

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Newman, Jon O.	2. Court or Organization U.S. Court of Appeals for the Second Circuit	3. Date of Report 08/09/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge senior status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 40 Foley Square New York, NY 10007		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Corporator	Hartford Hospital
2.	Corporator	Institute of Living
3.	Elector	Hartford Atheneum
4.	Life Regent	University of Hartford
5.	Trustee	No. 1 Family Trust (assets listed in Part VII, lines 109-132)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 10/20/17	William S. Hein & Co. royalty	\$509.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Yale University	Sept. 16-19, 2017	New Haven, CT	attend Global Constitutional Seminar	breakfasts and parking three days
2. Robert H. Jackson Center	Aug. 13, 16, 2017	Jamestown, NY	deliver Robert H. Jackson Lecture at Chautauqua	round trip airfare New York City to Buffalo, NY
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Yale University	three nights lodging, lunches, and dinners at Global Constitutional semina	\$900.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank accounts and cash (H):									
2. Bank of America Sarasota FL	A	Interest	M	T					
3. Wells Fargo Bank	A	Interest	J	T					
4. Morgan Stanley account (H):									
5. Morgan Stanley Active Assets Tax Free Trust	E	Interest	P1	T					
6. stocks (H):									
7. Adient	A	Dividend	K	T					
8. Air Products	C	Dividend	M	T					
9. Cisco	B	Dividend	L	T					
10. Cummins	C	Dividend	M	T					
11. Devon Energy	B	Dividend	L	T					
12. Express Scripts		None	M	T					
13. Johnson and Johnson	C	Dividend	M	T					
14. Johnson Control Intl	B	Dividend	M	T					
15. Merck	D	Dividend	M	T					
16. Microsoft	B	Dividend	L	T					
17. Minnesota Mining and Manufacturing	C	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. Royal Dutch Shell	D	Dividend	M	T					
19. Total Fina	D	Dividend	M	T					
20. Versum	A	Dividend	K	T	Buy (add'l)	07/31/17	J		
21. mutual funds and exchange-traded funds (H): (see note Part VIII)									
22. Calamos Growth & Income C Fund	D	Distribution	M	T					
23. Dreyfus Premier Technology Fund	A	Distribution	K	T					
24. Fed Max Cap Fund	B	Distribution	K	T					
25. Invesco Balanced Risk Alloc. C Fund	B	Distribution	L	T					
26. Janus Henderson Int'l Op. Fund (see note Part VIII)	A	Dividend	K	T					
27. Mainstay Large Cap Fund	B	Dividend	K	T					
28. Oppenheimer Mid Cap Revenue Fund	A	Dividend	L	T					
29. Oppenheimer Roch AMT Free MU C Fund	A	Int./Div.	L	T	Buy	05/29/17	L		
30. Oppenheimer Small Cap Revenue Fund	A	Dividend	L	T					
31. Prudential Jennison Small Cap CI C Fund	C	Distribution	K	T					
32. Schwab 1000 Fund	B	Distribution	L	T					
33. SPDR Barcap Short Term Hi Yield Fund	B	Dividend	K	T					
34. bonds (H):									

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35. Bay County FL. School Board '19 4.25	C	Interest			Redeemed	07/03/17	L		
36. Bound Brook NJ '21 4.0	B	Interest	L	T					
37. Clark Cty Nev. '21 5.0	B	Interest	L	T					
38. CT Health & Ed. '19 5.0	A	Interest	L	T					
39. Dallas Tex '17 5.0	B	Interest			Matured	02/15/17	K		
40. Danbury CT. '19 2.5	B	Interest	L	T					
41. Davenport Iowa '21 2.0	B	Interest	L	T	Buy	02/23/17	L		
42. Duncanville TX '18 0		None	K	T					
43. Harris Cnty TX '22 30	B	Interest	L	T	Buy	06/02/17	L		
44. Hawaii G.O. '18 4.0	A	Interest	L	T					
45. Jackson County '18 4.0	B	Interest	L	T					
46. Kenton Cnty KY '20 2.0	A	Interest	L	T	Buy	02/23/17	K		
47. LaPorte Ind	A	Interest	L	T					
48. Lee County School Bd. '17 4.0	B	Interest			Sold	08/01/17	K		
49. Mich St Strat. '20 5.0	C	Interest	M	T					
50. Northern Mun. Pwr Agcy Minn '17 5.0	B	Interest			Matured	01/03/17	K		
51. N.Y. Housing Develop. '18 2.25	A	Interest	L	T					

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52. Oxford Mass G.O. '19 2.0	A	Interest	L	T					
53. Palm Beach Cty FL '27 4.375	B	Interest			Redeemed	11/01/17	L		
54. Penn. Higher Ed.	A	Interest	L	T					
55. Penn Pub. School '18 5.0	B	Interest			Redeemed	05/01/17	K		
56. Phoenix Ariz '19 3.0	A	Interest	L	T	Buy	02/23/17	K		
57. Peoria IL Pub. Bldg. '21 0.0		None	K	T	Buy	08/18/17	K		
58. South Amboy NJ '22 4.0	A	Interest	L	T	Buy	06/02/17	L		
59. Spokane Wash. '20 5.0	B	Interest	L	T					
60. Tampa Bay FL. Water '18 5.0	B	Interest	L	T					
61. West Wildwood, NJ -21 3.0		None	L	T	Buy	08/18/17	L		
62. Wells Fargo Account (H):									
63. Wells Fargo Advisors, Sarasota FL Money Market Acct	A	Interest	J	T					
64. stocks (H):									
65. Aflac	A	Dividend	J	T					
66. AT&T	A	Dividend	J	T					
67. Amgen	A	Dividend	J	T					
68. Apple	A	Dividend	J	T	Donated (part)				

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69. Berkshire Hathaway		None	J	T					
70. Cisco (See note part VIII)		None	J	T	Sold	08/18/17	J	A	
71.					Buy	12/20/17	J		
72. Colgate-Palmolive	A	Dividend	J	T					
73. Dow Chemical	A	Dividend	J	T					
74. ExxonMobil	A	Dividend	J	T					
75. General Electric	A	Dividend			Sold (part)	07/31/17	J	A	
76.					Sold	08/15/17	J	A	
77. General Mills	A	Dividend	J	T					
78. Home Depot	A	Dividend	J	T					
79. Illinois Tool Works	A	Dividend	J	T					
80. Intel	A	Dividend	J	T					
81. Johnson & Johnson	A	Dividend	J	T					
82. JP Morgan Chase	A	Dividend	J	T					
83. Kimberly-Clark	A	Dividend	J	T					
84. Merck	A	Dividend	J	T					
85. Minnesota Mining & Manufacturing	A	Dividend	J	T	Buy	03/19/17	J		

