

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                          |                                                                  |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|
| <b>1. Person Reporting</b> (last name, first, middle initial)<br><br>Houston, John A.                                                                                                                     | <b>2. Court or Organization</b><br><br>Southern District of California                                                                                                                                                                                                                   | <b>3. Date of Report</b><br><br>05/14/2018                       |
| <b>4. Title</b> (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><br>U. S. District Court                                                          | <b>5a. Report Type</b> (check appropriate type)<br><input type="checkbox"/> Nomination <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br><br>01/01/2017<br>to<br>12/31/2017 |
| <b>7. Chambers or Office Address</b><br><br>Carter-Keep Federal Courthouse<br>333 West Broadway<br>Suite 1380<br>San Diego, CA 92101                                                                      |                                                                                                                                                                                                                                                                                          |                                                                  |
| <p align="center"><b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.</p> |                                                                                                                                                                                                                                                                                          |                                                                  |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

|    | <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|----|-----------------|------------------------------------|
| 1. | Co-Trustee      | Trust #1                           |
| 2. | Trustee         | Trust #2                           |
| 3. | Trustee         | Trust #3                           |
| 4. | Co-Trustee      | Trust #4                           |
| 5. |                 |                                    |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

| <u>DATE</u> | <u>PARTIES AND TERMS</u> |
|-------------|--------------------------|
| 1.          |                          |
| 2.          |                          |
| 3.          |                          |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME  
(yours, not spouse's)

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2017 \_\_\_\_\_

Psychology Consultation Services, Inc

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

**IV. REIMBURSEMENTS** *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

4. \_\_\_\_\_

5. \_\_\_\_\_

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**V. GIFTS.** *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>  | <u>DESCRIPTION</u>                  | <u>VALUE CODE</u> |
|----|------------------|-------------------------------------|-------------------|
| 1. | Wells Fargo Bank | Mortgage - Investment Real Property | K                 |
| 2. | Chase Slate      | Credit Card                         | K                 |
| 3. | Chase Freedom    | Credit Card                         | K                 |
| 4. | CitiBank         | Credit Card                         | J                 |
| 5. |                  |                                     |                   |

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## VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Fidelity Funds - IRA                                                                                                     |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 2. --Fidelity Discovery Fund                                                                                                | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 3. ---Fidelity New Markets Fund                                                                                             | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 4. Trust                                                                                                                    |                                         |                                               |                                                 |                                           |                                                 |                         |                                 |                                |                                                                   |
| 5. - Leap Wireless Communications (Common Stock)                                                                            | A                                       | Dividend                                      | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 6. Trust #2                                                                                                                 | D                                       | Distribution                                  | M                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 7. --Franklin Calif Tax Free Income Fund                                                                                    | C                                       | Int./Div.                                     | L                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 8. --MFS MidCap Growth Fund                                                                                                 | A                                       | Dividend                                      | J                                               | T                                         | Redeemed                                        | 05/02/17                | J                               | A                              |                                                                   |
| 9. --MFS Val Class B                                                                                                        | A                                       | Dividend                                      | J                                               | T                                         | Redeemed                                        | 05/02/17                | J                               | B                              |                                                                   |
| 10. --MFS Value Class C                                                                                                     | A                                       | Dividend                                      | J                                               | T                                         | Redeemed                                        | 05/02/17                | J                               | B                              |                                                                   |
| 11. -- Federal Capital Reserves                                                                                             | B                                       | Int./Div.                                     | K                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 12. Real Property (3), Birmingham, AL                                                                                       | C                                       | Rent                                          | L                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 13. Trust #3                                                                                                                |                                         | Interest                                      | P1                                              | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 14. -- Van Kampen Insured Muni Income Trust \$89                                                                            | B                                       | Int./Div.                                     | J                                               | T                                         |                                                 |                         |                                 |                                |                                                                   |
| 15. -- Union Bank CD Index Zero                                                                                             | A                                       | Int./Div.                                     | J                                               | T                                         | Redeemed                                        | 05/09/17                | J                               | A                              |                                                                   |
| 16. -- Real Property (2)- San Diego County, CA                                                                              | A                                       | None                                          | O                                               | W                                         |                                                 |                         |                                 |                                |                                                                   |
| 17. -- Real Property (5)- Columbus Ohio                                                                                     | A                                       | None                                          | K                                               | T                                         | Sold                                            | 01/27/17                | K                               | D                              | C'TH Group, LLC                                                   |

