

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lauber, Albert G.	2. Court or Organization United States Tax Court	3. Date of Report 07/06/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge, United States Tax Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 400 Second Street, N.W. Room 306 Washington, D.C. 20217		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|------------------------------|---|
| 1. Member, Board of Trustees | The Studio Theatre, 1501 14th Street, N.W., Washington, D.C. 20005 (non-profit theatre) (uncompensated position) |
| 2. Adjunct Professor | Georgetown University Law Center, 600 New Jersey Ave., N.W., Washington D.C. 20001 (compensated position) (advance approval received) |
| 3. | |
| 4. | |
| 5. | |

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|----|--|
| 1. | |
| 2. | |
| 3. | |

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Laufer, Albert G.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Salary, Adjunct Professor, Georgetown University Law Center	\$5,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Salary, Georgetown University Law Center
2. 2015	Sole Proprietorship Income, Consulting
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

NONE (No reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

NONE (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Merrill Lynch/Bank of America	Margin Loan on Stock Account	N
2.	Merrill Lynch/ Bank of America	Mortgage on Rental Prop. #1, Washington, D.C. (Pt. VII, line 1)	M
3.	Merrill Lynch/Bank of America	Line of Credit on Rental Prop. #1, Washington, D.C. (Pt. VII, line 1)	N
4.	Merrill Lynch/Bank of America	Mortgage on Rental Prop. #2, Washington, D.C. (Pt. VII, line 2)	M
5.	Merrill Lynch/Bank of America	Mortgage on Rental Prop. #3, Washington, D.C. (Pt. VII, line 3)	N
6.	Merrill Lynch/Bank of America	Mortgage on Rental Prop. #4, Washington, D.C. (Pt. VII, line 4)	N
7.	Merrill Lynch/Bank of America	Mortgage on Rental Prop. #5, Washington, D.C. (Pt. VII, line 5)	N
8.			
9.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Prop. #1, Washington, District of Columbia, DC	G	Rent	P1	W					
2. Rental Prop. #2, Washington, District of Columbia, DC	G	Rent	P1	W					
3. Rental Prop. #3, Washington, District of Columbia, DC	E	Rent	O	W					
4. Rental Prop. #4, Washington, District of Columbia, DC	E	Rent	N	W					
5. Rental Prop. #5, Washington, District of Columbia, DC	E	Rent	N	W	Buy	08/13/15	N		
6. DWS Latin America Fund	A	Dividend	K	T					
7. Vanguard Emerging Markets Stock Index Fund	A	Dividend	K	T					
8. Vanguard Health Care Fund	A	Dividend	K	T					
9. Vanguard High-Yield Tax Exempt Fund	A	Dividend	K	T					
10. Vanguard Pacific Stock Index Fund	A	Dividend	K	T					
11. Vanguard Dividend Growth Fund	B	Dividend	L	T					
12. T Rowe Price High Yield Bond Fund	E	Dividend	N	T					
13. Invesco Global Health Fund	A	Dividend	J	T					
14. Invesco Small Cap Growth Fund	A	Dividend	J	T					
15. Invesco Technology Fund	A	Dividend	J	T					
16. Invesco Utilities Fund	A	Dividend	J	T					
17. Merrill Lynch Deposit Program	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000.001 - \$500,000.000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Municipal Bond, Beloit Wisc School District	A	Interest			Sold	04/06/15	J	A	
19. Municipal Bond, Alabama Public School	A	Interest			Sold	04/06/15	J	A	
20. Municipal Bond, Woodbridge Twtnship NJ	A	Interest			Sold	04/06/15	J	A	
21. Municipal Bond, San Benito TX	A	Interest			Sold	04/06/15	J	A	
22. Municipal Bond, Southwestern City School Dist Ohio	A	Interest			Sold	04/06/15	J	A	
23. Cohen & Steers Select Infrastructure Fund	B	Dividend			Sold	05/12/15	K	B	