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86. Nextera EnergyNextera Energy	A	Dividend	J	T					
87. Pfizer	A	Dividend	J	T					
88. Procter & Gamble	A	Dividend	J	T					
89. Raytheon	A	Dividend	J	T	Buy	08/15/17	J		
90. UGI Corp.	A	Dividend			Sold	03/07/17	J	C	
91. US Bancorp	A	Dividend	J	T					
92. Verizon	A	Dividend	J	T	Buy (add'l)	07/31/17	J		
93. Merrill Edge Account (H)									
94. Merrill Lynch direct deposit program		None	J	T					
95. ETF and Mutual Funds (H)									
96. AB Large Capital Growth Fund	A	Distribution	K	T	Buy	01/18/17	J		
97. Ishares core S&P small cap etf fund	A	Dividend	K	T					
98. Ishares S&P small cap 600 growth fund	A	Dividend	K	T					
99. Janus Henderson Enterprise Fund	A	Dividend	K	T	Buy	01/18/17	K		
100. Powershares QQQ Trust Units Ser. 1 Fund	A	Dividend	K	T					
101. Vanguard Mid-Cap Value Index Fund	A	Dividend	K	T					
102. stocks (H)									

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103. Apple Inc.	A	Dividend	K	T					
104. Other (H):									
105. 1/2 Int. W. Htfd. CT., Condo purchased 8/9/88 \$115,000		None	L	R					
106. Thames & Hudson Royalty Contract	A	Royalty	J	W					
107. AIG Fixed Annuity (IRA)	B	Interest	K	T					
108. AIG Fixed Annuity (IRA)	A	Interest	J	T					
109. Trust No. 1: (H)									
110. - Morgan Stanley Active Assets Tax Free Trust	A	Interest	M	T					
111. - stocks (H):									
112. - Adient	A	Dividend			Sold	05/05/17	J	A	
113. - Corning	B	Dividend	L	T					
114. - Exxon Mobil	D	Dividend	N	T					
115. - Honeywell	B	Dividend	L	T					
116. - Insteel	A	Dividend	L	T					
117. - Johnson Controls Intl	A	Dividend	J	T					
118. - Koninklijke Phillips	A	Dividend	K	T					
119. - Mallinckrodt		None			Sold	05/05/17	J	A	

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120. - M & T Bank	C	Dividend	M	T					
121. - Medtronic	A	Dividend	K	T					
122. - Norfolk Southern	B	Dividend	M	T					
123. - Pfizer	B	Dividend	K	T					
124. - mutual funds (H):									
125. - Federated Municipal High Yield Advantage A Fund	D	Int./Div.	N	T					
126. - bonds (H):									
127. - Bridgeport, CT '19 5.5	D	Interest	M	T					
128. - CT St Spl Tax '20 3.9	B	Interest	L	T					
129. - Miami-Dade County FL Sch. Bd. '17 4.0	B	Interest			Matured	05/01/17	K		
130. - New York NY Prior Issues '17 5.0	B	Interest			Matured	08/01/17	K		
131. - Penn. ST Higher ed. '18 5.0	B	Interest	L	T					
132. - Washington State mtr fuel '20 5.0	B	Interest	L	T					
133. Trust No. 2: (H)									
134. - brokerage account No. 1 (H):									
135. - Morgan Stanley Active Assets Tax Free Trust	E	Interest	O	T					
136. - stocks (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - 3M	C	Dividend	M	T					
138. - Adobe Systems		None	M	T					
139. - Agilent	A	Dividend	K	T					
140. - American Express	B	Dividend	M	T					
141. - Automatic Data Processing	C	Dividend	M	T					
142. - Baxter Int'l	C	Dividend	N	T					
143. - Becton Dickinson	C	Dividend	N	T	Sold (part)	05/05/17	L	F	
144. - CDK Global	A	Dividend	K	T	Spinoff (from line 141)	06/30/17	K		
145. - Cigna	A	Dividend	N	T	Sold (part)	05/05/17	K	E	
146. - Dominion Resources	D	Dividend	M	T					
147. - Edwards Life Resources		None	M	T					
148. - Emerson Electric	B	Dividend	L	T					
149. - Express Scripts		None	K	T					
150. - General Mills	D	Dividend	M	T					
151. - Home Depot	D	Dividend	O	T	Sold (part)	05/05/17	L	F	
152. - Intel	C	Dividend	M	T					
153. - IBM	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - Keysight Technologies		None	K	T	Buy	03/31/17	K		
155. - McDonalds	C	Dividend	M	T					
156. - Microsoft	D	Dividend	N	T					
157. - Procter & Gamble	C	Dividend	L	T					
158. - RPM Int'l	D	Dividend	N	T	Sold (part)	05/05/17	J		
159. - Shire	A	Dividend	M	T					
160. - Travelers	B	Dividend	L	T					
161. - T Rowe Price	B	Dividend	L	T					
162. - Weyerhaeuser	C	Dividend	K	T					
163. - bonds (H):									
164. - Baltimore MD '22 5.0		None	L	T	Buy	06/02/17	K		
165. - Brainard Minn '21 1.75		None	K	T	Buy	08/04/17	K		
166. - Cape Coral FL '40 6.0	C	Interest	M	T	Buy	02/23/17	M		
167. - Carbon Cnty PA '20 5.0	B	Interest	L	T					
168. - Clark County Nev '17 3.0b	B	Interest			Matured	07/03/17	K		
169. - Cromwell CT '20 4.0	B	Interest	L	T					
170. - CT Health & Ed '17 5.0		None			Matured	07/03/17	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Delton Kellogg MY Sch '22 4.0	B	Interest	L	T	Buy	04/07/17	L		
172. - Guilford CT '18 3.0	B	Interest			Redeemed	11/01/17	K		
173. - FYI PPTYS Wash. Lease '18 5.0	B	Interest	K	T					
174. - Harrison NJ '20 3.0B	B	Interest	L	T					
175. - Hartford County '18 3.5	A	Interest			Redeemed	06/01/17	K	A	
176. - Indiana St Fin '19 5.0	C	Interest	L	T					
177. - Morgan Stanley Active AssetsTax Free Trust	E	Interest	O	S					
178. - New York City Turst for Cultural Reserve '21 5.0b	B	Interest	K	T					
179. - New York ST Urban Dev '19 5.50	C	Interest	L	T					
180. - N.Y. Dorm. Auth. '17 5.0	B	Interest			Matured	02/15/17	K		
181. - Oklahoma '19 5.0	B	Interest	L	T	Buy	06/02/17	L		
182. - Tampa FL '18 4.0B	B	Interest	K	T					
183. - Southington CT '18 3.5	B	Interest			Redeemed	09/01/17	K		
184. - Vancouver Wash. '22 5.0		None	L	T	Buy	08/14/17	L		
185. - Washington Health '23 5.25	C	Interest	L	T					
186. - West Orange NY '22 2.0		None	L	T	Buy	04/07/17	L		
187. - brokerage account No. 2 (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. - Mongan Stanley, New Haven, CT Money Market Acct.	A	Interest	K	T					
189. - stocks (H):									
190. - Abbott Labs	A	Dividend	J	T					
191. - Abbvie	A	Dividend	J	T	Sold (part)	03/10/17	J	A	
192. - Air Products	A	Dividend	J	T					
193. - Alphabet Inc.		None	J	T					
194. - American Express	A	Dividend	J	T					
195. - Anheuser Busch	A	Dividend	J	T	Buy (add'l)	12/29/17	J		
196. - Apple	A	Dividend	J	T					
197. - ASML Holding	A	Dividend	J	T					
198. - Automatic Data Processing	A	Dividend	J	T					
199. - Blackrock	A	Dividend	J	T					
200. - CDN Pacific RY	A	Dividend	J	T					
201. - Celgene		None			Sold	10/20/17	J	A	
202. - Chevron	A	Dividend	J	T	Sold (part)	03/10/17	J	A	
203. - Chubb	A	Dividend	J	T	Buy (add'l)	03/10/17	J		
204. - Coca-cola	A	Dividend	J	T	Sold (part)	03/10/17	J		

