name of Person Reporting

Cox, Jacqueline P.

Date of Report

12/19/2017

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

None.

# FINANCIAL DISCLOSURE REPORT

Page 6 of 6

Name of Person Reporting

Cox, Jacqueline P.

Date of Report

12/19/2017

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jacqueline P. Cox**

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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