

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Beckerman, Stacie F.	2. Court or Organization U.S. District Court, Oregon	3. Date of Report 05/13/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge (Full-Time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 927 U.S. Courthouse 1000 SW Third Ave. Portland, OR 97204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Queen's Bench (local chapter of Oregon Women Lawyers)
2.	Director	U.S. District Court Historical Society
3.	Director	Oregon Women Judges
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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Name of Person Reporting

Beckerman, Stacie F.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2015

Providence Health & Services (spouse's wages)

2. 2015

Self-employed spouse (writing, consulting, speaking)

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Chase Bank (various accounts)	A	Interest	M	T					
2. Fidelity Investments Partners 403(b) - Vang Tar Ret 2035		None	K	T					
3. Fidelity Investments Roth IRA - Fidelity Growth Strategic Fund		None	J	T					
4. Fidelity Investments PHandS Value Plan 403B		None	M	T					
5. Fidelity Investments PHandS DC Restoration		None	M	T					
6. Fidelity Investments PHandS 457(B) Plan		None	M	T					
7. Fidelity Investments MGH Academic Annuity - Vang Tar Ret 2035		None	J	T					
8. Fidelity Investments PHandS 401(A) Service		None	K	T					
9. Fidelity Investments MGH TSA - Vang Tar Ret 2035		None	K	T					
10. Oregon College Savings Plan Aggressive 1 Portfolio		None	L	T					
11. Oregon College Savings Plan Aggressive Portfolio 2		None	L	T					
12. Oregon College Savings Plan U.S. Equity Index Portfolio 1		None	L	T					
13. Oregon College Savings Plan U.S. Equity Index Portfolio 2		None	M	T					
14. TIAA-CREF Retirement Savings Plan (H)									
15. -- CREF Equity Index R3		None	L	T					
16. -- CREF Fond Market R3		None	J	T					
17. -- CREF Global Equities R3		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. -- CREF Money Market R3		None	J	T					
19. Vanguard Group Partners Healthcare 403(B)7 Plan		None	L	T					
20. Wells Fargo Skadden Arps Savings Plan (H)									
21. -- Vanguard Institutional Index I		None	L	T					
22. -- AMG Timesquare Sm Cap Growth Instl		None	L	T					
23. -- T. Rowe Price Growth Stock Trust		None	K	T					
24. -- Dodge & Cox Stock		None	J	T					
25. -- Vanguard Total Bond Market Index I		None	J	T					
26. Charles Schwab Roth Conversion IRA (H)									
27. -- ABC	A	Dividend	J	T					
28. -- BDX	A	Dividend	J	T					
29. -- LOW	A	Dividend	J	T	Sold (part)	10/28/15	J	B	
30. -- PEP	A	Dividend	J	T					
31. -- PNC	A	Dividend	J	T					
32. -- PFE	A	Dividend	J	T					
33. -- SNPS		None	J	T	Sold (part)	04/26/15	J	C	
34.					Sold (part)	08/18/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. -- MA	A	Dividend	J	T	Sold (part)	10/28/15	J	A	
36. -- Cash and money market		None	J	T					
37. -- CL	A	Dividend	J	T					
38. -- ADP	A	Dividend	J	T					
39. -- ETN	A	Dividend	J	T					
40. -- BCE	A	Dividend	J	T					
41. -- RSG	A	Dividend	J	T					
42. -- JNJ	A	Dividend	J	T					
43. -- UPS	A	Dividend	J	T					
44. -- VZ	A	Dividend	J	T					
45. -- MSFT	A	Dividend	J	T					
46. -- COP	A	Dividend	J	T					
47. -- UN	A	Dividend	J	T					
48. -- XOM	A	Dividend	J	T					
49. -- PX	A	Dividend	J	T					
50. -- IBM	A	Dividend	J	T					
51. -- SLB	A	Dividend	J	T					