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. - Comcast	A	Dividend	J	T	Buy (add'l)	03/10/17	J		
206. - Conoco	A	Dividend	J	T	Sold (part)	09/13/17	J		
207. - Constellation Brands	A	Dividend	J	T	Buy (add'l)	03/10/17	J		
208. - Estee Lauder	A	Dividend	J	T					
209. - Exxon Mobil	A	Dividend	J	T	Sold (part)	03/10/17	J	A	
210. - Facebook		None	J	T	Buy (add'l)	09/13/17	J		
211. - Gilead Science		None			Sold	03/10/17	J		
212. - Intercontinental Exchange Group	A	Dividend	J	T					
213. - Intuitive Surgical		None	J	T	Buy (add'l)	12/29/17	J		
214. - JP Morgan Chase	A	Dividend	J	T					
215. - McDonalds	A	Dividend	J	T					
216. - Microsoft	A	Dividend	J	T	Buy (add'l)	03/10/17	J		
217.					Buy (add'l)	09/13/17	J		
218. - Nestle	A	Dividend	J	T					
219. - Nike	A	Dividend	J	T					
220. - Novartis	A	Dividend	J	T					
221. - Novo-Nordisk	A	Dividend	J	T					

1. Income Gain Codes:	A ~\$1,000 or less (See Columns B1 and D4)	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F ~\$50,001 - \$100,000 J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. - Occidental Petroleum	A	Dividend			Sold	09/13/17	J		
223. - Oracle	A	Dividend	J	T					
224. - PepsiCo	A	Dividend	J	T					
225. - Phillip Morris	A	Dividend	J	T					
226. - Praxair	A	Dividend	J	T					
227. - Procter & Gamble	A	Dividend	J	T					
228. - Roche Holding	A	Dividend	J	T	Buy (add'l)	12/29/17	J		
229. - State St. Corp.	A	Dividend	J	T	Buy (add'l)	09/13/17	J		
230. - Target	A	Dividend			Sold	04/18/17	J	A	
231. - Texas Instruments	A	Dividend	J	T					
232. - Total	A	Dividend	J	T					
233. - Twenty-first Century Fox	A	Dividend	J	T	Sold (part)	09/13/17	J	A	
234. - Union Pacific	A	Dividend	J	T	Buy (add'l)	04/18/17	J		
235. - United Health	A	Dividend	J	T	Buy (add'l)	04/18/17	J		
236. - United Technologies	A	Dividend	J	T					
237. - Verisign		None			Sold	10/20/17	J	A	
238. - Visa	A	Dividend	J	T	Buy (add'l)	09/13/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

239. - Walgreen	A	Dividend	J	T					
240. - Walt Disney	A	Dividend	J	T	Buy (add'l)	09/14/17	J		
241. - Wells Fargo	A	Dividend	J	T	Buy (add'l)	09/14/17	J		
242. - Xilinx	A	Dividend			Sold	03/10/17	J	A	
243. - exchange traded and closed end funds (H):									
244. - Alerian MLP Exchange Traded Fund	C	Distribution	K	T	Buy (add'l)	09/14/17	J		
245.					Buy (add'l)	12/29/17	J		
246. - PowerShares Russell Midcap Pure Growth Fund	A	Dividend	K	T					
247. - PowerShares Russell Midcap Pure Value Fund	A	Dividend	K	T					
248. - SPDR Dow Jones Wilshire Int'l Real Est. Fund	A	Dividend	K	T	Buy (add'l)	12/29/17	J		
249. - SPDR Nuveen Barclays Mun. Fund (see Part VIII)	A	Dividend	K	T	Buy (add'l)	09/14/17	J		
250.					Buy (add'l)	12/29/17	J		
251. - Vanguard TTL World Stk Index Fund	B	Dividend	L	T					
252. - mutual funds (H):									
253. - Brandes Emergins Markets I Fund	A	Dividend	K	T					
254. - Cohen & Steers Realty Fund	A	Dividend	K	T	Buy (add'l)	09/14/17	J		
255.					Buy (add'l)	12/29/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