24. Eaton Vance Dividend Income Fund	A	Dividend			Sold	05/12/15	K	C	
25. Fidelity Limited Term Bond Fund	A	Interest			Sold	03/06/15	K	A	
26. Fidelity Dow Target Fund	A	Dividend			Sold	10/09/15	L	A	
27. Goldman Sachs Growth Fund	A	Dividend	J	T	Sold (part)	05/12/15	K	B	
28. Invesco Dividend Strategy Fund	A	Dividend			Sold	10/09/15	K	A	
29. iShares Russell Midcap	A	Dividend	J	T	Sold (part)	06/05/15	J	C	
30. MFS Global Total Return Fund	A	Dividend	J	T	Sold (part)	05/12/15	K	C	
31. Neuberger Berman Large Cap Fund	A	Dividend			Sold	03/16/15	K	A	
32. Principal Global Diversified Income Fund	A	Dividend			Sold	06/05/15	K	A	
33. SunAmerica Focused Growth Fund	A	Dividend			Sold	06/17/15	K	D	
34. iShares S&P Small Cap	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. SPDR S&P 500 Fund	A	Dividend	K	T					
36. Vanguard Consumer Fund	B	Dividend	K	T					
37. Vanguard Health Care ETF	A	Dividend	J	T	Sold (part)	06/08/15	J	D	
38. Vanguard Dividend ETF	B	Dividend	L	T					
39. Vanguard Total Bond Market Fund	A	Interest	J	T					
40. Merrill Lynch Rollover IRA (Lauber) (this is a header):									
41. - Vanguard High Yield Corporate Fund	F	Interest	O	T	Sold (part)	12/01/15	L	D	
42. - Vanguard Windsor Fund	E	Dividend	P1	T	Sold (part)	07/06/15	M	F	
43. - Vanguard Wellington Fund	D	Dividend	M	T					
44. - Banco Santander ADR	A	Dividend	K	T	Buy	10/07/15	K		
45. - Telefonica Brasil ADR	C	Dividend	L	T	Buy	10/07/15	L		
46. Georgetown University 403(b) Plan (Spouse) (this is a header):									
47. - Vanguard High Yield Corporate Fund	B	Interest	K	T					
48. - Vanguard Windsor Fund	E	Dividend	O	T					
49. - Vanguard International Growth Fund	D	Dividend	M	T					
50. - Vanguard International Value Fund	D	Dividend	M	T					
51. IRA Account (Lauber) (this is a header):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - T Rowe Price High Yield Bond Fund	E	Interest	N	T					
53. - T Rowe Price New Asia Fund	B	Dividend	M	T					
54. IRA Account (Hoffman) (this is a header):									
55. - American AMCAP Fund	A	Dividend	J	T					
56. - American Balanced Fund	A	Dividend	K	T					
57. - American AMCAP Fund CL F1	A	Dividend	J	T					
58. - MFS International Diversification Fund	A	Dividend	J	T					
59. - MFS Value Fund	A	Dividend	J	T					
60. - PIMCO Total Return Fund	A	Dividend	J	T					
61. - Principal Global Diversified Inc Fund	A	Dividend	J	T					
62. - SunAmerica Focused Alpha Large Cap Fund	A	Dividend	J	T					
63. Merrill Lynch Brokerage Accounts (common stocks) (this is a header):									
64. - Exelon Corp.	D	Dividend	M	T	Sold (part)	06/15/15	K	A	
65.					Buy (add'l)	11/19/15	K		
66. - Telefonica Peru ADR	A	Dividend	L	T					
67. - Cisco Corp.	B	Dividend	L	T	Sold (part)	05/12/15	K	E	
68. - Bank of America Corp.	A	Dividend			Sold	03/06/15	K	D	

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. - Cemex Corp.	A	Dividend	L	T	Buy (add'l)	11/09/15	K		
70. - Verizon Corp.	A	Dividend	K	T	Sold (part)	06/05/15	J	C	
71. - Banco Santander	B	Dividend	K	T	Sold (part)	09/24/15	L	A	
72.					Buy (add'l)	11/09/15	K		
73. - AT&T	A	Dividend	K	T	Sold (part)	09/30/15	J	B	
74. - GE Corp.	C	Dividend	M	T	Sold (part)	11/12/15	J	C	
75. - Apple Inc	A	Dividend	J	T					
76. - Monsanto Corp.	A	Dividend	J	T					
77. - Alcoa Corp.	A	Dividend	K	T	Buy	04/09/15	K		
78.					Sold (part)	07/06/15	K	A	
79.					Buy (add'l)	09/15/15	J		
80.					Buy (add'l)	11/09/15	K		
81. - Citigroup Corp.	A	Dividend	K	T	Sold (part)	06/08/15	J	A	
82. - Pfizer Corp.	A	Dividend	J	T					
83. - Caterpillar Corp.	B	Dividend	K	T					
84. - American Electric Power Corp.	A	Dividend	J	T					
85. - Telefonica Espana	C	Dividend	L	T	Buy (add'l)	09/24/15	K		

