

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Senechal, Alice R.	2. Court or Organization District of North Dakota (NE)	3. Date of Report 05/09/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Magistrate Judge (Part-time)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address PO Box 5576 Grand Forks, ND 58206-5576		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Employee, Shareholder, President	Robert Vogel Law Office, P.C.
2. North Dakota Board of Law Examiners	Member
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Note	Robert Vogel Law Office, P.C., employee compensation	\$23,080.00
2. Note	University of North Dakota, employee compensation	\$7,000.02
3. Note	North Dakota Board of Law Examiners, member per diem	\$825.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Robert Vogel Law Office, P.C.	1/12	Fargo, ND	Litigation activity	Transportation
2. Robert Vogel Law Office, P.C.	1/13	Fargo, ND	Litigation activity	Transportation
3. Robert Vogel Law Office, P.C.	1/14	Fargo, ND	Litigation activity	Transportation
4. Robert Vogel Law Office, P.C.	1/17	Fargo, ND	Litigation activity	Transportation
5. Robert Vogel Law Office, P.C.	1/27 - 1/28	Albuquerque, NM	Litigation activity	Transportation, lodging, meals

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6.	Robert Vogel Law Office, P.C.	2/16	Fargo, ND	Litigation activity	Transportation
7.	National Conference of Bar Examiners	4/28 - 5/1	San Francisco, CA	Conference	Transportation, lodging, meals
8.	Robert Vogel Law Office, P.C.	9/5 - 9/6	Minneapolis, MN	Litigation activity	Transportation, lodging, meals
9.	Robert Vogel Law Office, P.C.	10/14	Carrington, ND	Litigation activity	Transportation
10.	Robert Vogel Law Office, P.C.	10/25	Cavalier, ND	Litigation activity	Transportation
11.	Robert Vogel Law Office, P.C.	11/30 - 12/1	Duluth, MN	Litigation activity	Transportation, lodging, meals

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Gate City Bank Accounts	C	Interest	M	T					
2. Alerus Diversified Bond Fund	B	Dividend			Sold	01/03/11	L	C	
3. Alerus Common Stock Fund	A	Dividend			Sold	01/03/11	K	C	
4. Alerus International Stock Fund	A	Dividend			Sold	01/03/11	K	C	
5. Alerus Global Strategies	A	Dividend			Sold	01/03/11	K	C	
6. MFS Mass. Inv. Growth Fund	A	Dividend			Sold	01/03/11	K	C	
7. Pimco High Yield Fund	A	Dividend			Sold	01/03/11	K	C	
8. Fidelity Diversified International Fund	A	Dividend			Sold	01/03/11	K	C	
9. Fidelity Ad. Value Strategy Fund	A	Dividend			Sold	01/03/11	K	D	
10. Alliance Berenstein Strategic Balanced Fund	A	Dividend			Sold	03/04/11	L	A	
11. Hewlett Packard, f/k/a, Compaq Computer Corp Common Stock	A	Dividend	J	T					
12. Robert Vogel Law Office, P.C., Stock		None	J	U					
13. Real Estate, McHenry County, ND	C	Rent	M	W					
14. Thrivent Financial Life Insurance Policy		None	K	T					
15. Thrivent Large Cap Stock Fund	A	Dividend	J	T					
16. Thrivent Real Estate Securities Portfolio	A	Dividend			Sold	03/21/11	K	B	
17. United States Savings Bonds	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. TD Waterhouse MMF Municipal Portfolio	A	Dividend	J	T					
19. ISHARES Trust	A	Dividend	J	T					
20. Federated Total Ret. Bond Fund	A	Dividend			Sold	01/03/11	K	C	
21. Vanguard Total Stock Index Fund	A	Dividend	K	T					
22. Vanguard 500 Index Fund	A	Dividend			Sold	01/03/11	K	C	
23. DFA Emerging Markets Core Equity Fund	B	Dividend	K	T					
24. DFA International Sustainability Care 1 Fund	B	Dividend	K	T					
25. DFA US Targeted Value Portfolio	B	Dividend	K	T					
26. Vanguard International Value Fund	A	Dividend	L	T	Buy	03/11/11	L		
27. Vanguard REIT Index Fund Admiral Shares	A	Dividend	K	T	Buy	03/21/11	K		
28. Mission Investment Fund (X)	A	Interest	K	T					
29. Bremer Income Model Fund	A	Dividend			Buy	01/03/11	L		
30.					Sold	02/22/11	L	A	
31. Bremer Growth Model Fund	A	Dividend			Buy	01/03/11	K		
32.					Sold	02/22/11	K	A	
33. Federated Max Cap Index Fund SS	A	Dividend			Buy	01/03/11	K		
34.					Sold	02/22/11	K	A	

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35. Janus Forty Fund R	A	Dividend			Buy	01/03/11	K		
36.					Sold	02/22/11	K	A	
37. Oppenheimer Global Opportunities Fund N	A	Dividend			Buy	01/03/11	L		
38.					Sold	02/22/11	L	A	
39. American Funds EuroPacific Growth Fund R4	A	Dividend			Buy	01/03/11	K		
40.					Sold	02/22/11	K	A	
41. American Century Equity Income - A	D	Dividend	L	T	Buy	02/22/11	L		
42. T.Rowe Price Total Equity Market Index	D	Dividend	M	T	Buy	02/22/11	M		
43. Federated High Yield Trust SS	B	Dividend	K	T	Buy	02/22/11	K		
44. Federated Total Return Bond Svc	B	Dividend	M	T	Buy	02/22/11	M		
45.									
46.									
47.									
48.									
49.									
50.									
51.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III, Line 1, 1/1/2011 - 12/31/2011

Part III, Line 2, 6/1/2011 - 9/15/2011

Part III, Line 3, 1/1/2011 - 12/31/2011

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544