

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MORROW, MARGARET M.	2. Court or Organization United States District Court, CACD	3. Date of Report 02/10/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 01/06/2016
7. Chambers or Office Address United States District Court 255 E. Temple Street, Ste. 770 Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Trustee	Bryn Mawr College - Board of Trustees - (See Note in Part VIII)
2.	Trustee	Brokerage Account #2 (Trust #1) (Part VIII, Page 18, Line 254)
3.	Trustee	Family Administrative Account (Trust #2) (Part VIII, Page 37, Line 564)
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2015	Defined Benefit Pension Payment from State of California	\$138,470.64
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. Bryn Mawr College	February 5-7	Bryn Mawr, PA	Board of Trustees Meeting	Meals
2. Bryn Mawr College	April 16-17	Bryn Mawr, PA	Justice Sotomayer -- Introduction -- Katherine Houghton Hepburn Medal Presentation	Meals
3. Bryn Mawr College	April 23-25	Bryn Mawr, PA	Board of Trustees Meeting	Meals
4. Bryn Mawr College	October 1-3	Bryn Mawr, PA	Board of Trustees Meeting	Meals
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1.									
2. Invesco Van Kampen Capital Growth Fund-A - IRA		None	L	T					
3. Vanguard Windsor II Fund - IRA	A	Dividend	J	T					
4.									
5. BROKERAGE ACCOUNT #1 - INDIVIDUAL RETIREMENT ACCOUNT	F	Int./Div.	P1	T					
6. - Cash equivalent - Morgan Stanley									
7. - General Electric Company - common stock					Sold	09/11/15	J	A	
8.									
9. - Microsoft Corporation - common stock					Sold	09/11/15	J	A	
10. - Royal Dutch Shell PLC ADR - common stock					Sold	09/11/15	J	A	
11. - AT&T Inc. - common stock					Sold	09/11/15	J	A	
12. - Schlumberger Ltd. - common stock					Sold	09/11/15	J	A	
13. - Comcast Corp CL A Special New - common stock					Sold	09/11/15	J	C	
14. - Eaton Corp. - common stock					Sold	09/11/15	J	A	
15. - Procter & Gamble - common stock					Buy (add'l)	06/25/15	J		
16.					Sold	09/11/15	J	A	
17. - Monsanto Co. - common stock					Sold	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - VMware Inc. Class A - common stock					Buy	01/30/15	J		
19.					Sold	09/11/15	J	A	
20. - Hartford Healthcare I - common stock					Buy	06/01/15	K		
21.					Sold (part)	09/11/15	J	A	
22. - Kraft Foods Inc. - common stock					Sold	09/11/15	J	C	
23. - Vodafone Group PLC ADR - common stock					Sold	09/11/15	J	A	
24. - CVS Caremark Corp. - common stock					Sold (part)	03/09/15	J	A	
25.					Sold	09/11/15	J	B	
26. - Google Inc. - common stock					Sold	09/11/15	J	B	
27. - Coca-Cola Co. - common stock					Sold	09/11/15	J	A	
28. - Texas Instruments Inc. - common stock					Sold	09/11/15	J	A	
29. - Amazon.com Inc. - common stock					Sold (part)	05/28/15	J	A	
30.					Sold	09/11/15	J	C	
31. - Charles Schwab Corp. - common stock					Sold	09/11/15	J	A	
32. - Chevron Corp. - common stock					Sold	09/11/15	J	A	
33. - Freeport McMoran Copper & Gold - common stock					Sold	09/11/15	J	A	
34. - Weatherford Int'l. Ltd. - common stock					Sold	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Broadcom Corp - common stock					Sold	09/11/15	J	A	
36. - Akamai Technologies Inc. - common stock					Sold	09/11/15	J	B	
37. - American Electric Power Co. - common stock					Sold	09/11/15	J	A	
38. - Anadarko Petroleum Corp. - common stock					Sold	09/11/15	J	A	
39. - Autodesk Inc. - common stock					Sold	09/11/15	J	A	
40. - Biogen Idec Inc. - common stock					Sold (part)	03/09/15	J	A	
41.					Sold	09/11/15	J	B	
42. - Blackrock Inc. - common stock					Sold	09/11/15	J	A	
43. - Cablevision Systems Corp. - common stock					Sold	09/11/15	J	B	
44. - Celgene Corp. - common stock					Sold	09/11/15	J	B	
45. - Covidien PLC New - common stock					Sold	01/27/15	J	B	
46. - Cree Research Inc. - common stock					Sold	09/11/15	J	A	
47. - Ebay Inc. - common stock					Sold	09/11/15	J	A	
48. - Facebook Inc. CL-A - common stock					Sold	09/11/15	J	B	
49. - Fluor Corp. - common stock					Sold	09/11/15	J	A	
50. - Allergan PLC SHS formerly known as Actavis - common stock					Sold	09/11/15	J	C	See Note in Part VIII
51. - General Mills Inc. - common stock					Sold	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. - HCP Incorporated - common stock					Sold	09/11/15	J	A	
53. - Health Care REIT Inc. - common stock					Sold	09/11/15	J	A	
54. - Home Depot Inc. - common stock					Sold	09/11/15	J	B	
55. - Johnson & Johnson - common stock					Sold	09/11/15	J	B	
56. - Red Hat - common stock					Sold	09/11/15	J	A	
57. - L-3 Communications Holdings Inc. - common stock					Sold	09/11/15	J	A	
58. - The Directv Group Class A - common stock					Sold (part)	01/28/15	J	A	
59.					Sold	01/30/15	J	A	
60. - Liberty Media Hldg. Capital Series A - common stock					Sold	09/11/15	J	A	
61. - Liberty Media Hldg SR A Inter - common stock					Sold	09/11/15	J	A	
62. - Liberty Broadband Corp C RTS N/A - common stock					Sold	01/05/15	J	A	
63. - Nasdaq OMX Group Inc. - common stock					Sold	09/11/15	J	A	
64. - National Oilwell Varco Inc. - common stock					Sold	09/11/15	J	A	
65. - Pall Corporation - common stock					Sold (part)	05/13/15	J	A	
66.					Sold (part)	05/14/15	J	A	
67.					Sold	05/18/15	J	A	
68. - Pepsico Inc. - common stock					Sold	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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69. - Qualcomm Inc. - common stock					Sold	03/10/15	J	A	
70. - Seagate Technology Holdings - common stock					Sold	09/11/15	J	B	
71. - Realty Income Corp. - common stock					Sold	09/11/15	J	A	
72. - Senior Hsg PPTY TR - common stock					Sold	06/10/15	J	A	
73. - TE Connectivity Ltd. New - common stock					Sold	09/11/15	J	B	
74. - Target Corporation - common stock					Sold	01/20/15	J	A	
75. - Tyco International Ltd. - common stock					Sold	09/11/15	J	A	
76. - Unitedhealth Group Inc. - common stock					Sold	09/11/15	J	C	
77. - Vertex Pharmaceuticals - common stock					Sold	09/11/15	J	B	
78. - Xilinx Inc. - common stock					Sold	09/11/15	J	A	
79. - Zoetis Inc. Class A - common stock					Sold	09/11/15	J	A	
80. - AMC Networks Inc. CL A - common stock					Sold	09/11/15	J	A	
81. - JP Morgan Chase BK N A Columbus Ohio DJIA Linked CD -					Matured	10/28/15	M	F	
82. - Hartford World Bond 1 - mutual fund					Sold (part)	12/11/15	J	A	
83. - Angel Oak Multi Strat Inc 1 - mutual fund									
84. - Ishares Russell 1000 ETF - mutual fund					Sold	09/11/15	K	A	
85. - Ishares Russell 2000 Value ETF - mutual fund					Buy	12/15/15	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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86. - Ishares Russell 1000 Value ETF - mutual fund					Buy	12/24/15	K		
87. - Prudential High Yield Z - mutual fund					Sold (part)	06/01/15	K	A	
88.					Sold	12/11/15	J	A	
89. - Deutsche X Trackers MSCI JAP - mutual fund					Buy	01/28/15	J		
90.					Sold (part)	06/01/15	J	A	
91.					Sold (part)	06/15/15	J	B	
92.					Sold	09/11/15	J	A	
93. - Williams Co., Inc. - common stock					Sold	06/25/15	J	B	
94. - Managers Bond Fund - mutual fund									
95.									
96. - Blackrock Global L/S Credit 1 - mutual fund					Sold (part)	06/01/15	K	A	
97. - Merck & Co. - common stock					Sold	09/11/15	J	B	
98. - Nucor Corp. - common stock					Sold	09/11/15	J	A	
99. - Sandisk Corp. - common stock					Sold	09/11/15	J	A	
100. - Yum Brands Inc. - common stock					Sold	09/11/15	J	A	
101. - TCW Total Return - mutual fund									
102.									

