

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fulton, Thomas H.	2. Court or Organization U.S. Bankruptcy Court	3. Date of Report 06/22/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge-Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U.S. Courthouse 601 West Broadway, Suite 528 Louisville, KY 40202		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME

(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1.

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERIPRISE FINANCIAL									
2. Columbia Large Core Quantitative Fund Class A, IRA		None	J	T					
3. Flexible Annuity		None	K	T					
4. Variable Annuity		None	K	T					
5. HILLIARD LYONS BROKERAGE ACCT #1									
6. Hilliard Lyons Govt. Fund	A	Dividend	J	T					
7. CISCO Systems, Inc.		None	J	T					
8. Walt Disney Stock	A	Dividend	K	T					
9. Home Depot Stock	A	Dividend	J	T					
10. International Paper	A	Dividend	J	T					
11. Kimberly-Clark Corp Stock	A	Dividend	J	T					
12. Microsoft	A	Dividend	J	T					
13. Pfizer, Inc	A	Dividend	J	T					
14. Colgate-Palmolive Co.	A	Dividend	J	T					
15. Staples, Inc.	A	Dividend	J	T					
16. General Electric	A	Dividend	J	T					
17. Comcast Corp Class A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Coming, Inc.	A	Dividend	J	T					
19. Janus Cap Group	A	Dividend	J	T	Buy	03/02/11	J		
20. Motorcar Parts of America	A	Dividend	J	T	Buy	07/01/11	J		
21. Summer Infant	A	Dividend	J	T	Buy	03/10/11	J		
22. Hilliard Lyons Brokerage Acct. #2									
23. Walmart	A	Dividend	J	T					
24. RBB Fund Inc.	A	Dividend			Sold	08/01/11	K	A	
25. Nokia	A	Dividend	J	T					
26. American Legacy III Variable Annuity	A	Dividend	J	T					
27. Hilliard Lyons Govt Fund	A	Dividend	J	T					
28. Dow Chemical	A	Dividend	J	T					
29. Qualcomm, Inc.	A	Dividend	J	T					
30. Oracle Corp	A	Dividend	J	T					
31. Pfizer, Inc	A	Dividend	J	T					
32. Cross A T Company	A	Dividend	J	T	Buy	09/16/11	J		
33. Janus Cap Group	A	Dividend	J	T	Buy	03/02/11	J		
34. Landuer Inc.	A	Dividend	J	T	Buy	09/16/11	J		

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35. Motorcar Parts of America	A	Dividend	J	T	Buy	05/19/11	J		
36. Stifel Financial Corp	A	Dividend	J	T	Buy	06/20/11	J		
37. Summer Infant Inc.	A	Dividend	J	T	Buy	03/10/11	J		
38. Hilliard Lyons Brokerage Acct. #3									
39. Hilliard Lyons Govt. Fund	A	Dividend	J	T	Buy	01/20/11	J		
40. Disney Walt Co.	A	Dividend	J	T					
41. General Electric	A	Dividend	J	T					
42. Hilliard Lyons Trust Acct.									
43. Abbott Laboratories	A	Dividend	J	T	Sold (part)	01/24/11	J	C	
44. Berkshire Hathaway		None	K	T					
45. Cisco	A	Dividend	J	T					
46. Disney Walt	A	Dividend	J	T					
47. Exxon Mobile	A	Dividend	K	T					
48. Pepsico	A	Dividend	J	T	Sold (part)	01/24/11	J	D	
49. Proctor & Gamble	A	Dividend	J	T					
50. Walgreens Co.	A	Dividend	J	T					
51. Goldmans Sach Financial Square Federal Fund	A	Dividend	J	T					

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52. Johnson & Johnson Co.	A	Dividend	J	T					
53. TJX Companies	A	Dividend	K	T					
54. Harley Davidson Inc.	A	Dividend	J	T					
55. Microsoft Corp	A	Dividend	J	T					
56. Home Depot	A	Dividend	J	T					
57. Chevron Corp	A	Dividend	K	T					
58. CVS Caremark Corp	A	Dividend	J	T					
59. Kraft Foods Inc.	A	Dividend	J	T					
60. TEVA Pharmaceutical Inds.	A	Dividend	J	T					
61. US Bancorp Del Com	A	Dividend	J	T					
62. Ishares TR MSCI EAFE Index	A	Dividend	K	T					
63. Ishares TR S&P 400 Value	A	Dividend	J	T					
64. VanGuard Index Small Cap ETF	A	Dividend	J	T					
65. Southern Co.	A	Dividend	J	T					
66. JP Morgan Chase	A	Dividend	J	T					
67. Hilliard Lyons Retirement Acct.									
68. Washington Mutual Fund	A	Dividend	J	T					

