

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LUNDIN, KEITH M.	2. Court or Organization USBC (M.D. TENN.)	3. Date of Report 04/03/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. BANKRUPTCY JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address 701 BROADWAY CUSTOMS HOUSE, 2D FLOOR NASHVILLE, TN 37203		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. TRUSTEE	TRUST
2. EXECUTOR	ESTATE OF DECEASED
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. CONTINUE	CALNORBAN ASSOC. (AGMT EDIT BK NEWSLETTER) (Now Thomson Reuters)
2. CONTINUE	BANKRUPTCY PRESS, INC. (BOOK CONTRACT)
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2014	CALNORBAN ASSOCIATES (ROYALTIES) (Now Thomson Reuters)	\$13,200.00
2. 2014	Bankruptcy Press, Inc. (Royalties)	\$52,503.00
3. 2014	Vanderbilt University School of Law (teaching)	\$2,500.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	NATIONAL ASSOCIATION OF CHAPTER 13 TRUSTEES	1/22/2014 TO 1/26/2014	WASHINGTON, DC	SPEAKER AT CLE MEETING	TRAVEL, FOOD & LODGING
2.	AMERICAN BANKRUPTCY INSTITUTE	2/06/2014 TO 2/10/2014	SAN JUAN, PR	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
3.	AMERICAN BANKRUPTCY INSTITUTE	3/13/2014 TO 3/16/2014	TAMPA, FL	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
4.	NORTON BANKRUPTCY INSTITUTES	3/19/2014 TO 3/23/2014	LAS VEGAS, NV	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
5.	OREGON STATE BAR	4/23/2014 TO 4/25/2014	SEATTLE, WA	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING

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6.	SOUTH FLORIDA BAR	5/09/2014 TO 5/12/2014	KEY LARGO, FL	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
7.	NORTON BANKRUPTCY INSTITUTES	6/27/2014 TO 6/30/2014	JACKSON HOLE, WY	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
8.	NATIONAL ASS'N OF CHAPTER 13 TRUSTEES	7/15/2014 TO 7/18/2014	CHICAGO, IL	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
9.	NATIONAL CONF. OF BANKRUPTCY JUDGES	10/8/2014 TO 10/12/2014	CHICAGO, IL	SPEAKER AT CLE SEMINAR	TRAVEL, FOOD & LODGING
10.	MID-SOUTH COMMERCIAL LAW INSTITUTE	12/4/2014	NASHVILLE, TN	SPEAKER AT CLE SEMINAR	FOOD & PARKING
11.	ALLEGHENY COUNTY BAR ASS'N	12/4/2014 TO 12/5/2014	PITTSBURGH, PA	SPEAKER AT CLE SEMINAR	TRAVEL & FOOD

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. General M.M. CIB	A	Dividend	N	T					
2. General M.M. CIB (trust asset)	A	Dividend	J	T					
3. JNJ (common stock)	A	Dividend	J	T					
4. DDD (common stock)		None	J	T					
5. AAPL (common stock)	A	Dividend	K	T					
6. ARCO (common stock)	A	Dividend	J	T					
7. ECOL (common stock)	A	Dividend	K	T					
8.									
9. PRAA (common stock) (X)		None			Sold	09/05/14	K	B	
10. SZYM (common stock) (X)		None	K	T	Sold (part)	11/05/14	J	B	
11. TTS (common stock) (X)		None			Sold	11/06/14	J	A	
12. SSW (common stock) (X)		None			Sold	10/13/14	J	A	
13. DDD (common stock) (X)		None	J	T					
14. AHS (common stock) (X)		None	K	T					
15. BRKB (common stock) (X)		None	K	T					
16. BIP (common stock) (X)	A	Dividend	J	T					
17. CMG (common stock) (X)		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. CLNE (common stock) (X)		None	K	T					
19. DUK (common stock) (X)	A	Dividend	J	T					
20. EXC (common stock) (X)	A	Dividend	K	T					
21. GM (common stock) (X)	A	Dividend	K	T					
22. GWR (common stock) (X)		None	J	T					
23. ASR (common stock) (X)	A	Dividend	K	T					
24. IBM (common stock) (X)	A	Dividend	K	T					
25. KMI (common stock) (X)	B	Dividend	K	T					
26. LL (common stock) (X)		None	J	T					
27. MKTX (common stock) (X)	A	Dividend	K	T					
28. NYCB (common stock) (X)	B	Dividend	K	T					
29. POT (common stock) (X)	A	Dividend	K	T					
30. POWR (common stock) (X)		None	K	T					
31. RPM (common stock) (X)	A	Dividend	J	T					
32. SSTK (common stock)		None	K	T	Buy	11/13/14	K		
33. SCTY (common stock) (X)		None	J	T					
34. STAG (common stock) (X)	B	Dividend	K	T					

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35. SSYS (common stock)		None	K	T	Buy	08/29/14	K		
36. TSCO (common stock) (X)	A	Dividend	K	T					
37. TRIP (common stock) (X)		None	K	T					
38. UA (common stock) (X)		None	K	T					
39. ESTATE (X)									
40. - Money Market (X) TD Ameritrade	A	Interest	M	T					
41. - Cert. depo. (X) Santander Bank N.A./ Peoples United Bank	A	Interest	N	T					
42. - ADT (common stock) (X)		None			Sold	08/28/14	J		
43. - APA (common stock) (X)		None			Sold	08/28/14	M		
44. - CAR (common stock) (X)		None			Sold	08/28/14	J		
45. - AVT (common stock) (X)	A	Dividend			Sold	09/05/14	K		
46. - BAC (common stock) (X)	A	Dividend			Sold	09/04/14	K		
47. - DD (common stock) (X)		None			Sold	08/28/14	L		
48. - FELE (common stock) (X)		None			Sold	08/28/14	K		
49. - MHFI (common stock) (X)	A	Dividend			Sold	08/28/14	M		
50. - ORCL (common stock) (X)		None			Sold	08/28/14	J		
51. - PHH (common stock) (X)		None			Sold	08/28/14	J		

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52. - SPW (common stock) (X)	A	Dividend			Sold	09/12/14	M		
53. - VTR (common stock) (X)		None			Sold	08/28/14	J		
54. - WMB (common stock) (X)	A	Dividend			Sold	09/11/14	K		
55. - WPX (common stock) (X)		None			Sold	08/29/14	J		
56. - WYN (common stock) (X)	A	Dividend			Sold	08/29/14	J		
57. - ACT (common stock) (X)		None			Sold	08/28/14	M		
58. - COV (common stock) (X)		None			Sold	08/28/14	K		
59. - MNK (common stock) (X)		None			Sold	08/28/14	J		
60. - PNR (common stock) (X)		None			Sold	08/28/14	J		
61. - TEL (common stock) (X)	A	Dividend			Sold	08/28/14	J		
62. - TYCO (common stock) (X)		None			Sold	08/28/14	J		
63.									

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **KEITH M. LUNDIN**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544