

FINANCIAL DISCLOSURE REPORT FINAL FILING

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Anderson, Stephen H.	2. Court or Organization Appeals, Tenth Circuit	3. Date of Report 2/26/2016
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge (Senior) (inactive status)	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☐ Annual ☒ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2015 to 04/10/2015
7. Chambers or Office Address [REDACTED] Salt Lake City, UT 84124		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1. _____

2. _____

3. _____

4. _____

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. _____

2. _____

3. _____

4. _____

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1. _____

2. _____

3. _____

4. _____

5. _____

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. IRA #1, Pershing LLC-Custodian (Lines 2-18)									
2. - Bank Hapoalim BM, New York (CD)	A	Interest	K	T					
3. - Passumpsic Savings Bank, VT (CD)	A	Interest	J	T					
4. - Newbridge Bank Greensboro (CD)	A	Interest			Matured	02/27/15	J		
5. - Security Bank, Stephens, AK (CD)	A	Interest			Matured	04/02/15	K		
6. - Woodland Bank, Deep River, MN (CD)	A	Interest	J	T					
7. - Zions First Nat'l Bank (CD)	A	Interest	K	T					
8. - Ally Bank, Midvale, UT (CD)	A	Interest	K	T					
9. - Beal Bank USA, Las Vegas, NV (CD)	A	Interest	K	T					
10. - Berkshire Bank, Pittsfield, MA (CD)	A	Interest			Matured	03/13/15	K		
11. - Ally Bank, Midvale, UT (CD)	A	Interest			Matured	02/02/15	K		
12. - Bank West, San Francisco, CA (CD)	A	Interest			Matured	02/20/15	K		
13. - Farmers & Merchants Savings Bk (CD)	A	Interest			Matured	03/20/15	K		
14. - Reliant Bank, Brentwood, TN (CD)	A	Interest			Matured	03/20/15	J		
15. - Discover Bank, Greenwood, DE (CD)	A	Interest	K	T					
16. - Planters Bank, Hopkinsville, KY (CD)	A	Interest	K	T					
17. - Everbank, Jacksonville, FL (CD)	A	Interest	M	T	Buy	2/13/15	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. - Reserve Ins'd Dep Acct/FDIC insured bank deposits	A	Interest	M	T					
19. IRA #2, Pershing LLC-Custodian (Line 20)									
20. - MM Acct / Insured Dep. Acct.	A	Interest	J	T					
21. Zions Direct Brokerage Acct (Lines 22-30)									
22. - FDIC Ins'd MM Acct.	A	Interest	K	T					
23. - Goldman Sachs Bk USA, NY (CD)	A	Interest			Matured	1/30/15	J		
24. - Passumpsic Svgs Bk VT (CD)	A	Interest	J	T					
25. - Security Bk Stephens AR (CD)	A	Interest			Matured	04/02/15	K		
26. - Transportation Alliance Bk, Ogden, UT (CD)	A	Interest	J	T					
27. - Access Bk, Omaha, NE (CD)	A	Interest	J	T					
28. - Horizon Fin Bk, Munich, ND (CD)	A	Interest			Matured	01/30/15	J		
29. - Planters Bk, Hopkinsville, KY (CD)	A	Interest	J	T					
30. - Beal Bank USA, Las Vegas NV (CD)	A	Interest	K	T	Buy	02/11/15	K		
31. Interest-bearing checking accounts/Wells Fargo Bank	F	Interest	K	T					
32. Interest-bearing savings accts/Wells Fargo Bank	A	Interest	L	T					
33. Coin collection (including silver)		None	J	W					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
(See Column C2)	Q = Appraisal	V = Other	W = Estimated		
	U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Stephen H. Anderson**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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