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103. - Verizon Communications - common stock					Sold	09/11/15	J	A	
104.									
105. - Virtus Multi-Sector S/T Bond - mutual fund									
106.									
107. - Bank Deposit Sweep Program -									
108. - Lake Tahoe Calif Uni Sch Dist Go BDS - muni bond (2LT1)	B	Interest			Sold	12/03/15	K	E	MMM personal account
109.									
110. Orchard Calif. Sch Dist G.O. Ref BDS - muni bond (5CB5)	B	Interest			Sold	12/03/15	K	A	MMM personal account
111.									
112. San Ysidro Calif. Sch Dist CTFS Partn - muni bond (3EU1)	A	Interest			Sold	12/03/15	J	A	MMM personal account
113.									
114. - Wells Fargo Bank - Account					Open	01/06/16	L		MMM personal account
115. - Apple Inc. - common stock					Sold	09/11/15	J	B	
116.									
117. - Bristol Myers Squibb Co. - common stock					Sold	09/11/15	J	A	
118. - Dolby Class A - common stock					Sold	09/11/15	J	A	
119. - EMC Corp. Mass - common stock					Sold	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - Glaxosmithkline PLC ADS - common stock					Buy (add'l)	06/25/15	J		
121.					Sold	09/11/15	J	A	
122. - Immunogen Inc. - common stock					Sold	09/11/15	J	A	
123. - Isis Pharmaceuticals Inc. - common stock					Sold	09/11/15	J	B	
124. - Legg Mason BW Global Opp Bd F1 - mutual fund									
125.									
126. - John Hancock FDS Str Inc. Opp 1 - mutual fund					Sold (part)	09/11/15	J	A	
127.									
128. - Mc Donalds Corp. - common stock					Sold	09/11/15	J	A	
129. - Towers Watson & Co CL A - common stock					Sold	07/10/15	J	A	
130. - UBS Plus Based on the Value of a Basket of Equities - common stock									
131. - Twenty First Century Fox CL A - common stock					Sold	09/11/15	J	A	
132. - Precision Castparts Corp. - common stock					Sold	09/11/15	J	A	
133. - Tekla Healthcare Opp Fund - common stock									
134. - Regeneron Pharm - common stock					Sold	09/11/15	J	A	
135. - Philip Morris International Inc. - common stock					Sold	09/11/15	J	A	
136. - PPL Corporation - common stock					Sold	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - Thornburg Int'l Growth I - mutual fund					Buy	01/28/15	L		
138.					Sold (part)	09/11/15	K	B	
139. - Thermo Fisher Scientific Inc. - common stock					Sold	09/11/15	J	A	
140. - Ventas, Inc. - common stock					Sold	09/11/15	J	A	
141. - Visa Inc. - common stock					Sold (part)	03/09/15	J	A	
142.					Sold	09/11/15	J	B	
143. - Walt Disney Co. Holding Co. - common stock					Sold	09/11/15	J	B	
144. - Anheuser Busch Inbev SA Spon - common stock					Sold	09/11/15	J	A	
145. - Blackrock Strategic Inc Opp A Prospectus - mutual fund					Sold (part)	06/01/15	K	A	
146.					Sold	12/11/15	J	A	
147. - Virtus Multi Sector Fixed Inc A - mutual fund									
148. - CME Group - common stock					Sold	09/11/15	J	A	
149. - United Parcel Service Inc. Class B - common stock					Sold	09/11/15	J	A	
150. - Skybridge Multi-Advisor Hedge fund - mutual fund									
151. - Nuveen Preferred Sec 1 - mutual fund					Sold (part)	06/01/15	J	A	
152.					Sold (part)	12/11/15	J	A	
153. - Wisdomtree Trust Japn Hedge EQ - mutual fund					Sold	01/28/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Altria Group Inc. - common stock					Sold (part)	01/20/15	J	A	
155.					Sold	09/11/15	J	B	
156. - BCE Inc. (New) - common stock					Buy (add'l)	01/06/15	J		
157.					Sold	09/11/15	J	A	
158. - BP PLC Ads - common stock					Buy (add'l)	06/25/15	J		
159.					Sold	09/11/15	J	A	
160. - Sanofi ADR - common stock					Buy	01/06/15	J		
161.					Sold	09/11/15	J	A	
162. - Linked in Corp A - common stock					Sold	09/11/15	J	A	
163. - Citrix Systems Inc. - common stock					Sold	09/11/15	J	A	
164. - Conocophillips - common stock					Sold	01/06/15	J	A	
165. - Dominion Res Inc. (New) - common stock					Sold	09/11/15	J	A	
166. - W. W. Grainger, Inc. - common stock					Sold	09/11/15	J	A	
167. - Duke Energy Corp. Holding Co. - common stock					Sold	09/11/15	J	A	
168. - Kimberly Clark Corp. - common stock					Sold	09/11/15	J	A	
169. - Halyard Health Inc. - common stock					Sold	09/11/15	J	A	
170. - FMC Technologies Inc. - common stock					Sold	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Natl Grid Transco PLC ADS - common stock					Buy (add'l)	06/25/15	J		
172.					Sold	09/11/15	J	B	
173. - Nuance Communications Inc. - common stock					Sold	09/11/15	J	A	
174. - Reynolds American Inc. - common stock					Sold	09/11/15	J	C	
175. - Southern Co. - common stock					Sold	09/11/15	J	A	
176. - Total Fina Elf SA - common stock					Sold	09/11/15	J	A	
177. - Unilever PLC (New) ADS - common stock					Sold	09/11/15	J	A	
178. - Columbia Select LG CP Growth Z - mutual fund					Sold (part)	09/14/15	L	E	
179. - Columbia Income Opport A - mutual fund									
180. - Invesco Developing Markets A - mutual fund					Sold	01/28/15	K	A	
181. - Royce Premier Service - mutual fund					Sold (part)	09/11/15	K	A	
182.					Sold	12/15/15	J	A	
183. - Thornburg Int'l Value I - mutual fund					Buy (add'l)	01/28/15	J		
184.					Sold (part)	09/11/15	K	B	
185.					Sold	11/16/15	K	A	
186. - Thornburg Strategic Inc. I - mutual fund									
187.									