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69. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #1									
70. First Trust Dow Target 10 2010	A	Dividend			Redeemed	10/05/11	L		
71. Evergreen Solar, Inc.		None			Sold	02/01/11	J		
72. Impax Laboratories, Inc.		None	J	T					
73. MEMC Electr Matls		None			Sold	02/01/11	J		
74. Powershares Water Resources	A	Dividend	J	T					
75. Precision Drilling		None	J	T					
76. Suntech Power Holdings		None			Sold	02/01/11	J		
77. UMH Properties, Inc.	A	Dividend	J	T					
78. Wachovia Bank Deposit Sweep Option	A	Interest	J	T					
79. Principal Financial Group	A	Dividend	J	T	Buy	02/01/11	J		
80. Precision Drilling Corp	A	Dividend	J	T	Buy	02/01/11	J		
81. First Trust Target 10 October 11	A	Dividend	J	T	Buy	10/03/11	L		
82. Wells Fargo(formerly Wachovia Securites) Acct. #3									
83. Bank Deposit Sweep	A	Interest	J	T					
84. Coeur D Alene Mines Corp Com New	A	Dividend			Sold	04/01/11	J	A	
85. Gilat Satellite Networks		None			Sold	04/01/11	J	A	

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86. Goldcorp, Inc.	A	Dividend	K	T					
87. MEMC Electr Matls, Inc.		None			Sold	04/01/11	J	A	
88. Monmouth Real Estate Reit	B	Dividend	K	T					
89. Proshares Short S & P 500		None			Sold	04/01/11	J	A	
90. UMH Properties, Inc.	B	Dividend	K	T					
91. Alpine Global Dynamic	B	Dividend	J	T					
92. ING Global Equity Div and Prem. Opportunity	B	Dividend	J	T					
93. ING Clarion Global Real Estate Income Fund	A	Dividend	J	T					
94. Latin American Discovery, FD Inc.	A	Dividend			Sold	04/01/11	J	A	
95. Medtronic, Inc	A	Dividend	J	T					
96. Blackrock Kelso Capital Corp	A	Dividend	J	T	Buy	04/02/11	J		
97. Prospect Capital Corp	B	Dividend	J	T	Buy	04/04/11	J		
98. Wells Fargo(formerly) Wachovia Securities Brokerage Acct. #4									
99. AT & T Inc.	A	Dividend	K	T					
100. Chevron Corporation	A	Dividend			Sold	11/17/11	K	A	
101. Du Pont De Nemours	A	Dividend	K	T					
102. Fairpoint Communications		None			Sold	04/01/11	J	A	

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103. Gilat Satellite Networks		None	J	T					
104. Headwaters, Inc.		None	J	T					
105. Home Depot	A	Dividend			Sold	11/17/11	K	B	
106. Kraft Foods Inc.	A	Dividend	K	T					
107. McDonalds Corp	A	Dividend			Sold	11/17/11	K	B	
108. Merk & Co. Inc.	A	Dividend	K	T					
109. Pfizer Incorporated	A	Dividend	K	T					
110. Tetra Tech Inc.		None	K	T					
111. URS Corp		None			Sold	04/01/11	K	A	
112. Verizon Communications	A	Dividend	K	T					
113. H & Q Healthcare FD SBI	B	Dividend	K	T					
114. H & Q Life Sciences	D	Dividend	K	T					
115. IRA Bank Deposit Sweep	A	Interest	J	T					
116. Johnson & Johnson	A	Dividend	K	T					
117. Frontier Communications, Corp	A	Dividend	J	T					
118. Lazard LTD	A	Dividend	K	T	Buy	04/01/11	K		
119. General Electric Company	A	Dividend	K	T	Buy	11/17/11	K		

