

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2016

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MOSKOWITZ, Barry T.	2. Court or Organization Southern District of California	3. Date of Report 05/15/2017
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief, U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2016 to 12/31/2016
7. Chambers or Office Address U.S. Courthouse 333 W. Broadway, Suite 1580 San Diego, CA 92101		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Member of the Advisory Board	San Diego Chapter of the Federal Bar Association
2.	Power of Attorney for family member (managing assets and paying bills)	
3.	Trustee	Family Trust A
4.	Trustee	Family Trust B
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2016	California State Teachers Retirement System - Pension
2. 2016	San Diego Unified School District Supplemental Retirement Annuity from Pacific Life Insurance Company
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Bank of America	Revolving Credit Card Accounts (2)	J
2.	Barclay Card Visa	Revolving Credit Card Account (1)	K
3.	Citi Card	Revolving Credit Card Account (1)	J
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cabrillo Credit Union (accounts)	A	Interest	K	T					
2. CA Teachers Retirement System		None	K	T					
3. Ford Common Stock	A	Dividend	J	T					
4. Wells Fargo Bank	A	Interest	K	T					
5. Apple Common Stock	A	Dividend	J	T					
6. San Diego County Credit Union (Trust A)	A	Interest	K	T					
7. U.S. Bank (accounts) (Trust A)	A	Interest	K	T					
8. Wells Fargo Bank (accounts) (Trust A)	A	Interest	K	T					
9. Schwab brokerage money market (Trust A)	A	Interest	M	T					
10. Black Rock Enhanced (BDJ) Common Stock (A)	A	Dividend			Sold	11/04/16	J		
11. Charles Schwab Corp. (SCHW) Common Stock (A)	A	Dividend			Sold	11/04/16	J	B	
12. Merck & Co. Common Stock (MRK) (A)	A	Dividend			Sold	11/04/16	J	C	
13. Mondelez Int L Common Stock (MDLZ) (A)	A	Dividend			Sold	04/01/16	J	C	
14. Neenah Paper Inc. (NP) Common Stock (A)	A	Dividend			Sold	04/01/16	J	D	
15. Pfizer Incorporated (PFE) Common Stock (A)	A	Dividend			Sold	04/01/16	J	B	
16. Wal-Mart Stores, Inc. (WMT) Common Stock (A)	A	Dividend			Sold	11/04/16	J	A	
17. American Century CA (BCITX) Bond Fund (A)	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = \$50,001 - \$100,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. Vanguard CA Inter Term Bond Fund (VCADX) (A)	B	Dividend	K	T					
19. Vanguard Inflation Bond Fund (VAIPX) (A)	A	Distribution	K	T					
20.	A	Dividend							
21. Harbor Internation equity fund (HIINX) (A)		None			Sold	11/04/16	J		
22. Parnassus Core Equity Fund (PRBLX) (A)	A	Dividend			Sold	11/04/16	K		
23. Vanguard IntL Explorer equity fund (VIN EX) (A)		None			Sold	11/04/16	J		
24. I Shares MSCI EAFE ETF (EFA) (A)	A	Dividend	K	T					
25. Select STR Financial ETF (XLF) (A)	A	Dividend	K	T					
26. SPDR Fund Consumer ETF (XLY) (A)	A	Dividend			Sold	11/04/16	K	B	
27. SPDR S&P Mid Cap 400 ETF (MDY) (A)	A	Dividend	K	T					
28. SPDR S&P 500 ETF (SPY) (A)	A	Dividend	K	T	Sold (part)	04/01/16	K	D	
29. Technology Select Sector ETF (XLK) (A)	A	Dividend			Sold (part)	04/01/16	J	D	
30.					Sold	11/04/16	K	D	
31. I Shares Core S&P small cap ETF (IJR) (A)	A	Dividend	K	T	Buy	04/01/16	K		
32. Real Estate Select SCTR ETF (XLRE) (A)	A	Dividend			Sold	11/04/16	J		
33. Schwab Bank account (B)	A	Interest	L	T					
34. Schwab Trust B brokerage money market (B)	A	Int./Div.	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. Pfizer, Inc. (PFE) Common Stock (B)	A	Dividend			Sold	04/01/16	J	A	
36. TEVA Pharm. Ind (teva) Common Stock (B)	A	Dividend			Sold	11/04/16	J		
37. American Century Inter (twitx) bond fund (B)	C	Int./Div.	M	T					
38. Doubleline EMRG MKTS (DLENX) bond fund (B)	B	Dividend	K	T					
39. Ridgeworth SEIX INVT bond fund (STTBX) (B)	B	Distribution	L	T					
40.	B	Dividend							
41. Schwab CA Tax Free Bond Fund (SWCAX) (B)	A	Distribution	M	T					
42.	C	Dividend							
43. USAA Tax Exempt Inter bond fund (USATX) (B)	C	Int./Div.	M	T					
44. Vanguard CA Inter Term bond fund (VCADX) (B)	D	Int./Div.	M	T					
45. Dodge & Cox Int'L stock fund (DODFX) (B)		None			Sold	11/04/16	J		
46. Parnassus Core EQTY stock fund (PRBLX) (B)	A	Distribution	J	T	Sold (part)	11/04/16	J	C	
47.	A	Dividend							
48. Vanguard Equity Income stock fund (VEIRX) (B)	A	Dividend			Sold (part)	04/01/16	J	C	
49.					Sold	11/04/16	K	D	
50. Wells Fargo Growth Stock Fund (SGRCZ) (B)		None			Sold	04/01/16	J	B	
51. I Shares Select DIV. A ETF fund (DVY) (B)	A	Dividend	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
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52. SP DR S&P DIV. ETF fund (SDY) (B)	A	Distribution	K	T					
53.	A	Dividend							
54. Vanguard REIT ETF fund (VNQ) (B)	A	Dividend			Buy	04/01/16	J		
55.					Sold	11/04/16	J		
56. General Electric Common Stock sold 2015. Dividend received in 2016 (B)	A	Dividend							
57. American Century High MUN bond fund (ABHYX) (B)	B	Int./Div.	K	T	Buy	04/01/16	K		
58. Vanguard High Yield Corp. bond fund (VWEAX) (B)	A	Distribution	L	T	Buy	04/01/16	K		
59.	B	Dividend							
60. Vanguard Global EXP ETF (VNQi) (B)	A	Dividend			Buy	04/01/16	K		
61.					Sold	11/04/16	K	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

VII.

In 2016, I became the successor trustee for one of my family members who is the beneficiary of the trusts, therefore, the assets of the trusts are listed for the first time this year. I also started acting as power of attorney for the same family member in 2016. Pursuant to the Instruction on page 9, the investment assets subject to the power of attorney were not reported. The assets in Trusts A and B are denoted by (A) and (B) respectively.

Line 56 - All the General Electric stock was sold in 2015, but a dividend was received in 2016.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Barry T. MOSKOWITZ**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544