1. Income Gain Codes: (See Columns B1 and D4)	A - \$1,000 or less F - \$50,001 - \$100,000	B - \$1,001 - \$2,500 G - \$100,001 - \$1,000,000	C - \$2,501 - \$5,000 H1 - \$1,000,001 - \$5,000,000	D - \$5,001 - \$15,000 H2 - More than \$5,000,000	E - \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J - \$15,000 or less N - \$250,001 - \$500,000 P3 - \$25,000,001 - \$50,000,000	K - \$15,001 - \$50,000 O - \$500,001 - \$1,000,000	L - \$50,001 - \$100,000 P1 - \$1,000,001 - \$5,000,000 P4 - More than \$5,000,000	M - \$100,001 - \$250,000 P2 - \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q - Appraisal U - Book Value	R - Cost (Real Estate Only) V - Other	S - Assessment W - Estimated	T - Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Virtus Insight Emerging Markets I - mutual fund					Sold	09/11/15	K	A	
189. - Nike Inc. B - common stock					Sold	09/11/15	J	A	
190. - Cameron Intl Corp - common stock					Sold	09/11/15	J	A	
191. - Duff & Phelps Global Equity - common stock									
192. - DWS Rreef GLB Infrastrc S (TOLSX) - mutual fund									
193. - Gateway Fund Y (GTEYX) - mutual fund					Sold	06/01/15	K	B	
194. - Invesco Balanced - Risk Alloc Y (ABRYX) - mutual fund					Sold (part)	09/11/15	J	A	
195. - Managers Fremont Global Fund (MMAFX) - mutual fund					Sold (part)	09/11/15	J	A	
196. - MFS Diverse Target Return I (DVRIX) - mutual fund					Sold	01/28/15	K	B	
197. - Nuveen Global Infrastructur I (FGIYX) - mutual fund					Sold	09/11/15	J	A	
198. - Nuveen Total Return Bond FDI - mutual fund									
199. - Deutsche Xtrackers MSCI EAF - mutual fund					Buy	09/11/15	K		
200. - SPDR S&P Dividend - mutual fund					Buy	09/11/15	L		
201.					Buy (add'l)	12/30/15	K		
202. - Hartford Int'l Value I - mutual fund					Buy	11/16/15	K		
203. - Lord Abbett Calibrd DIV GW F - mutual fund					Buy	09/11/15	K		
204.					Sold (part)	12/04/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205.					Sold	12/16/15	K	B	
206. - Nuveen Santa Barbara DIV GR I - mutual fund					Buy	09/11/15	K		
207. - Federated Int'l Strat Div IS - mutual fund					Buy	09/11/15	K		
208. - Goldman Sachs Rising Div GW I - mutual fund					Buy	09/11/15	K		
209. - JP Morgan Equity Inc. SEL - mutual fund					Buy	09/11/15	K		
210. - Clearbridge Tactical Div Inc. - mutual fund					Buy	09/11/15	K		
211.					Sold (part)	12/04/15	J	A	
212.					Sold	12/30/15	K	A	
213. - Neuberger Berman EQ Inc INS - mutual fund					Buy	09/11/15	K		
214. - Blackrock Global Div Port Inst - mutual fund					Buy	09/11/15	K		
215. - Forward Int'l Dividend ADV - mutual fund					Buy	09/11/15	K		
216. - Hartford Equity Inc I - mutual fund					Buy	12/16/15	K		
217. - Highland L/S Eq A aka PYXIS Long/Short Equity A - mutual fund					Sold	09/11/15	J	A	
218. - Highland Lg Sht Healthcare A - mutual fund					Sold (part)	06/01/15	J	A	
219.					Sold	09/11/15	J	A	
220. - Virtus Dynamic Alphasector I (VIMNX) - mutual fund					Sold	06/01/15	K	B	
221. - Hartford Floating RT HI Inc I - mutual fund					Buy (add'l)	06/15/15	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222.					Sold	12/11/15	J	A	
223. - Discovery Communications Ser A - common stock					Buy	05/12/15	J		
224.					Sold	09/11/15	J	A	
225. - JP Morgan Value Advantage SEL - mutual fund					Buy	09/14/15	K		
226. - Fundvantage TR Polen GRW Inst - mutual fund					Buy	09/14/15	K		
227. - AMG Yacktmann Focused SVC - mutual fund					Buy	09/14/15	K		
228.					Sold	12/24/15	K	B	
229. - Clearbridge Aggressive Gwth I - mutual fund					Buy	09/14/15	J		
230. - Templeton GLB Total Return Adv - mutual fund					Buy	06/01/15	K		
231. - Prudential SH Dur HI Yld Inc Z - mutual fund					Buy	06/01/15	K		
232. - Omega Healthcare INV Inc - common stock					Buy	06/10/15	J		
233.					Sold	09/11/15	J	A	
234. - American Express Co - common stock					Buy	07/06/15	J		
235.					Sold	09/11/15	J	A	
236. - First Trust Dorsey Wright - common stock					Buy	06/01/15	K		
237.					Sold	09/11/15	J	A	
238. - Ishares MSCI Japan ETF - mutual fund					Buy	06/15/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239.					Sold	09/11/15	J	A	
240. - Ishares Core US Value ETF - mutual fund					Buy	09/15/15	J		
241. - Ishares Core US Growth ETF - mutual fund					Buy	09/15/15	J		
242.									
243. - Morgan Stanley - Inherited IRA Account -	D	Distribution	N	T	Distributed (part)	12/31/15	N	A	
244.									
245. - Morgan Stanley - Inherited IRA Account -	A	Distribution	J	T	Distributed (part)	12/31/15	J	A	