256. - Davis NY Venture Fund		Dividend	K	T	Buy (add'l)	09/14/17	J		
257.					Buy (add'l)	12/29/17	J		
258. - Franklin Conv. Sec. Adv. Fund	B	Dividend	K	T	Buy (add'l)	12/29/17	J		
259. - Invesco Premier Tx Exempt Inst. Fund	A	Dividend	K	T					
260. - Invesco Small Cap Value Fund	A	Dividend	K	T	Buy (add'l)	12/29/17	J		
261. - JP Morgan Dynamic Sm Cp Gr Sel Fund	C	Distribution	K	T					
262. - Legg Mason WA Emerg. Mkt. Debt I Fund	B	Dividend	K	T	Buy (add'l)	09/14/17	J		
263.					Buy (add'l)	12/29/17	J		
264. - Mainstay Large Cap Grw I Fund	D	Distribution	K	T	Buy (add'l)	12/29/17	J		
265. - Mainstay Marketfield I Fund		None	K	T					
266. - Nuveen limited term muni bond I Fund	B	Dividend	L	T	Buy (add'l)	09/14/17	J		
267.					Buy (add'l)	12/29/17	J		
268. - Oppenheimer Int'l Bond Y Fund	A	Dividend	K	T	Buy (add'l)	09/14/17	J		
269.					Buy (add'l)	12/29/17	J		
270. - Prudential Jennison Mid Cap GW Z Fund	A	Dividend	K	T					
271. - Trust No. 3: (H)									
272. - brokerage account No. 1 (H):									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

273. - Morgan Stanley Active Assets Tax Free Trust	C	Interest	O	T					
274. - stocks (H):									
275. - 3M Co.	D	Dividend	M	T					
276. - Air Products	C	Dividend	M	T					
277. - Apache	A	Dividend			Sold	05/05/17	L		
278. - Apple	B	Dividend	M	T					
279. - DanaHER	A	Dividend	L	T					
280. - Fortive	A	Dividend	K	T					
281. - Johnson & Johnson	C	Dividend	L	T					
282. - Versum	A	Dividend	J	T					
283. - bonds (H):									
284. - Bay County FL School '18 3.5	B	Interest	L	T					
285. - CT Higher Ed. '17 3.85	C	Interest			Redeemed (part)	01/07/17	J		
286.					Redeemed (part)	04/12/17	J		
287.					Redeemed	11/15/17	K		
288. - Griswold '18 3.0	B	Interest	L	T					
289. - Janesville Wis. Sch. '19 4.125	B	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A ~\$1,000 or less F ~\$50,001 - \$100,000	B ~\$1,001 - \$2,500 G ~\$100,001 - \$1,000,000	C ~\$2,501 - \$5,000 H1 ~\$1,000,001 - \$5,000,000	D ~\$5,001 - \$15,000 H2 ~More than \$5,000,000	E ~\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000 P3 ~\$25,000,001 - \$50,000,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000 P4 ~More than \$50,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q ~Appraisal U ~Book Value	R ~Cost (Real Estate Only) V ~Other	S ~Assessment W ~Estimated	T ~Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
290. - N.J. Transp. '17 5.0	B	Interest			Matured	12/15/17	K		
291. - Okla. Water Resources '19 5.0	B	Interest	L	T					
292. - San Buenaventura CA water '17 4.0	B	Interest			Matured	01/03/17	K		
293. - Southington CT '18 3.5		Interest			Redeemed	09/01/17	K		
294. - brokerage account No. 2 (H):									
295. - Morgan Stanley Active Assets Tax Free Trust	C	Interest	M	T					
296. - stocks (H):									
297. - AIA Group		None			Sold (part)	02/02/17	J	A	
298.					Sold	05/08/17	J	B	
299. - Air Liquide		None			Sold (part)	02/02/17	J		
300.					Sold	05/08/17	J	A	
301. - Alfa Laval	A	Dividend			Sold (part)	02/02/17	J	A	
302.					Sold	05/08/17	J	A	
303. - Allianz	A	Dividend			Sold (part)	02/02/17	J	A	
304.					Sold	05/08/17	J	A	
305. - American Express	A	Dividend	J	T	Sold (part)	01/03/17	J	A	
306. - Annaly Capital Mnmgt	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
307. - Aspen		None			Sold (part)	02/02/17	J	A	
308.					Sold	05/08/17	J		
309. - Atlas Copco	A	Dividend			Sold (part)	01/10/17	J	A	
310.					Sold (part)	02/02/17	J	A	
311.					Sold	05/08/17	J	A	
312. - Baidu		None			Sold (part)	02/02/17	J		
313.					Sold	05/08/17	J	A	
314. - Banco Bilbao	A	Dividend			Sold (part)	02/02/17	J		
315.					Sold	05/08/17	J	A	
316. - Bayer	A	Dividend			Sold (part)	02/02/17	J	A	
317.					Sold	05/08/17	J	A	
318. - Bayerische Motore Werke	A	Dividend			Sold (part)	02/02/17	J		
319.					Sold	05/08/17	J	A	
320. - BBA Aviation	A	Dividend			Sold	05/08/17	J	A	
321. - Bunge	A	Dividend			Sold	04/05/17	J	A	
322. - Canadian National Ry.	A	Dividend			Sold (part)	02/02/17	J	A	
323.					Sold	05/08/17	J	A	

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2. Value Codes (See Columns C1 and D3)	J ~\$15,000 or less N ~\$250,001 - \$500,000	K ~\$15,001 - \$50,000 O ~\$500,001 - \$1,000,000	L ~\$50,001 - \$100,000 P1 ~\$1,000,001 - \$5,000,000	M ~\$100,001 - \$250,000 P2 ~\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 ~\$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 ~More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
324. - Charles Schwab	A	Dividend			Sold	02/02/17	J	B	
325. - Checkpoint		None			Buy (add'l)	01/20/17	J		
326.					Sold	05/08/17	J	A	
327. - Cisco	A	Dividend	J	T					
328. - Conoco Phillips	A	Dividend	J	T	Buy (add'l)	03/24/17	J		
329. - CSL Ltd.	A	Dividend			Sold (part)	02/02/17	J	A	
330.					Sold	05/08/17	J	A	
331. - CVS Health	A	Dividend	J	T	Buy (add'l)	02/02/17	J		
332.					Buy (add'l)	03/08/17	J		
333. - Dassault Systems		Dividend			Sold (part)	02/02/17	J	B	
334.					Sold	05/08/17	J		
335. - DBS Group	A	Dividend			Sold (part)	02/02/17	J	A	
336.					Sold	05/08/17	J		
337. - Diamond Offshore Drilling		None	J	T	Buy (add'l)	02/02/17	J		
338.					Buy (add'l)	05/08/17	J		
339.					Buy (add'l)	06/06/17	J		
340. - Ebay		None	J	T	Sold (part)	04/06/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