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86. - Microsoft	A	Dividend	J	T					
87. - Barclays Bank	B	Dividend	L	T	Buy (add'l)	11/20/15	J		
88. - BP Plc					Sold	05/04/15	J	A	
89. - Wells Fargo Bank	B	Dividend	K	T	Sold (part)	02/20/15	J	C	
90. - Exxon Mobil					Sold	02/20/15	J	A	
91. - Marathon Oil	A	Dividend	J	T	Sold (part)	02/20/15	K	A	
92. - American Express Co.	A	Dividend	K	T					
93. - Ford Motor Co.	A	Dividend	J	T	Buy	01/06/15	J		
94. - Whole Foods Market	A	Dividend	J	T	Sold (part)	05/12/15	J	A	
95.					Buy (add'l)	11/19/15	J		
96. - JP Morgan Chase Bank	A	Dividend	J	T	Sold (part)	06/08/15	J	A	
97. - Schlumberger	A	Dividend	K	T	Buy (add'l)	01/06/15	J		
98. - Halliburton Corp.	B	Dividend	L	T	Sold (part)	07/23/15	K	A	
99.					Buy (add'l)	08/25/15	K		
100. - Baker Hughes	A	Dividend	J	T	Buy	12/28/15	J		
101. - Southern Co.	B	Dividend	K	T	Buy (add'l)	06/15/15	J		
102. - Transocean Ltd.	A	Dividend	J	T	Sold (part)	06/15/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
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103. - Eli Lilly Inc.	A	Dividend	K	T					
104. - Morgan Stanley	A	Dividend	J	T					
105. - Oracle Corp.	A	Dividend	L	T					
106. - Orange Corp. ADR	B	Dividend	L	T	Buy (add'l)	07/06/15	J		
107. - Telefonica Brasil Ltd ADR	C	Dividend	L	T	Sold (part)	09/02/15	M	A	
108.					Buy (add'l)	12/18/15	K		
109. - Cameron International	A	Dividend			Sold	08/27/15	K	D	
110. - Ensc0 Plc					Sold	07/16/15	K	A	
111. - Freeport McMoran	A	Dividend	J	T	Buy (add'l)	01/14/15	K		
112.					Sold (part)	07/23/15	L	A	
113. - IBM	A	Dividend			Sold	03/19/15	J	A	
114. - Noble Plc					Sold	04/20/15	K	A	
115. - Petrobras					Sold	11/09/15	L	A	
116. - Diamond Offshore					Buy	02/10/15	J		
117.					Sold	07/20/15	J	A	
118. - Gilead Sciences					Buy	02/18/15	J		
119.					Sold	09/30/15	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$250,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Fidelity Unit 5097 Target Fund	A	Dividend			Sold	10/09/15	K	A	
121. - ArcelorMittal ADR	A	Dividend	J	T	Buy	07/06/15	K		
122.					Sold (part)	09/16/15	K	A	
123.					Buy (add'l)	11/09/15	J		
124. - Banco Bradesco ADR	A	Dividend	J	T	Buy	04/09/15	K		
125.					Sold (part)	11/09/15	K	A	
126.					Buy (add'l)	12/11/15	J		
127. - Grupo Financiero Santander de Mexico	A	Dividend	K	T	Buy	10/02/15	K		
128. - Itau Unibanco Brasil	A	Dividend	K	T	Buy	07/23/15	K		
129.					Sold (part)	10/02/15	K	A	
130.					Buy (add'l)	11/09/15	K		
131. - Seadrill Corp.			J	T	Buy	12/03/15	J		
132. - Banco Santander Brasil	A	Dividend	K	T	Buy	08/24/15	J		
133.					Buy (add'l)	09/24/15	K		
134.									
135.									
136.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Name of Person Reporting

Lauber, Albert G.

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07/06/2016

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Albert G. Lauber**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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