246.									
247. - JPM Trigger Jump on the Euro Stoxx 50 Index - common stock					Buy	01/30/15	L		
248. - Locorr Market Trend I - mutual fund					Buy	06/01/15	K		
249.					Sold (part)	09/11/15	J	A	
250. - Deutsche X-Trackers MSCI JAP - mutual fund					Buy	01/28/15	J		
251.					Sold (part)	06/01/15	J	A	
252.					Sold (part)	06/15/15	J	B	
253. BROKERAGE ACCOUNT #2 (TRUST #1)	E	Int./Div.	P1	T					
254.									
255. - Cash equivalent - Morgan Stanley									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Eaton Corp. - common stock									
257. - Aviva Plc ADR - common stock									
258. - General Electric Inc. - common stock									
259. - Pepsico Inc. - common stock									
260. - Royal Dutch Shell PLC - common stock					Sold (part)	12/15/15	J	A	
261. - AMC Networks Inc CL A - common stock									
262. - Allianceber Muni - mutual fund									
263. - American Express Co. - common stock					Buy	07/06/15	J		
264. - AT&T - common stock									
265. - Schlumberger Ltd. - common stock									
266. - Procter & Gamble - common stock									
267. - Monsanto Company - common stock									
268. - Kraft Foods - common stock									
269. - Target Corporation - common stock					Sold	01/20/15	J	A	
270. - Vodafone Group PLC ADR - common stock					Buy (add'l)	12/08/15	J		
271.					Sold (part)	12/15/15	J	A	
272. - CVS Caremark Corporation - common stock					Sold (part)	03/09/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Health Care REIT - common stock									
274. - Coca Cola Company - common stock									
275. - General Mills - common stock									
276. - Alphabet Inc. - common stock					Sold (part)	11/16/15	J	A	See Note in Part VIII
277. - HCP Inc. - common stock									
278. - Qualcomm Inc. - common stock					Sold	03/10/15	J	A	
279. - Schwab Charles Corporation - common stock									
280. - Amazon.com Inc. - common stock					Sold (part)	05/28/15	J	A	
281.					Sold (part)	11/06/15	J	A	
282.					Sold (part)	11/13/15	J	A	
283. - Aetna Inc (New) (Ct) - common stock					Buy	11/20/15	J		
284. - Anadarko Petroleum Corporation - common stock					Sold (part)	12/15/15	J	A	
285. - BP PLC Ads - common stock					Buy (add'l)	06/25/15	J		
286.					Sold (part)	12/15/15	J	A	
287. - Cablevision Systems Corporation - common stock					Sold	09/17/15	J	B	
288. - Celgene Corporation - common stock									
289. - Chevron Corporation - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - Covidien Ltd. - common stock					Sold	01/27/15	J	A	
291. - Freeport McMoran Copper & Gold - common stock									
292. - Microsoft Corporation - common stock									
293. - NASDAQ OMX Group, Inc. - common stock									
294. - Texas Instruments Inc. - common stock									
295. - Weatherford International Ltd. - common stock									
296. - Xilinx Inc. - common									
297. - Yum Brands Inc. - common									
298. - Fluor Corp. - common stock									
299. - Akamai Technologies Inc. - common stock									
300. - Autodesk Delaware - common stock									
301. - Biogen Idec Inc. - common stock					Sold (part)	03/09/15	J	A	
302. - W. W. Grainger Inc. - common stock									
303. - Blackrock Inc. - common stock									
304. - Broadcom Corp. - common stock									
305. - Comcast Corp. CL A Special New - common stock (CMCSK)									
306. - Williams Co. Inc. - common stock					Sold	06/25/15	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Cree Research Inc. - common stock									
308. - Discovery Communications Ser A - common stock					Buy	05/12/15	J		
309. - Dolby Class A common stock (formerly Dolby Labs Inc.)									
310. - Ebay Inc. - common stock									
311. - Facebook Inc. CL-A - common					Sold (part)	11/13/15	J	A	
312. - Home Depot Inc. - common stock									
313. - Johnson & Johnson - common stock									
314. - Rockwell Collins Inc. - common stock					Buy	11/19/15	J		
315. - L-3 Communications Hldgs Inc. - common stock									
316. - The Directv Group Class A - common stock					Sold (part)	01/28/15	J	A	
317.					Sold	01/30/15	J	A	
318. - Liberty Media Corp. Hldg Capital Series A - common stock									
319. - National Oilwell Varco Inc. - common stock					Sold (part)	12/15/15	J	A	
320. - Twitter, Inc. - common stock									
321. - Pall Corporation - common stock					Sold (part)	05/13/15	J	A	
322.					Sold (part)	05/14/15	J	A	
323.					Sold	05/18/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