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52. -- TMO	A	Dividend	J	T	Buy (add'l)	03/16/15	J		
53. -- LLL	A	Dividend	J	T	Buy (add'l)	04/15/15	J		
54. -- QCOM	A	Dividend	J	T	Buy (add'l)	02/03/15	J		
55. -- EMR	A	Dividend	J	T					
56. -- WKR (formerly Rock-Tenn Co.)	A	Dividend	J	T					
57. -- WDC	A	Dividend	J	T	Buy (add'l)	04/29/15	J		
58.					Buy (add'l)	06/24/15	J		
59.					Buy (add'l)	07/10/15	J		
60. -- ABBV	A	Dividend	J	T	Buy (add'l)	03/17/15	J		
61. -- MCD	A	Dividend	J	T	Buy (add'l)	01/23/15	J		
62. -- PKG	A	Dividend	J	T	Buy (add'l)	05/26/15	J		
63. Charles Schwab Simplified Employee Plan (H)									
64. -- Vanguard Total Stock Market ETF		None	J	T					
65. -- Cash and money market		None	J	T					
66. Charles Schwab Roth Conversion IRA (H)									
67. -- Vanguard Total Stock Market ETF		None	K	T					
68. -- Cash and money market		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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69. Charles Schwab Brokerage Account (H)									
70. -- Cash and money market		None	M	T					
71. -- AAPL		None	J	T					
72. -- LOW	A	Dividend	K	T	Sold (part)	10/28/15	K	D	
73. -- ABC	A	Dividend	L	T	Sold (part)	05/28/15	J	D	
74. -- BDX	A	Dividend	L	T					
75. -- PNC	B	Dividend	L	T					
76. -- PFE	B	Dividend	L	T					
77. -- MA	A	Dividend	K	T	Sold (part)	10/28/15	J	C	
78. -- SNPS		None	K	T	Sold (part)	08/18/15	J	B	
79. -- ETN	B	Dividend	K	T	Buy (add'l)	12/11/15	J		
80. -- PEP	B	Dividend	L	T					
81. -- RSG	B	Dividend	L	T					
82. -- BCE	B	Dividend	K	T					
83. -- JNJ	B	Dividend	K	T					
84. -- CL	B	Dividend	K	T					
85. -- UN	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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86. -- MSFT	B	Dividend	L	T					
87. -- VZ	B	Dividend	K	T	Buy (add'l)	12/11/15	J		
88. -- ADP	A	Dividend	K	T					
89. -- SLB	A	Dividend	K	T					
90. -- UPS	B	Dividend	K	T					
91. -- EMR	B	Dividend	K	T					
92. -- TMO	A	Dividend	L	T	Buy (add'l)	03/16/15	K		
93.					Buy (add'l)	12/11/15	J		
94. -- QCOM	B	Dividend			Buy (add'l)	02/03/15	K		
95.					Sold	12/11/15	K		
96. -- LLL	A	Dividend	K	T	Buy (add'l)	04/15/15	J		
97.					Buy (add'l)	12/11/15	J		
98. -- COP	B	Dividend	K	T	Sold (part)	05/28/15	J		
99. -- WRK (formerly Rock-Tenn Co.)	A	Dividend	K	T					
100. -- XOM	B	Dividend	K	T	Sold (part)	05/28/15	J		
101. -- ABBV	A	Dividend	K	T	Buy (add'l)	03/12/15	J		
102.					Buy (add'l)	12/11/15	J		

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103. -- CAT	A	Dividend	K	T					
104. -- MCD	A	Dividend	K	T	Buy (add'l)	01/23/15	K		
105. -- IBM	A	Dividend	K	T	Sold (part)	05/28/15	K		
106. -- PX	A	Dividend	K	T	Sold (part)	05/28/15	K	B	
107. -- WDC	A	Dividend			Buy (add'l)	04/29/15	K		
108.					Sold	12/11/15	K		
109. -- PKG	A	Dividend	K	T	Buy (add'l)	05/26/15	J		
110.					Buy (add'l)	12/11/15	J		
111. Ardent Companies (commercial investments)	E	Interest	M	T	Buy (add'l)	07/27/15	K		
112.					Buy (add'l)	12/10/15	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII:

In my initial report, I listed AAPL stock as a holding at the end of my initial reporting period, in both my Charles Schwab Roth Conversion IRA, at Line 27, and in my Charles Schwab brokerage account, Line 69. Those listings were an error. Both accounts held AAPL stock in 2014, but it was sold prior to the close of my initial reporting period (I was exempt from reporting transactions last year in my initial report, so the sale transactions do not appear in that report). As a result, AAPL stock is not listed in either account in my current report, because both accounts divested that stock prior to January 1, 2015.

In my initial report, there is a typographical error at Line 29. I listed "BCX" stock, but it should have been "BDX." It is corrected in the current report.

In my initial report, there is a typographical error at Line 75. I listed "AMA" stock, but it should have been "MA." It is corrected in the current report.

In my initial report, there is a typographical error at Line 76. I listed "NPS" stock, but it should have been "SNPS." It is corrected in the current report.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Stacie F. Beckerman

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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