341. - Exelon	A	Dividend	J	T	Buy (add'l)	05/08/17	J		
342. - Express Scripts		Dividend			Sold	03/08/17	J		
343. - Exxon Mobil	A	Dividend	J	T					
344. - Fanuc Ltd.	A	Dividend			Sold (part)	01/04/17	J	A	
345.					Sold (part)	02/02/17	J	A	
346.					Sold	05/08/17	J	A	
347. - Franklin Resources	A	Dividend	J	T					
348. - Fresenius Medical Care		None			Sold (part)	02/02/17	J	A	
349.					Sold	05/07/17	J	A	
350. - Fuchs Petrolub	A	Dividend			Sold (part)	02/02/17	J		
351.					Sold	05/08/17	J	A	
352. - Glaxo Smith Kline	A	Dividend	J	T					
353. - Grifols S.A.		None			Sold (part)	02/02/17	J		
354.					Sold	05/08/17	J	A	
355. - Grupo Financiero	A	Dividend			Buy	01/30/17	J		
356.					Buy (add'l)	02/01/17	J		
357.					Sold	05/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Newman, Jon O.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
358. - Grupo Televisa		None			Sold (part)	02/02/17	J		
359.					Sold	05/08/17	J		
360. - Honda	A	Dividend	J	T					
361. - Icici Bank		None			Sold (part)	02/02/17	J	A	
362.					Sold	05/08/17	J	A	
363. - HSBC Holdings	A	Dividend			Sold (part)	02/02/17	J	A	
364.					Sold	05/08/17	J		
365. - Itau Unibanco		None			Sold (part)	02/02/17	J		
366.					Sold	05/08/17	J	A	
367. - JGC	A	Dividend			Sold (part)	02/02/17	J		
368.					Sold	05/08/17	J		
369. - Johnson & Johnson	A	Dividend	J	T	Sold (part)	07/18/17	J		
370. - Kroger	A	Dividend	J	T	Buy	06/20/17	J		
371. - Kubota	A	Dividend			Sold (part)	02/02/17	J	A	
372.					Sold	05/08/17	J	A	
373. -Linde AG		None			Sold (part)	02/02/17	J		
374.					Sold	05/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
375. - L'Oreal	A	Dividend			Sold (part)	02/02/17	J	A	
376.					Sold	05/08/17	J	A	
377. - LVMH Moet	A	Dividend			Sold (part)	02/02/17	J	A	
378.					Sold	05/08/17	J	A	
379. - Lowes	A	Dividend	J	T	Buy	08/22/17	J		
380.					Buy (add'l)	08/23/17	J		
381. - Mack Cali Realty	A	Dividend	J	T					
382. - McKesson	A	Dividend	J	T	Buy (add'l)	03/08/17	J		
383. - Medtronic	A	Dividend	J	T					
384. - Microsoft	A	Dividend	J	T	Sold (part)	07/18/17	J	B	
385.					Sold (part)	09/01/17	J	C	
386. - Mitsubishi	A	Dividend			Sold (part)	02/02/17	J		
387.					Sold	05/08/17	J		
388. - Monotaro	A	Dividend			Sold (part)	02/02/17	J	A	
389.					Sold	05/08/17	J	A	
390. - Naspers Ltd.		None			Sold (part)	02/02/17	J	A	
391.					Sold	05/08/17	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
392. - Nestle	A	Dividend			Sold (part)	02/02/17	J	A	
393.					Sold	05/08/17	J	A	
394. - Park24	A	Dividend			Sold (part)	02/02/17	J		
395.					Sold	05/08/17	J	A	
396. - Pepsico	A	Dividend	J	T	Sold (part)	03/13/17	J	B	
397. - PNC Financial	A	Dividend	J	T	Sold (part)	10/03/17	J	A	
398. - PPG	A	Dividend	J	T					
399. - Procter & Gamble	A	Dividend	J	T	Sold (part)	02/02/17	J	B	
400. - Qualcomm		None	J	T					
401. - Roche	A	Dividend			Sold (part)	02/02/17	J	A	
402.					Sold	05/08/17	J	A	
403. - Royal Dutch Shell	A	Dividend			Sold (part)	02/02/17	J	A	
404.					Sold	05/08/17	J	A	
405. - SAP Ag		None			Sold (part)	02/02/17	J	A	
406.					Buy (add'l)	03/30/17	J		
407.					Sold	05/08/17	J	A	
408. - Sasol	A	Dividend			Sold (part)	02/02/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Newman, Jon O.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
409.					Sold	05/08/17	J		
410. - Schlumberger	A	Dividend	J	T	Sold (part)	02/02/17	J	A	
411.					Sold	05/08/17	J	A	
412.					Buy	11/29/17	J		
413. - Shire	A	Dividend			Sold (part)	02/02/17	J		
414.					Sold	05/08/17	J	A	
415. - Sonova		None			Sold (part)	02/02/17	J	A	
416.					Sold	05/08/17	J	A	
417. - Suntrust	A	Dividend	J	T	Sold (part)	03/15/17	J	C	
418.					Buy (add'l)	03/21/17	J		
419.					Buy (add'l)	01/19/17	J		
420. - Symrise		None			Sold (part)	02/02/17	J	A	
421.					Sold	05/08/17	J	A	
422. - Sysmex	A	Dividend			Sold (part)	02/02/17	J	A	
423.					Sold	05/08/17	J	A	
424. - Taiwan Semiconductor	A	Dividend	J	T	Sold (part)	05/08/17	J	A	
425.					Sold (part)	11/01/17	J	B	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Newman, Jon O.