324. - Seagate Technology Hldgs. - common stock									
325. - TE Connectivity Ltd. New - common stock					Sold (part)	11/13/15	J	A	
326. - Tyco International Ltd. - common stock									
327. - Unitedhealth Group Inc. - common stock					Sold (part)	11/13/15	J	A	
328. - Zoetis Inc., Class A - common stock									
329. - Vertex Pharmaceuticals - common stock					Sold (part)	11/13/15	J	A	
330. - Nuance Communications Inc. - common stock									
331. - VMware - common stock					Buy	01/30/15	J		
332. - Kinder Morgan Incorp. - common stock					Buy (add'l)	09/25/15	J		
333.					Sold	12/08/15	J	A	
334. - Sanofi ADR - common stock					Buy	01/06/15	J		
335. - Merck & Co. Inc. - common stock									
336. - Nucor Corporation - common stock									
337. - Sandisk Corporation - common stock									
338. - Verizon Communications - common stock									
339. - Realty Income Corp. - common stock									
340. - Anheuser Busch Inbev SA Spon - common stock									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Allianz Se Ads - common stock									
342. - American Electric Power Co. - common stock									
343. - Apple Inc. - common stock									
344. - Blackrock National Muni A - mutual fund					Buy (add'l)	05/13/15	K		
345.					Sold (part)	09/11/15	J	A	
346. - Ecolab Inc. - common stock					Buy	09/01/15	J		
347. - AB Muni Income CA ADV - mutual fund					Buy	05/13/15	L		
348.					Sold (part)	05/28/15	J	A	
349.					Sold (part)	09/11/15	J	A	
350. - Amer Cent CA Hi Yld Muni Inv - mutual fund					Buy (add'l)	05/13/15	K		
351. - Ishares Russell 1000 ETF - mutual fund									
352. - Bristol Myers Squibb Co. - common stock									
353. - Citrix Systems Inc. - common stock									
354. - Clearbridge Energy MLP FD Inc. - common stock									
355. - EMC Corp Mass - common stock					Sold	11/05/15	J	A	
356. - FMC Technologies - common stock					Sold	12/15/15	J	A	
357. - Goldman Sachs MLP Energy Ren - mutual fund					Sold (part)	09/30/15	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358.					Sold	10/22/15	J	A	
359. - Exxon Mobil Corp. - common stock					Buy	08/26/15	J		
360. - The ADT Corporation - common stock									
361. - Adobe Systems - common stock					Buy	08/25/15	J		
362. - Glaxosmithkline PLC ADS - common stock					Buy (add'l)	06/25/15	J		
363. - Immunogen Inc. - common stock									
364. - Ionis Pharmaceutical Inc. - common stock					Sold (part)	11/13/15	J	B	See Note in Part VIII
365. - Kayne and Midstream/Energy Fund Inc. - common stock									
366. - Mc Donalds Corp. - common stock									
367. - Ms. Blackstone Re Debt II on LP -					Buy (add'l)	04/09/15	J		
368.					Buy (add'l)	06/04/15	J		
369. - MFS Muni High Income A - mutual fund					Buy (add'l)	05/13/15	K		
370. - Nuveen Sh Dur Hi Yld Muni BD I - mutual fund					Buy (add'l)	05/13/15	K		
371. - Nuveen Hi Yld Muni Bond I - mutual fund					Buy (add'l)	05/13/15	K		
372. - Philip Morris Int'l Inc. - common stock									
373. - JP Morgan CA Tax Free Bond A - mutual fund					Buy (add'l)	05/13/15	L		
374.					Sold (part)	09/11/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - Blackstone EP II Onshore - mutual fund									
376. - Linked in Corp A - common stock									
377. - PPL Corporation - common stock									
378. - Travelers Companies Inc. COM - common stock									
379. - Thermo Fisher Scientific Inc. - common stock									
380. - Ventas Inc. - common stock									
381. - Visa Inc. Class A - common stock					Sold (part)	03/09/15	J	A	
382.					Sold (part)	11/13/15	J	A	
383. - Walt Disney Co. Holding Co. - common stock					Sold (part)	11/06/15	J	A	
384.									
385. - Altria Group Inc. - common stock					Sold (part)	01/20/15	J	A	
386. - BCE Inc. (New) - common stock					Buy (add'l)	01/06/15	J		
387. - Blackrock CA Muni Bd I - mutual fund					Buy (add'l)	05/13/15	L		
388.					Sold (part)	09/11/15	J	A	
389. - Towers Watson & Co. CL A - common stock					Sold	07/10/15	J	A	
390. - Precision Castparts Corp. - common stock					Sold	10/27/15	J	A	
391. - Conocophillips - common stock					Sold	01/06/15	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Dominion Res Inc. (New) - common stock									
393. - Duke Energy Corp Holding Co. - common stock									
394. - Kimberly Clark Corp. - common stock									
395. - Western Digital Corporation - common stock					Buy	11/03/15	J		
396. - National Grid Transco PLC ADS - common stock					Buy (add'l)	06/25/15	J		
397. - Reynolds American Inc. - common stock					Sold (part)	09/25/15	J	A	
398. - Twenty-First Century Fox CLA - common stock									
399. - Unilever PLC (New) ADS - common stock									
400. - Total Fina Elf SA - common stock					Sold	08/26/15	J	A	
401. - Southern Co. - common stock									
402. - Columbia Select LG CP Growth Z - mutual fund					Sold (part)	12/14/15	J	A	
403. - Invesco High Yield Muni Y - mutual fund					Buy (add'l)	05/13/15	K		
404. - Managers AMG GW&K Muni Bd SVC - mutual fund					Buy (add'l)	05/13/15	L		
405.					Sold (part)	09/11/15	J	A	
406. - Omega Healthcare Inv Inc. - common stock					Buy	06/10/15	J		
407.									
408. - Prudential Muni Hi Inc Z - mutual fund					Buy (add'l)	05/13/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

