

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2015

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Johnson, Alan B.	2. Court or Organization District Court - Wyoming	3. Date of Report 06/14/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Active Judge, U.S. Dist. Court	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 12/31/2015
7. Chambers or Office Address 2120 Capitol Ave., Suite 2018 Cheyenne, WY 82003		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member, Advisory Board	Cheyenne Regional Medical Center, Home Health Services
2. Trustee	Trust #2, See Explanation and Description at Part VIII and Part VII
3. Trustee	Trust #3, See Explanation and Description at Part VIII and Part VII
4. Trustee	Trust #1, See Explanation and Description at Part VIII and Part VII
5. Member, Local Discussion Group	Young Men's Literary Club
6. Member, Advisory Board	The American Heritage Center of the University of Wyoming

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2015	Wyoming Judicial Retirement - Monthly	\$5,269.00
2. 2015	State Employers Retirement - Monthly	\$406.00
3. 2015	DFAS - Retirement - Monthly	\$1,473.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2015	Lockheed Martin Corp Master Retirement Trust - Monthly
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

SOURCE	DESCRIPTION	VALUE
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

CREDITOR	DESCRIPTION	VALUE CODE
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST #1 "PARENT'S TRUST"									
2. - CHASE BANK ACCOUNT	A	Int./Div.	M	T					
3. - CHASE BANK ACCOUNT	A	Int./Div.	J	T					
4. CHASE INVESTMENT SERVICES MONEY MARKET ACCT.	A	Int./Div.	K	T					
5. TRUST #2 [REDACTED] PREMARITAL REVOCABLE TRUST"									
6. - AMERICAN NATIONAL BANK	A	Interest	J	T					
7. - WELLS FARGO	A	Interest	J	T					
8. AMERICAN NATIONAL BANK - JOINT ACCOUNT	A	Interest	N	T					
9. AMERICAN NATIONAL BANK - SPOUSE ACCOUNT (X)	A	Int./Div.	J	T					
10. TRUST #3 JOINT REV. TRUST									
11. - FIDELITY BROKERAGE ACCT. MONEY MARKET ACCT.	A	Int./Div.	K	T					
12. - BARCLAYS BANK PLC IPATH BLOOMBERG COMMODITY		None			Buy	08/10/15	J		
13.					Sold	09/15/15	J	A	
14. - DFA INTERNATIONAL VECOTR EQUITY PORT	A	Int./Div.	K	T	Buy	12/16/15	J		
15. - DFA US CORE EQUITY II	A	Int./Div.	K	T	Buy	12/16/15	J		
16. - DFA EMERGING MKRSTS CORE EQU PORTF		Int./Div.	K	T	Buy	12/16/15	K		
17. - DODGE & COX INT'L STOCK		Int./Div.			Sold	12/16/15	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - FIDELITY ADVISOR NEW INSIGHTS I	B	Int./Div.			Sold	12/16/15	J	B	
19. - FIDELITY CASH CLEARING	A	Int./Div.	J	T					
20. - FIDELITY INTERMEDIATE MUNI INCOME			K	T	Buy	12/16/15	K		
21. - FIDELITY TAX FREE BOND			K	T	Buy	12/16/15	K		
22. - ISHARES CORE MODERATE ALLOCATION					Buy	08/10/15	K		
23.					Sold	09/15/15	K	A	
24. - ISHARES INC CORE MSCI EMERGING MKTS					Buy	11/16/15	J		
25.					Sold	12/18/15	J	A	
26. - ISHARES TR INTL DEVELOPED REAL ESTATE ETF	A	Int./Div.	J	T	Buy	09/08/15	J		
27. - ISHARES TR IBOX HI YD ETF	A	Int./Div.			Buy	11/16/15	K		
28.					Sold	12/18/15	K	A	
29. - LOOMIS SAYLES BD INST L	C	Int./Div.	K	T	Buy	12/16/15	K		
30. - LOOMIS SAYLES BOND RETAIL SHARES	A	Int./Div.			Buy (add'l)	02/19/15	J		
31.					Buy (add'l)	04/09/15	J		
32.					Buy (add'l)	07/02/15	J		
33.					Sold	11/12/15	K	A	
34. - MERGER FUND	A	Int./Div.	K	T	Buy (add'l)	01/15/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.					Buy (add'l)	04/09/15	J		
36.					Buy (add'l)	10/08/15	J		
37.					Sold (part)	12/16/15	J	A	
38. - PIMCO ALL ASSET ALL AUTHORITY INST CL	B	Int./Div.			Buy (add'l)	09/11/15	K		
39.					Sold (part)	08/06/15	K	A	
40.					Sold	12/16/15	K	A	
41. - PIMCO ALL ASSET AUTHORITY CL D	A	Int./Div.			Buy (add'l)	01/15/15	J		
42.					Buy (add'l)	03/05/15	J		
43.					Buy (add'l)	06/25/15	J		
44.					Buy (add'l)	07/09/15	J		
45.					Buy (add'l)	10/08/15	J		
46.					Sold	12/16/15	J	A	
47. - PIMCO TOTAL RETURN ADMIN SHS	C	Int./Div.	L	T	Buy (add'l)	02/19/15	J		
48.					Buy (add'l)	05/14/15	J		
49.					Buy (add'l)	07/09/15	J		
50.					Buy (add'l)	12/16/15	J		
51. - PIMCO REAL RETURN BOND INSTL (IX)	A	Int./Div.			Sold	12/16/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - PIMCO TOT RET INSTL	C	Int./Div.	L	T					
53. - PIMCO COMMODITY REAL RET STRAT ADMIN CL	A	Int./Div.	J	T	Buy	01/15/15	J		
54.					Buy (add'l)	03/12/15	J		
55.					Buy (add'l)	07/09/15	J		
56.					Buy (add'l)	07/30/15	J		
57.					Buy (add'l)	09/24/15	J		
58.					Buy (add'l)	12/16/15	K		
59. - PIMCO COMMODITY REAL RETURN INST	A	Int./Div.	J	T	Buy	09/11/15	J		
60.					Sold (part)	08/06/15	J	A	
61. - PIMCO EMERGING LOCAL BOND FD INST CL	A	Int./Div.			Sold	12/16/15	K	A	
62. - PIMCO EMERGING LOCAL BOND FUND ADMIN SH	A	Int./Div.			Buy (add'l)	02/19/15	J		
63.					Buy (add'l)	03/05/15	J		
64.					Buy (add'l)	03/12/15	J		
65.					Buy (add'l)	07/02/15	J		
66.					Sold	12/16/15	J	A	
67. - PIMCO REAL RETURN ADMIN CLASS	A	Int./Div.			Buy (add'l)	02/19/15	J		
68.					Buy (add'l)	05/14/15	J		