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120. Intel Corp	A	Dividend	K	T	Buy	11/17/11	K		
121. Proctor and Gamble	A	Dividend	K	T	Buy	11/17/11	K		
122. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #5									
123. Bank Deposit Sweep	A	Int./Div.	K	T					
124. American Strategies Capital, LTD		None	J	T					
125. Enerplus Resources	B	Dividend	K	T					
126. Kinder Morgan Energy	B	Dividend	K	T					
127. Monmouth Real Estate	B	Dividend	K	T					
128. Noble Corp Baar	A	Dividend	J	T					
129. Penn West Energy Trust	A	Dividend	K	T					
130. Proshares Short S&P 500		None	J	T					
131. Prospect Capital Corp	B	Dividend	K	T					
132. Schlumberger, LTD	A	Dividend	K	T					
133. Superior Plus Corp	B	Dividend	K	T					
134. Transocean, LTD	A	Dividend	J	T					
135. UMH Properties, Inc	A	Dividend	J	T					
136. Williams Companies, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Fulton, Thomas H.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Envest Diversified Income Trust	B	Dividend	K	T					
138. ING Global Equity Div and Premium Opp. Fund	B	Dividend	J	T					
139. ING Global Real Estate Income Fund	B	Dividend	K	T					
140. Latin America Discovery Fd, Inc.	A	Dividend			Sold	02/01/11	J	A	
141. First Trust Capital Strength Port	A	Dividend	K	T					
142. First Trust Diversified Equity Strt	B	Dividend	K	T	Buy	02/01/11	K		
143. Wells Fargo(formerly Wachovia Securities) Brokerage Acct # 6									
144. Bank Sweep Deposit	A	Interest	J	T					
145. Mutual Series FD, Inc.	A	Dividend	K	T					
146. First Trust Value Line Target 25 2010	A	Dividend	K	T					
147. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #7									
148. Bank Sweep Deposit	A	Interest	J	T					
149. Mutual Series FD Inc. Quest FD CLA	A	Dividend			Sold	02/01/11	K		
150. First Trust Value Line Target 25 -10	A	Dividend	K	T					
151. First Trust Target Triad 2011	A	Dividend	K	T	Buy	02/01/11	K		
152. Wells Fargo(formerly Wachovia Securities) Brokerage Acct. #8									
153. Bank Deposit Sweep	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. First Value Line Target 25 210	A	Dividend	J	T	Sold (part)	10/30/11	K	A	
155. Wells Fargo (formerly Wachovia Securities) Acct. #10									
156. Bank Deposit Sweep	A	Interest	J	T					
157. Abbott Laboratories	A	Dividend	J	T					
158. Honda Motor LTD New American Shares	A	Dividend			Sold	10/31/11	J	A	
159. Honeywell International, Inc.	A	Dividend	K	T					
160. Novartis AG	A	Dividend	J	T					
161. Schlumberger LTD	A	Dividend	K	T					
162. Zimmer Holdings		None	J	T					
163. Latin American Discovery FD Inc.	A	Dividend			Sold	04/21/11	J	A	
164. Telefonica Brasil (formerly Telecomunicacoes DE SAP Paulao)	A	Dividend	J	T	Buy	04/21/11	J		
165. Pro Cash Plus #1									
166. Federated Capital Reserves		None	J	T					
167. Cisco Systems		None			Sold	05/19/11	K	D	
168. Target Corp	A	Dividend	K	T					
169. MFS Municipal Income Fund	A	Dividend	K	T					
170. The Tax-Exempt Fund of America	D	Dividend			Sold	03/03/11	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Fulton, Thomas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Sector SPDR TR SHS Ben Int Technology	A	Dividend			Sold	08/10/11	K	A	
172. Vanguard Whitehall FDS High Divident	A	Dividend	K	T					
173. Wisdom Tree TR Div. Ex Financials	B	Dividend	K	T					
174. Washington Mutual Investors Fund	B	Dividend	M	T	Buy	02/08/11	M		
175. Firts TR Exchange - Traded FD	B	Dividend	J	T	Buy	05/19/11	J		
176. Altria Group Inc Com	B	Dividend	J	T	Buy	08/15/11	J		
177. AT&T Inc.	B	Dividend	K	T	Buy	03/09/11	J		
178. Firstenergy Corp	B	Dividend	J	T	Buy	03/10/11	J		
179. Procter & Gambe Co.	B	Dividend	J	T	Buy	03/09/11	J		
180. Verizon Communications	B	Dividend	K	T	Buy	08/15/11	K		
181. Windstream Corp	B	Dividend	J	T	Buy	03/09/11	J		
182. Pro Cash #2									
183. Pershing Govt. Acct	A	Interest	K	T					
184. American Funds MM Acct	A	Dividend	M	T	Sold (part)	07/25/11	M	A	
185.					Buy (add'l)	09/16/11	M		
186. Growth Fund of America Class A	C	Dividend			Sold	09/16/11	L	A	
187. Intermediate Bond Fund of America	D	Dividend			Sold	01/28/11	M	D	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Fulton, Thomas H.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. The Investment Company of America	B	Dividend	J	T	Sold (part)	06/02/11	M	E	
189. New Economy Fund Class A	B	Dividend	L	T					
190. New World Class Fund Class A	B	Dividend			Sold	08/10/11	L	A	
191. Small-Cap World Fund Class A	B	Dividend			Sold	08/10/11	M	A	
192. FT Unit 2936 Tactical Port	B	Dividend	M	T	Buy	04/12/11	K		
193. American Mutual Fund Class A	B	Dividend	M	T	Buy	08/10/11	M		
194. The Income Fund Fo America Class A	B	Dividend	L	T	Buy	08/10/11	L		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
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2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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Fulton, Thomas H.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Thomas H. Fulton**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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One Columbus Circle, N.E.
Washington, D.C. 20544