

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2012

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Payson, Marian W.	2. Court or Organization U.S.D.C., W.D.N.Y.	3. Date of Report 07/29/2013
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge-Fulltime	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2012 to 12/31/2012
7. Chambers or Office Address 2310 U.S. Courthouse 100 State Street Rochester, New York 14614		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Janus Growth and Income Fund - Mutual Fund	C	Dividend	M	T					
2. Vanguard Institutional Index - Mut. Fund (NYS Deferred Comp)	B	Dividend	L	T					
3. Vanguard Federal Money Market Fund	A	Interest	J	T					
4. Metropolitan Transn Auth NY Transn Rev Ref-Ser A Muni. Bond	B	Interest			Redeemed	11/15/12	K	A	
5. Metropolitan Transn Auth NY Transn Rev Ref-Ser A Muni. Bond	B	Interest			Redeemed	11/15/12	K	A	
6. Blackrock Bond PTF SVC - Mutual Fund	B	Dividend	L	T					
7. Blackrock LO Duration BD SVC - Mutual Fund	B	Dividend	L	T					
8. Templeton Foreign Fund A - Mutual Fund	B	Dividend	L	T					
9. Morgan Stanley Money Market Funds	A	Int./Div.	M	T					
10. Summit Federal Credit Union #1	A	Interest	J	T					
11. Summit Federal Credit Union #2	A	Interest	J	T					
12. Vanguard Bond Mkt Index Inv - Mutual Fund	A	Dividend	J	T					
13. Vanguard Wellington Fund Inv - Mutual Fund	A	Dividend	K	T					
14. Vanguard Small Cap Value Index - Mutual Fund	A	Dividend	K	T					
15. Vanguard Total Stock Mkt Index Inv - Mutual Fund #2	A	Dividend	J	T					
16. NY College Savings Program Growth Moderate Growth Portfolio		None	K	T					
17. NY College Sav. Program Growth Conservative Growth Portfo		None	L	T					

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. NYS Retirement System - Pension Fund		None	K	T					
19. Rochester Fund Municipals A	E	Dividend	N	T					
20. American Growth Fund of America, F	B	Dividend	M	T					
21. Wells Fargo High Inc. Inv.	B	Dividend	K	T					
22. American Cent Growth Adv.	B	Dividend	K	T					
23. Blackrock Equity Dividend A Mutual Fund	D	Dividend	M	T	Sold (part)	07/18/12	J	A	
24. Nuveen Int Dur Muni Bond A Mutual Fund	D	Dividend	M	T	Sold (part)	07/18/12	J	A	
25. Keeley Small Cap Value A Mutual Fund		None	M	T					
26. Perkins Mid Cap Value Mutual Fund	D	Dividend	M	T					
27. Nuveen Ltd Term Muni Bond Mutual Fund	D	Dividend	M	T					
28. Nuveen Tradewinds Int'l Mutual Fund	D	Dividend	M	T	Buy (add'l)	07/18/12	J		
29. Vanguard Prime Money Mkt Fund	A	Interest	J	T					
30. NYC Municipal Water Finance Auth. Bond - Rev E	B	Interest	L	T					
31. Metropolitan Trans Auth NY Rev. Ref Ser - A Bond	C	Interest			Redeemed	07/02/12	K	A	
32. Lazard Emerging Mkts Mutual Fund	B	Dividend	K	T	Sold (part)	07/18/12	J	A	
33. NY St Dorm Auth St. Johns Univ Rev Bond	A	Interest	K	T					
34. Metropolitan Trans Auth NY Rev Ref Ser - F Bond	A	Interest			Redeemed	11/15/12	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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35. DWS RREEF Real Estate Sec A Fund	B	Dividend	K	T					
36. Invesco Premier PTF Inst'l Money Mkt Fund	A	Interest	K	T					
37. Columbia Large Cap Growth Fund A	A	Dividend	J	T					
38. NYC Municial Water Finance Auth. Bond - Rev C	A	Dividend	K	T	Buy	10/18/12	K		
39. NY College Savings Income Portfolio (X)		None	L	T					
40. Summit Federal Credit Union #3 (X)	A	Interest	J	T					
41. American Century All Cap Growth Fund (X)	A	Dividend	J	T					
42. Trust #1 - Franklin Templeton Mutual Quest Fund (X)	D	Dividend	M	T					
43. Vanguard Growth Index Fund (X)	A	Dividend	K	T					
44. Metropolitan Trans Auth NY Trans Rev B Bond (X)	B	Dividend	K	T					
45. Federated Cap. Preserv. Fund-held by profit sharing plan (X)	A	Int./Div.	K	T	Distributed (part)	07/17/12	J		
46.					Distributed (part)	12/18/12	J		
47. Sterling Cap. Eq. Inc. Fund-held by profit sharing plan (X)	D	Int./Div.	N	T	Distributed (part)	07/17/12	J		
48.					Distributed (part)	12/18/12	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Marian W. Payson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544