MORROW, MARGARET M.

Date of Report

02/10/2016

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409. - Nationwide HM CA ITM Tax FR Bd - mutual fund					Buy (add'l)	05/13/15	L		
410.					Sold (part)	05/28/15	J	A	
411.					Sold (part)	09/11/15	J	A	
412. - Invesco Developing Markets A - mutual fund					Sold	01/28/15	K	A	
413. - Red Hat - common stock									
414. - Regeneron Pharm - common stock									
415. - Royce Premier Service - mutual fund					Sold	11/13/15	K	A	
416. - Goldman Sachs MLP Inc. Oppty Fd - mutual fund					Sold (part)	09/21/15	K	A	
417.					Sold	09/22/15	J	A	
418. - Thornburg Int'l Value A - mutual fund					Sold	11/13/15	L	A	
419. - Senior Hsg PPTY TR SBI - common stock					Sold (part)	06/10/15	J	A	
420. - Virtus Insight Emerging Markets I - mutual fund					Sold	12/14/15	K	A	
421. - CME Group Inc. - common stock									
422. - Thornburg Int'l Growth I - mutual fund					Buy	01/28/15	L		
423. - Wisdomtree Trust Japn Hedge EQ - mutual fund					Sold	01/28/15	K	A	
424. - United Parcel Service Inc. Class B - common stock									
425. - Columbia Income Opport A - mutual fund									