Date of Report

08/09/2019

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
426. - Target	A	Dividend	J	T	Buy (add'l)	02/02/17	J		
427.					Buy (add'l)	11/27/17	J		
428. - Tenaris		None			Sold	05/08/17	J	A	
429. - Torchmark	A	Dividend	J	T					
430. - Traveelers	A	Dividend	J	T	Buy	05/11/17	J		
431. - Türkiye Garanti Bankası	A	Dividend			Sold (part)	02/02/17	J		
432.					Sold	05/08/17	J		
433. - US Bancorp	A	Dividend	J	T	Sold (part)	03/15/17	J	B	
434. - Unilever NV NY	A	Dividend			Sold (part)	02/02/17	J	A	
435.					Sold	05/08/17	J	A	
436. - United Parcel	A	Dividend	J	T	Buy	03/07/17	J		
437. - Verizon	A	Dividend	J	T	Buy (add'l)	02/08/17	J		
438. - Wal-Mart	A	Dividend	J	T	Sold (part)	03/14/17	J	A	
439.					Sold (part)	11/17/17	J	C	
440. - Wells Fargo	A	Dividend	J	T	Sold (part)	03/14/17	J	A	
441.					Buy (add'l)	10/31/17	J		
442. - Whole Foods Markets	A	Dividend			Sold	06/16/17	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

443. - WPP PLC		None			Sold (part)	02/02/17	J	A	
444.					Sold	05/08/17	J	A	
445. - Exchange Traded & Closed End Funds: (H)									
446. - Deutsche X-Trackers MSCI Fund	D	Dividend	M	T	Buy	02/02/17	L		
447.					Buy (add'l)	05/08/17	L		
448. - iShares MSCI Small Cap Fund		None	K	T					
449. - iShares JP Morgan EM bond Fund	C	Dividend	L	T	Buy	02/02/17	L		
450. - Mutual Funds (H):									
451. - Amerixcan Infl Lnk'd Fund	B	Dividend	L	T	Buy	05/08/17	L		
452. - Brandes Int'l small cap equity\ I Fund	B	Dividend	K	T					
453. - CRM Small Cap Value Inst. Fund		None			Sold	07/24/17	M		
454. - Franklin Fed. Intrn. Trm. Tx. Fr. Adv. Fund		None	M	T					
455. - Gabelli Equity Fund	B	Dividend	M	T					
456. - Invesco Premier Fund	A	Dividend	K	T					
457. - Lord Abbett Conv. Fund	C	Dividend	M	T					
458. - Lord Abbett Emerg. Mkts. Curr.Fund	A	Dividend			Buy (add'l)	01/05/17	J		
459.					Sold	02/02/17	L		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

460. - Nuance Mid Cap Value Fund	D	Dividend	M	T	Buy	07/24/17	M		
461. - Nuveen Ltd. Term Mun. Bond Fund	A	Dividend	L	T					
462. - Oppenheimer Int'l Bond Fund	B	Dividend	L	T					
463. - Pimco Real Return Fnd		None			Sold	05/08/17	L		
464. - Thornburg Int'l Fund		None			Sold	02/02/17	K		
465. - Tweedy Browne Fund	B	Dividend	L	T					
466. - Trust No. 4: (H)									
467. - brokerage account No. 1 (H):									
468. - Morgan Stanley Active Assets Tax Free Trust	A	Int./Div.	N	T					
469. - stocks (H):									
470. - Apple	B	Dividend	L	T					
471. - Automatic Data Processing	B	Dividend	K	T					
472. - CDK Global	A	Dividend	J	T					
473. - Danaher	A	Dividend	K	T					
474. - Ecolab	B	Dividend	M	T					
475. - Exxon Mobil	A	Dividend			Sold	05/05/17	K		
476. - Fortive	A	Dividend	J	T					

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3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

477. - Nike Class B	A	Dividend	L	T					
478. - United Technologies	B	Dividend	L	T					
479. - bonds (H):									
480. - Kentucky St Prop '19 4.0	B	Interest	L	T					
481. - N.J. St Transp. '17 5.75	B	Interest			Matured	06/15/17	K		
482. - Southington CT '18 35	B	Interest	L	T	Redeemed (part)	09/01/17	K		
483. - U.S. Treasury '18 9.0	B	Interest	J	T					
484. - brokerage account No. 2 (H):									
485. - Morgan Stanley Active Assets Tax Free Trust	A	Interest	J	T					
486. - stocks (H):									
487. - AIA Group	A	Dividend	J	T					
488. - Air Liquide	A	Dividend	J	T	Buy (add'l)	09/17/17	J		
489. - Alfa Laval	A	Dividend	J	T					
490. - Allergan	A	Dividend	J	T					
491. - Allianz	A	Dividend	J	T					
492. - AMC		None	J	T					
493. - Anadarko Petroleum	A	Dividend		T					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