|                       |                                                |                                                      |                                                          |                                                            |                            |
|-----------------------|------------------------------------------------|------------------------------------------------------|----------------------------------------------------------|------------------------------------------------------------|----------------------------|
| 1. Income Gain Codes: | A ~\$1,000 or less<br>(See Columns B1 and D4)  | B ~\$1,001 - \$2,500<br>G ~\$100,001 - \$1,000,000   | C ~\$2,501 - \$5,000<br>H1 ~\$1,000,001 - \$5,000,000    | D ~\$5,001 - \$15,000<br>H2 ~More than \$5,000,000         | E ~\$15,001 - \$50,000     |
| 2. Value Codes        | J ~\$15,000 or less<br>(See Columns C1 and D3) | K ~\$15,001 - \$50,000<br>O ~\$500,001 - \$1,000,000 | L ~\$50,001 - \$100,000<br>P1 ~\$1,000,001 - \$5,000,000 | M ~\$100,001 - \$250,000<br>P2 ~\$5,000,001 - \$25,000,000 | P4 ~More than \$50,000,000 |
| 3. Value Method Codes | Q ~Appraisal<br>(See Column C2)                | R ~Cost (Real Estate Only)<br>V ~Other               | S ~Assessment<br>W ~Estimated                            | T ~Cash Market                                             |                            |

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☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                               | C.<br>Gross value at end<br>of reporting period |                                           | D.<br>Transactions during reporting period      |                         |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|-----------------------------------------------|-------------------------------------------------|-------------------------------------------|-------------------------------------------------|-------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code I<br>(A-H)        | (2)<br>Type (e.g.,<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.,<br>buy, sell,<br>redemption) | (2)<br>Date<br>mm/dd/yy | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |

|                                                                          |   |              |   |   |      |          |   |   |  |
|--------------------------------------------------------------------------|---|--------------|---|---|------|----------|---|---|--|
| 18. Pacific Innovative Select -Black Rock Global Allocation,Annuity -IRA | C | Distribution | L | T |      |          |   |   |  |
| 19. Union Bank Marked Linked Cert of Deposit - IRA                       | A | Interest     | K | T |      |          |   |   |  |
| 20. Union Bank Marked Linked Cert of Deposit - Simple IRA                | B | Interest     | L | T |      |          |   |   |  |
| 21. Trust #4                                                             |   |              |   |   |      |          |   |   |  |
| 22. -- Real Property(6)- Salem, VA 24153                                 |   | None         | N | T |      |          |   |   |  |
| 23. -- Sun Trust Bank Acct                                               | A | Interest     | M | T | Open | 01/19/17 | M | A |  |

|                                                  |                                                                                       |                                                        |                                                                                           |                                                              |                         |
|--------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000                                       | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000   | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000                                   | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000         | E = \$15,001 - \$50,000 |
| 2. Value Codes<br>(See Columns C1 and D3)        | J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000 | M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000 |                         |
| 3. Value Method Codes<br>(See Column C2)         | Q = Appraisal<br>U = Book Value                                                       | R = Cost (Real Estate Only)<br>V = Other               | S = Assessment<br>W = Estimated                                                           | T = Cash Market                                              |                         |

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**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of report.)*

Part VII. Line 30 (2016 Amended Report)(Part VII. Line 17 current report): Buyer, CTH Group, LLC; 691 E. Dubin Granville Rd, Columbus, OH, 43229

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## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **John A. Houston**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
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Washington, D.C. 20544