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Metropolitan West HI YLD Bd M - mutual fund									
427. - Vanguard SM CAP VALUE ETF - mutual fund					Buy	12/14/15	L		
428. - Vanguard FTSE Developed Mkts - mutual fund					Buy	12/14/15	L		
429. - Vanguard FTSE Emerging Markets - mutual fund					Buy	12/14/15	K		
430. - Nike Inc. B - common stock					Sold (part)	11/16/15	J	A	
431. - Cameron International Corp. - common stock									
432. - DWS Reef Glb Infrastrc S - mutual fund									
433. - Gateway Fund Y - mutual fund					Sold	06/01/15	K	B	
434. - Highland Lg Sht Healthcare A - mutual fund					Sold (part)	06/01/15	J	A	
435. - Highland Long Short Equity A - mutual fund									
436. - Invesco Balanced Risk Alloc - mutual fund					Sold	12/15/15	K	A	
437. - Managers Amg FQ Glb Ess Inst - mutual fund									
438. - MFS Diverse Target Return 1 - mutual fund					Sold	01/28/15	K	B	
439. - Nuveen Global Infrastructur 1 - mutual fund									
440. - Virtus Dynamic Alphasector 1 - mutual fund					Sold (part)	06/01/15	K	B	
441. - Deutsche X-Trackers MSCI JAP - mutual fund					Buy	01/28/15	J		
442.					Sold (part)	06/01/15	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443.					Sold (part)	06/15/15	J	B	
444. - Locorr Market Trend - mutual fund					Buy	06/01/15	K		
445. - Hartford Healthcare I - mutual fund					Buy	06/01/15	K		
446.					Sold	12/10/15	K	A	
447. - First Trust Dorsey Wright FO - mutual fund					Buy	06/01/15	K		
448. - Ishares MSCI Japan ETF - mutual fund					Buy	06/15/15	J		
449. - Center Coast MLP Focus I - mutual fund					Buy	10/01/15	K		
450. - Hartford Int'l Value I - mutual fund					Buy	11/13/15	L		
451.					Sold	12/14/15	L	A	
452. - Ishares Russell 2000 Value ETF - mutual fund					Buy	11/13/15	L		
453.					Sold	12/14/15	L	A	
454. - First Trust Hlth Care Alpha ETF - mutual fund					Buy	12/10/15	K		
455. - Ishares Russell 1000 GRW ETF - mutual fund					Buy	12/14/15	J		
456.									
457. - Advent Claymore Convertible SE - closed end fund									
458. - Advent Claymore CV Secs & Incm - closed end fund									
459. - Advent/Claymore Enhncd Grw - closed end fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Alliancebernstein Inc. FD - closed end fund					Sold (part)	10/02/15	J	A	
461. - Alliance Bernstein GL High CEF - closed end fund					Buy	03/26/15	J		
462. - Allianz NFJ Divid INT - closed end fund					Buy	05/14/15	J		
463. - Allianzgi Conv & Inc FD - closed end fund					Buy	09/24/15	J		
464. - Allianzgi Diversified Income - closed end fund					Buy	09/24/15	J		
465. - Alpine Global Premier PPTY FD - closed end fund									
466. - Alpine Total Dynamic Dividend - closed end fund									
467. - Apollo Tactical Income Fnd Inc. - closed end fund					Buy	08/06/15	J		
468. - Ares Dynamic Credit Alloc FD - closed end fund									
469. - Blackrock Corp HGH Yld FD Inc. - closed end fund									
470. - Blackrock Debt Strat FD Inc. - closed end fund									
471. - Blackrock ENH Cap & FD Inc. - closed end fund									
472. - Blackrock Enhanced Equity Div - closed end fund									
473. - Blackrock Flt Rte Inc. Strat FD - closed end fund									
474. - Blackrock GLBL OPP EQTR - closed end fund									
475. - Blackrock Income Trust Inc. - closed end fund									
476. - Blackrock Intl Grwth & Inc TR - closed end fund									

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3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Blackrock Multi-Sector Inc. TR N/R - closed end fund									
478. - Blackrock Mun Inc. Qual TR - closed end fund									
479. - Blackrock Muni Inter Dura - closed end fund					Buy	11/05/15	J		
480. - Blackrock Munihldngs Q LTY II - closed end fund									
481. - Blackrock Muniholdings Investm - closed end fund									
482. - Blackrock Res & Comm Strat TR - closed end fund									
483. - Blackrock UTIL & INFRA N/R - closed end fund									
484. - Blackstone GSO Lg SH CR Inc. B - closed end fund									
485. - Blackstone/GSO Strat Credit FD N/R - closed end fund									
486. - Brookfield Mtge Opp Inc. FD - closed end fund									
487. - Brookfield Global Incom - closed end fund					Buy	09/24/15	J		
488. - Brookfield Total Return Fund - closed end fund					Buy	11/05/15	J		
489. - Calamos CV & HI Incm FD - closed end fund									
490. - Calamos Conv Oppty & Incm FD - closed end fund					Buy	12/09/15	J		
491. - Calamos Global Dynamic CEF - closed end fund									
492. - CBRE Clarion GL Real Estate - closed end fund									
493. - Cohen & Steers Infrastructure FD - closed end fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
494. - Cohen & Steers Ltd. Dur. PFD & Inc. - closed end fund									
495. - Cohen & Steers Reit & PFD Incm - closed end fund									
496. - Cohen & Steers Select PFD&INC - closed end fund					Buy	09/24/15	J		
497. - Doubleline Income Solutions FD - closed end fund									
498. - Duff & Phelps GLB Util Inc. FD - closed end fund									
499. - Eaton Vance Ltd Duration FD - closed end fund									
500. - Eaton Vance Nat'l Muni Opp TR - closed end fund									
501. - Eaton Vance Risk Mngd -closed end fund									
502. - Eaton Vance Senior Income TR - closed end fund									
503. - Eaton Vance Shrt Dur Div Inc. - closed end fund									
504. - Eaton Vance Tax Adv GLBL Div -closed end fund									
505. - Eaton Vance Tax Advantaged - closed end fund									
506. - Eaton Vance Tax Advtd GIBL - closed end fund									
507. - Eaton Vance Tax MG CEF - closed end fund									
508. - Eaton Vance Tax Mgd DIV EQU FD - closed end fund					Sold (part)	09/24/15	J	A	
509. - First Trust High Inc. Long/Shor N/R - closed end fund									
510. - Flaherty & Crumrine Dynamic PF - closed end fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34–60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