494. - Anasys		None	J	T					
495. - Aspen Pharmacare	A	Dividend	J	T	Buy	10/12/17	J		
496. - AspenTechnology		None	J	T	Sold (part)	03/29/17	J	A	
497. - Atlas Copco	A	Dividend	J	T	Sold (part)	03/29/17	J	A	
498. - Autodesk		None	J	T					
499. - Baidu		None	J	T	Sold (part)	08/22/17	J	A	
500. - Banco Bilbao	A	Dividend	J	T					
501. - Bank of the Ozaeks	A	Dividend	J	T					
502. - Bayer	A	Dividend	J	T					
503. - Bayerische Motoren Werke	A	Dividend	J	T	Sold (part)	05/19/17	J	A	
504. - BBA Aviation	A	Dividend	J	T					
505. - Berkley W.R.	A	Dividend	J	T					
506. - Biogen		None	J	T					
507. - Bioerativ		None	J	T	Buy	12/08/17	J		
508. - Broadcom	A	Dividend	J	T					
509. - Bunge Ltd.	A	Dividend			Sold	04/04/17	J	A	
510. - Canadian Nat. Rya	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less (See Columns C1 and D3) N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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511. - Carlisle	A	Dividend	J	T					
512. - CDW	A	Dividend	J	T					
513. - Check Point		Dividend	J	T	Buy (add'l)	01/20/17	J		
514. - Cheese Cake Factory	A	Dividend	J	T	Buy	05/23/17	J		
515. - Citrix		None	J	T					
516. - Comcast	A	Dividend	J	T					
517. - Cooper Co.	A	Dividend	J	T					
518. - Copart		Dividend	J	T	Buy	06/30/17	J		
519.					Buy (add'l)	07/03/17	J		
520. - Core Labs	A	Dividend	J	T					
521.					Buy (add'l)	09/14/17	J		
522. - Cotiviti		Dividend	J	T	Buy	03/31/17	J		
523.					Buy (add'l)	04/04/17	J		
524.					Buy (add'l)	08/08/17	J		
525. - Cree		None	J	T					
526. - CSL	A	Dividend	J	T	Sold (part)	05/31/17	J	A	
527. - Dassault	A	Dividend	J	T	Sold (part)	06/28/17	J	A	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
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528. - DBS	A	Dividend	J	T					
529. - Discovery Communications		None	J	T					
530.					Buy (add'l)	09/08/17	J		
531. - Dolby	A	Dividend	J	T					
532. - DrillQuip		Dividend	J	T					
533. - Equifax	A	Dividend			Sold	09/08/17	J	C	
534. - Expeditors Int'l	A	Dividend	J	T					
535. - Factset Research	A	Dividend			Sold	06/29/17	J	B	
536. - Fanuc	A	Dividend	J	T					
537. - Fastenal	A	Dividend			Sold	06/22/17	J	A	
538. - Fluor	A	Dividend	J	T					
539.					Buy (add'l)	09/08/17	J		
540. - Freeport McMoran		None	J	T					
541. - Fresenius	A	Dividend	J	T					
542. - Fuchs Petrolub	A	Dividend	J	T					
543. - Grifols S.A.	A	Dividend	J	T					
544. - Grupo Financiero	A	Dividend	J	T	Buy	01/30/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

545.					Buy (add'l)	02/01/17	J		
546. - Grupo Televisa	A	Dividend			Sold	11/17/17	J		
547. - HDFC Bank	A	Dividend	J	T	Buy	06/28/17	J		
548. - HSBC Holdings	A	Dividend	J	T					
549. - Icici	A	Dividend	J	T					
550. - Immunogen		None	J	T					
551. - Infineon Technologies		None	J	T	Buy	05/30/17	J		
552.					Buy (add'l)	11/16/17	J		
553. - Ionis Pharmaceuticals		None	J	T					
554. - Itau Unibanco	A	Dividend	J	T					
555. - Jack Henry	A	Dividend	J	T	Sold (part)	07/07/17	J	A	
556. - JGC	A	Dividend	J	T					
557. - Johnson Controls Intl	A	Dividend	J	T					
558. - Kubota	A	Dividend	J	T	Buy	05/26/17	J		
559. - L'Oreal	A	Dividend	J	T	Sold (part)	08/25/17	J	A	
560. - L3 Comm.	A	Dividend	J	T					
561. - Landstar	A	Dividend	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
562. - Lennox Int'l	A	Dividend	J	T	Buy	03/13/17	J		
563. - Liberty Broadband		None	J	T					
564. - Liberty Interactive Co. Inter		None	J	T					
565. - Liberty Media		None	J	T	Sold (part)	07/19/17	J	A	
566. - Linde AG	A	Dividend			Sold	09/22/17	J	A	
567. - Lions Gate		None	J	T	Buy	09/08/17	J		
568. - Logmein	A	Dividend	J	T	Buy	06/30/17	J		
569. - LVMH Moet	A	Dividend	J	T					
570. - Manhattan Assoc.		None	J	T	Buy (add'l)	10/25/17	J		
571. - Medtronics	A	Dividend	J	T					
572. - Mitsubishi	A	Dividend	J	T					
573. - Monotaro	A	Dividend	J	T					
574. - Monotype	A	Dividend			Sold	08/31/17	J		
575. - MSCI Inc Com	A	Dividend	J	T	Buy (add'l)	03/13/17	J		
576. - Naspers Ltd.	A	Dividend	J	T					
577. - National Oilwell	A	Dividend	J	T					
578. - Nestle	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
579. - Nordson	A	Dividend	J	T					
580. - NOW Inc.		None	J	T					
581. - Nuance Comm.		None	J	T					
582. - Nucor	A	Dividend	J	T					
583. - Park24	A	Dividend	J	T					
584. - Pentair	A	Dividend	J	T					
585. - Pool Corp.		None	J	T	Buy	12/01/17	J		
586. - Primerica	A	Dividend	J	T					
587. - RBC Bearings		None	J	T					
588. - Roche	A	Dividend	J	T	Sold (part)	07/27/17	J	A	
589. - Royal Dutch Shell	A	Dividend	J	T					
590. - SAP AG	A	Dividend	J	T	Buy (add'l)	03/30/17	J		
591.					Sold (part)	05/19/17	J	A	
592. - Sasol	A	Dividend	J	T					
593. - Schlumberger	A	Dividend	J	T					
594. - Seagate Tech.	A	Dividend	J	T					
595. - Shire	A	Dividend	J	T	Buy (add'l)	05/18/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
596.					Buy (add'l)	07/27/17	J		
597. - Signature Bank		None	J	T	Buy (add'l)	06/13/17	J		
598. - Snap-on	A	Dividend	J	T	Buy (add'l)	05/22/17	J		
599. - Sonova	A	Dividend	J	T					
600. - Symrise	A	Dividend	J	T					
601. - Sysmex	A	Dividend	J	T					
602. - Taiwan Semiconductor	A	Dividend	J	T					
603. - TE Connectivity	A	Dividend	J	T					
604. - Tenaris	A	Dividend	J	T	Sold (part)	02/08/17	J	A	
605. - Teradyne	A	Dividend	J	T	Buy	08/04/17	J		
606. - Tripadvisor		None			Sold	06/22/17	J		
607. - Turkiye Garanti	A	Dividend	J	T					
608. - Twitter		None	J	T	Buy (add'l)	03/22/17	J		
609. - Unilever	A	Dividend	J	T					
610. - United Health	A	Dividend	J	T	Sold (part)	09/27/17	J	D	
611. - Vertex		None	J	T					
612. - Wabco Holdings		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
613. - Watsco	A	Dividend			Sold	03/14/17	J	A	
614. - Weatherford Intl		None	J	T					
615. - Weibo		None	J	T	Buy	11/30/17	J		
616. - Western Digital Corp.	A	Dividend	J	T					
617. - WPP	A	Dividend	J	T	Sold (part)	05/19/17	J	A	
618.					Sold (part)	10/04/17	J	A	
619. - Wynn Resorts	A	Dividend	J	T					
620. - Exchange Traded Closed End Funds (H):									
621. - Ishares MSCI EAFE Value Fund	B	Dividend	K	T					
622. - Ishares Russell 3000 Fund	A	Dividend	K	T					
623. - Mutual funds (H):									
624. - Alliance Bernstein Global Bond Advisor Fund	A	Dividend	K	T	Buy (add'l)	12/29/17	J		
625. - Brandes Emerging Markets I Fund	A	Dividend	K	T					
626. - Columbia Acorn Fund	A	Dividend	K	T	Buy (add'l)	12/29/17	J		
627. - Franklin Federated Intermediate-Term Tax Free Fund		None	M	T	Buy	12/29/17	J		
628. - Invesco Premier Tax Exempt		Dividend	K	T	Buy (add'l)	12/29/17	J		
629. - Lateef Fund		None	K	T	Sold (part)	09/08/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
630.					Buy (add'l)	12/29/17	J		
631. - Lord Abbett Conv. Funda	A	Dividend	K	T					
632. - Lord Abbett Emer. Mkts. Fund	B	Dividend	K	T	Buy (add'l)	12/29/17	J		
633. - Pimco Real Return Fund	A	Dividend	K	T	Buy (add'l)	12/29/17	J		
634. - TCW Total Return Bond Fund	A	Dividend	K	T	Buy (add'l)	12/29/17	J		
635. - IRA consisting of Stocks and Corporate (H): Bonds:									
636. - brokerage account No. 1 (H):									
637. - Citibank Bank Deposit	A	Interest	K	T					
638. - corporate bonds (H):									
639. - municipal bonds (H):									
640. - brokerage account No. 2 (H):									
641. - Morgan Stanley, New Haven, CT liquid asset fund	A	Interest	J	T					
642. - stocks (H):									
643. - Abbvie	A	Dividend	J	T					
644. - Aetna	A	Dividend			Sold	12/13/17	J		
645. - AIA Group		None			Sold	05/08/17	J	B	
646. - Air Liquide		None			Sold	05/08/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	J = \$15,000 or less (See Columns C1 and D3)	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000 (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
647. - Alfa Laval		None			Sold	05/08/17	J	A	
648. - Allianz		None			Sold	05/08/17	J	A	
649. - Allstate	A	Dividend	J	T	Buy	11/17/17	J		
650. - American Electric Power	A	Dividend	J	T					
651. - American Express	A	Dividend	J	T					
652. - Ameriprise Financial	A	Dividend	J	T					
653. - Anthem	A	Dividend	J	T	Buy	12/13/17	J		
654. - Apache	A	Dividend			Buy (add'l)	05/08/17	J		
655.					Buy (add'l)	07/13/17	J		
656.					Sold	08/30/17	J		
657. - Apple	A	Dividend	J	T					
658. - Aspen		None			Sold	05/08/17	J		
659. - AT&T	A	Dividend	J	T	Buy (add'l)	12/29/17	J		
660. - Atlas Copco		None			Sold (part)	01/10/17	J	A	
661.					Sold	05/08/17	J	A	
662. - Baidu		None			Sold	05/08/17	J	A	
663. - Banco Bilbao		None			Sold	05/08/17	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