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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69.					Sold	12/16/15	K	A	
70. - SPARTAN INTL INDEX INVESTOR CLASS	A	Int./Div.			Buy	01/15/15	J		
71.					Buy (add'l)	09/03/15	J		
72.					Buy (add'l)	10/08/15	J		
73.					Sold	12/21/15	J	A	
74. - SPARTAN EMERGING MKT INDEX FUND	A	Int./Div.			Buy (add'l)	07/30/15	J		
75.					Buy (add'l)	08/20/15	J		
76.					Sold	11/12/15	K	A	
77. - SPARTAN REAL ESTATE INDEX FD INVESTOR CL	A	Int./Div.	J	T					
78. - SPARTAN TOTAL MKT INDX INVESTOR CLASS	A	Int./Div.			Buy (add'l)	07/09/15	J		
79.					Buy (add'l)	09/03/15	J		
80.					Sold	12/16/15	J	A	
81. - VANGUARD INDEX FDS VANGUARD REIT ETF	A	Int./Div.	J	T					
82. - VANGUARD GLOBAL REAL	A	Int./Div.	J	T					
83. - VANGUARD WHITEHALL FUNDS EMERGING MKT	A	Int./Div.	K	T	Buy	12/18/15	K		
84. - GATEWAY FUND	A	Int./Div.	K	T	Buy (add'l)	01/15/15	J		
85.					Buy (add'l)	04/09/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86.					Sold (part)	12/16/15	J	A	
87. - PWRSHRS RAFI US 1000	A	Int./Div.	J	T					
88. - PWRSHRS RAFI US 1500	A	Int./Div.	J	T					
89. NEW YORK LIFE INS WHOLE LIFE	A	Int./Div.	M	T					
90. WYOMING DEFERRED COMP									
91. - OPPENHEIMER GLOBAL	C	Int./Div.	L	T	Redeemed (part)	11/17/15	J		RETIREMENT DIST.
92. - T ROWE PRICE NEW HORIZON	E	Int./Div.	M	T	Redeemed (part)	11/17/15	J		RETIREMENT DIST.
93. - ARTISAN MID CAP FUND	D	Int./Div.	M	T	Redeemed (part)	11/17/15	J		RETIREMENT DIST.
94. WYOMING STATE RET. SYSTEM BEN. ACCT	A	Int./Div.	J	T					
95. XCEL ENERGY 1017.165 SHS	B	Int./Div.	K	T	Buy	12/24/15	J		
96. AXA EQUITABLE LIFE INS COMPANY	A	Interest	J	W					
97. UNITED STATES AUTO ASSOC.	A	Interest	J	W					
98. TRUST #4 - "SPOUSE REV. TRUST"									
99. - DODGE & COX INTERNATL STOCK FUND	A	Int./Div.	J	T					
100. - AMERICAN CAPITAL WORLD GRWTH & INC	A	Int./Div.	J	T	Sold (part)	04/09/15	J	A	
101. - AMERICAN NEW WORLD CLASS F1	A	Int./Div.	J	T					
102. - BRIDGEWAY ULTRA SMALL CO MARKET	A	Int./Div.	J	T					

