

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Fitzwater, Sidney A.	2. Court or Organization U.S. District Court, Northern District of Texas	3. Date of Report 03/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address U.S. Courthouse 1100 Commerce St., Room 1528 Dallas, Texas 75242-1035		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

INCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

DATE

SOURCE AND TYPE

1. 2014

- salary

2.

3.

4.

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

SOURCE

DATES

LOCATION

PURPOSE

ITEMS PAID OR PROVIDED

1. The Freedom Forum,
Washington, DC (First
Amendment Center,
Nashville, TN)

February 20-22, 2014

Nashville, TN

Judge moot court
competition

Airfare, hotel, transportation, and food

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. American Funds-Wash. Mut. Invs. Fund-A	A	Dividend	J	T					
2. UBS Bank USA Deposit Acct.	A	Interest	J	T					
3. 3M Corp. Common Stock	A	Dividend	K	T					
4. J.P. Morgan Chase & Co. Common Stock	A	Dividend	J	T					
5. Trust # 2 (Nos. 5-10) (H)									
6. -UBS Resource Mgmt. Acct (Money Market Portfolio)	A	Dividend	L	T					
7. -Lord Abbett Income Fund-Class A	B	Dividend	K	T					
8. -Lord Abbett Intermediate Tax Free Fund-Class A	B	Dividend	K	T					
9. -Lord Abbett Short Duration Income Fund-Class A	B	Dividend	K	T					
10. -Thornburg Limited Term Municipal Fund-Class A	A	Dividend	K	T					
11. Calamos Growth and Income Fund	A	Dividend	J	T					
12. Lord Abbett Fundamental Equity	B	Dividend	J	T					
13. MFS Research Bond Fund	A	Dividend	J	T					
14. Lord Abbett Income Fund-Class A	A	Dividend	J	T					
15. Lord Abbett Intermediate Tax Free Fund-Class A	A	Dividend	J	T					
16. Lord Abbett Short Duration Income Fund-Class A	A	Dividend	J	T					
17. Thornburg Limited Term Municipal Fund-Class A	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Lincoln Nat'l Corp. Secure Line	C	Interest	M	T					
19. IRA #1 (Nos. 19-25) (H)									
20. -UBS Bank USA Deposit Account (Y)									
21. -Royce Premier Fund	A	Dividend	K	T					
22. -Blackrock Strategic Income A	A	Dividend	K	T	Sold (part)	11/03/14	J	A	
23. -Blackrock Global Allocation Fund Inc. A	B	Dividend	K	T	Sold (part)	11/03/14	J	A	
24. -First Eagle Global Fund Class A	A	Dividend	L	T	Sold (part)	11/03/14	J	A	
25. -FT-Franklin Income	C	Dividend	K	T	Sold (part)	11/03/14	J	A	
26. UBS Bank USA Deposit Acct (aggregate of two accts) (Y)									
27. Aberdeen Asia-Pacific Income Fund	A	Dividend	J	T					
28. Ameren Corporation Common Stock	A	Dividend	J	T					
29. Consolidated Edison, Inc. Common Stock	A	Dividend	K	T					
30. Dominion Resources, Inc. Common Stock	A	Dividend	K	T					
31. Dreyfus Strategic Municipals Inc. (Closed- End Fund)	A	Dividend	J	T					
32. Duke Energy Corp. Common Stock	B	Dividend	K	T					
33. Entergy Corp. Common Stock	A	Dividend	J	T					
34. Exelon Corp. Common Stock	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35. ExxonMobil Corp. Common Stock	D	Dividend	M	T					
36. Firstenergy Corp. Common Stock	A	Dividend	J	T					
37. NextEra Energy, Inc. Common Stock	B	Dividend	K	T					
38. Hawaiian Electric Industries Inc. Common Stock	A	Dividend	K	T					
39. Integrys Energy Group Inc. Common Stock	A	Dividend	J	T					
40. Express Scripts Holding Co. Common Stock		None	J	T					
41. Merck & Co. Inc. Common Stock	A	Dividend	K	T					
42. Monsanto Co. New Common Stock	A	Dividend	J	T					
43. Nuveen Dividend Advantage Municipal Fund	A	Dividend	J	T					
44. Nuveen Dividend Advantage Munic. Fd 2	B	Dividend	K	T					
45. Nuveen Dividend Advantage Municipal Fd 3	B	Dividend	K	T					
46. Nuveen AMT-Free Municipal Income Fund	A	Dividend	J	T					
47. Nuveen Municipal Advantage Fund Inc.	A	Dividend	J	T					
48. Nuveen Municipal Value Fund Inc.	B	Dividend	K	T					
49. Nuveen Premium Inc. Mun. Fund Inc.	A	Interest	J	T					
50. Nuveen Premium Inc. Mun. Fund 2 Inc.	B	Dividend	K	T					
51. Nuveen Select Maturities Municipal Fund	A	Dividend	K	T					

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52. Pepco Holdings Inc. Common Stock	B	Dividend	K	T					
53. Pepsico, Inc. Common Stock	A	Dividend	K	T					
54. Pfizer Inc. Common Stock	A	Dividend	K	T					
55. Spectra Energy Corp. Common Stock	A	Dividend	J	T					
56. TECO Energy Inc. Common Stock	A	Dividend	J	T					
57. Invesco Van Kampen Municipal Opportunity Trust	A	Interest	J	T					
58. Westar Energy Inc. Common Stock	A	Dividend	J	T					
59. Xcel Energy Inc. Common Stock	B	Dividend	K	T					
60. Nuveen Series 519 Tax Exempt Unit Trust	A	Interest	J	T					
61. Nuveen Investment Quality Municipal Fund, Inc.	C	Dividend	K	T					
62. John Hancock Venture Vantage (Annuity) (See Part VIII)		None	K	T	Distributed (part)	06/01/14	K		
63. TIAA-CREF Savings and Investment Plan (Annuity)		None	M	T					
64. TIAA-CREF (IRA) Managed Allocation Fund II R		None	L	T	Sold (part)	09/03/14	J	A	
65. USAA Tax Exempt Long-Term Bond Fund	D	Interest	M	T					
66. Blackrock Strategic Income A	A	Dividend	J	T					
67. Emerson Electric Co. Common Stock	A	Dividend	K	T					
68. Royal Dutch Shell PLC Common Stock	A	Dividend	J	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, Item No. 62

The distribution disclosed in Item No. 62 is from an annuity that requires a minimum annual distribution. No gain is shown in Column D(4) because there was no gain or loss involved in the transaction.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Sidney A. Fitzwater**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
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Washington, D.C. 20544