511. - Global High Income DLR FND INC. - closed end fund									
512. - John Hancock Premium DIV FD - closed end fund									
513. - New Am High Income FD Inc New - closed end fund									
514. - Nexpoint Credits Strategies FD - closed end fund									
515. - Nuveen AMT FREE Muni Inc FD - closed end fund									
516. - Nuveen Credit Strat Inc FD - closed end fund									
517. - Nuveen Div Adv Muni FD 2 - closed end fund									
518. - Nuveen Div Adv Muni FD - closed end fund									
519. - Nuveen Divid Adv Muni FD 3 - closed end fund									
520. - Nuveen Divid Adv Muni Inc FD - closed end fund									
521. - Nuveen Float Rate Incm Fund - closed end fund									
522. - Nuveen Global High Income - closed end fund									
523. - Nuveen Inter Dur Muni Term Fnd - closed end fund					Buy	02/12/15	J		
524. - Nuveen Muni Advantage Fund Inc - closed end fund									
525. - Nuveen Muni Market Opp FD Inc. - mutual fund									
526. - Nuveen Muni Oppty IND Inc - closed end fund									
527. - Nuveen Nasdaq 100 Dynamic OVC - closed end fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

528. - Nuveen Perf Plus Mun FD - closed end fund									
529. - Nuveen PFD Income Opp FD - closed end fund									
530. - Nuveen Pre Inc Muni FD 2 Inc - closed end fund									
531. - Nuveen Premier Municipal In FD - closed end fund									
532. - Nuveen Premium Inc Mun FD Inc - closed end fund									
533. - Nuveen QLTY Income Muni Fnd - closed end fund					Buy	11/05/15	J		
534. - Nuveen Qual Muni Fund Inc - closed end fund									
535. - Nuveen Qual PFD Inc FD - closed end fund									
536. - Nuveen Real Asst Incme Grw FND - closed end und									
537. - Nuveen Select Qual Mun Fd Inc - closed end fund					Buy	12/09/15	J		
538. - Nuveen S&P 500 Buy-Write Inc. - closed end fund									
539. - Nuveen Senior Income Fund - closed end fund									
540. - Nuveen Short Duratin CR OPP FD N/A - closed end fund									
541. - Nuveen Tax-Advantaged Div CEF - closed end fund									
542. - Pimco Dynamic Credit Inc. FD - closed end fund					Buy	02/12/15	J		
543. - Prudential SHRT Dur High Yl FD - closed end fund									
544. - Putnam Managed Muni Income TR - closed end fund									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545. - Putnam Muni Opty TR - closed end fund									
546. - TCW Strategic Income FD Inc - closed end fund									
547. - Voya Global Equity Dividend - closed end fund									
548. - Voya Infrastructure Industri - closed end fund					Buy	05/14/15	J		
549. - Voya Risk Managed Nat Res FD - closed end fund									
550. - Wells Fargo ADV GLB DIV OPP FD - closed end fund									
551. - Wells Fargo Advantage Income - closed end fund									
552. - Wells Fargo Advantage Multi - closed end fund									
553. - Western Asset Emerg Mkts FD - closed end fund									
554. - Western Asset GBL PTNRS Inc FD - closed end fund									
555. - Western Asset Global High FD - closed end fund									
556. - Western Asset High Inc FD II - closed end fund					Buy	09/24/15	J		
557. - Western Asset Mkts FD II Inc. - closed end fund									
558. - Western Asset Mngd High Inc FD - closed end fund									
559. - Western Asset WLDWD Income FD - closed end fund									
560.									
561. - Real Property, Nantucket County, Massachusetts									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562.									
563. FAMILY ADMINISTRATIVE ACCOUNT (TRUST #2)	F	Int./Div.	P1	T	Open	01/06/15	P1		
564.									
565. - Edison International - common stock					Sold	12/30/15	J	B	
566. - Exelon Corp. - common stock					Sold	12/30/15	J	A	
567. - Marathon Oil Corp. - common stock					Sold	12/30/15	J	A	
568. - Marathon Petroleum Corp - common stock					Sold	12/30/15	L	E	
569. - United States Steel Corp. - common stock					Sold	12/30/15	J	A	
570.									
571. - Calaveras Uni Sch Dist Calif. G O - muni. bond (4EJ5)	D	Interest			Redeemed	08/03/15	N	A	
572. - California St G O Bds Ser. 2008 - muni. bond (AZ80)	B	Interest			Redeemed	08/03/15	L	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART I - POSITIONS

Page 1, line 1: I am a member of the Board of Trustees. There are no assets to report.

PART VII - INVESTMENTS AND TRUSTS:

BROKERAGE ACCOUNT #1 -- INDIVIDUAL

Page 6, line 50: On February 18, 2015 Actavis PLC changed its name to Allergan PLC SHS.

BROKERAGE ACCOUNT #2 -- (TRUST #1)

Page 20, line 276: On October 5, 2015, Google Inc. changed its name to Alphabet Inc.

Page 25, line 364: On December 22, 2015 Isis Pharmaceutical Inc. changed its name to Ionis Pharmaceutical, Inc.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **S/ MARGARET M. MORROW**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544