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103. DFA US CORE EQUITY II		None	K	T	Buy	12/16/15	K		
104. - FIDELITY ADVISOR NEW INSIGHTS CL I	B	Int./Div.	J	T	Sold (part)	02/19/15	J	B	
105. FIDELITY CASH CLEARING ACCOUNT	A	Interest	J	T					
106. - FIDELITY CORE ACCOUNT	C	Interest	J	T					
107. - FIDELITY INTERMEDIATE MUNI INCOME			J	T	Buy	12/16/15	J		
108. - FIDELITY MUNICIPAL INCOME			J	T	Buy	12/29/15	J		
109. - GATEWAY FUND CLASS Y	A	Int./Div.	K	T					
110. - ISHARES TR INTL DEVELOPED REAL ESTATE ETF	A	Int./Div.	J	T	Buy (add'l)	02/23/15	J		
111. - ISHARES INC CORE MSCI EMERGING MKTS	B	Int./Div.			Buy	11/16/15	J		
112.					Sold	12/18/15	J	A	
113. - LOOMIS SAYLES BOND RETAIL SHARES	A	Int./Div.	K	T					
114. - PIMCO COMMODITY REAL RET STRAT ADMIN CL	A	Int./Div.	J	T	Buy (add'l)	03/12/15	J		
115. - PIMCO EMERGING LOCAL BOND FUND ADMIN SH	A	Int./Div.			Buy (add'l)	04/09/15	J		
116.					Sold	12/16/15	J	A	
117. - PIMCO EMERGING LOCAL BOND FD INST CL	A	Int./Div.			Sold	12/16/15	J	A	
118. - PIMCO TOTAL RETURN ADMINISTRATIVE SHS	A	Int./Div.	J	T					
119. -POWERSHARES FTSE RAFI US 1000	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. -SPRTN TOTAL MKT INDEX FID ADVANTAGE CLASS	B	Int./Div.			Buy (add'l)	03/12/15	J		
121.					Sold	12/16/15	K	B	
122. - SPARTAN EMERGING MKT INDEX FID ADV CL					Buy	01/16/15	K		
123.					Buy (add'l)	03/12/15	J		
124.					Sold	11/12/15	J	A	
125. -SPARTAN EMERGING MKT INDEX FUND INVESTOR	A	Int./Div.	J	T	Buy (add'l)	12/16/15	J		
126.					Sold (part)	01/16/15	J	A	
127.					Sold (part)	11/12/15	J	A	
128. - SPARTAN INTL INDEX INVESTOR CLASS	A	Int./Div.	J	T	Buy (add'l)	09/03/15	J		
129. - VANGUARD ALL-WLD EX-US SM CP ET	A	Int./Div.	K	T					
130. - WILLIAM BLAIR INTL GROWTH	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Cheyenne Regional Medical Center is the local hospital organization. The provision of nursing and care services to patients in their homes is one of the services provided through Cheyenne Regional Medical Center. As part of the concern for the quality of patient care members of the public as well as medical professionals have been placed on an advisory board, which meets periodically.

Young Mens' Literary Club is a small organization (less than 50 including honorary and emeriti) that meets weekly during the fall, winter and spring to discuss current events and review assigned papers. The organization has existed for the past 110 years. The Secretary/Treasurer receives nominal dues for rent of the meeting room and keeps meeting minutes that are deposited and preserved in the State Archives.

The American Heritage Center of the University of Wyoming functions as a public trust to collect, preserve and make accessible "a clearly defined set of primary sources and rare books - reflecting the written image, and audio history of Wyoming, the Rocky Mountain region, and selected aspects of the American past." (From mission statement of AHC) Reporting party was invited to serve a 4 year term.

Trust #1 is a trust that was established by my parents. It contains financial investments listed on schedule VII that I believe are the sole financial interests of the trust. No portion of the trust is derived from my assets, income or activities or those of my spouse. During 2010 I became successor trustee of the trust and have therefore listed on Schedule VII. I am not an income or principal beneficiary of the trust, however my two daughters are beneficiaries. During the reporting period I did not derive or expect to derive a benefit from the trust.

Trust #2 and Trust #3 were created to implement premarital and estate planning. It is anticipated that additional assets will be placed in the trusts in the future. Trust #2 holds and controls items listed on schedule VII, bank accounts at American National Bank now ANB Bank and Wells Fargo National Bank, Part VII. Trust #3 holds and controls items listed on schedule VII.

Trust #4 is another trust established by my current wife with premarital assets. I am not a trustee or beneficiary of this trust, the assets listed are listed due to the filing of a joint income tax return in 2012.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Alan B. Johnson

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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