664. - Bank of America	A	Dividend	J	T	Buy	07/27/17	J		
665. - Bayer		None			Sold	05/08/17	J	A	
666. - Bayerische Motoren Werke		None			Sold	05/08/17	J	A	
667. - BBA Aviation		None			Sold	05/08/17	J	A	
668. - BB&T	A	Dividend	J	T	Buy	08/30/17	J		
669. - Bunge		None			Sold	04/04/17	J	A	
670. - CA Inc.		None			Sold	05/09/17	J	A	
671. - Canadian National Ry.		None			Sold	05/08/17	J	A	
672. - Carnival	A	Distribution	J	T	Buy	02/09/17	J		
673. - CBS		None			Sold	11/22/17	J		
674. - Celanese		None			Sold	03/29/17	J		
675. - Check Point		None			Buy (add'l)	01/20/17	J		
676.					Sold	05/08/17	J	A	
677. - Chevron	A	Dividend	J	T					
678. - Cisco	A	Dividend	J	T					
679. - Citizens Financial	A	Dividend	J	T	Buy	10/23/17	J		
680. - Conoco Phillips	A	Dividend	J	T	Buy	08/30/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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681. - CSL		None			Sold	05/08/17	J	B	
682. - Dassault Systems		None			Sold	05/08/17	J	B	
683. - DBS Group		None			Sold	05/08/17	J	A	
684. - Dr. Pepper Snapple Group	A	Dividend	J	T	Buy	06/19/17	J		
685. - Eastman Chemical	A	Dividend	J	T	Buy	03/28/17	J		
686. - Eaton	A	Dividend	J	T					
687. - Entergy	A	Dividend	J	T					
688. - Everest Re Group	A	Dividend			Buy	05/01/17	J		
689.					Sold (part)	09/06/17	J		
690.					Sold	11/24/17	J		
691. - Exxon Mobil	A	Dividend	J	T					
692. - Fanuc		None			Sold (part)	01/04/17	J		
693.					Sold	05/08/17	J	A	
694. - Fifth Third Bank	A	Dividend			Sold	09/25/17	J	B	
695. - Fluor		None			Sold	01/06/17	J	A	
696. - Fresenius		None			Sold	05/08/17	J	A	
697. - Fuchs Petrolub		None			Sold	05/08/17	J	A	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)
☐ **NONE** (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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698. - General Dynamics	A	Dividend	J	T					
699. - General Motors	A	Dividend	J	T					
700. - Grifols S.A.		None			Sold	05/08/17	J	A	
701. - Grupo Financiero		None			Buy	01/31/17	J		
702.					Buy (add'l)	02/01/17	J		
703.					Sold	05/08/17	J		
704. - Grupo Tekevisa		None			Sold	05/08/17	J	A	
705. - Hartford Fin. Serv.		None			Sold	04/24/17	J	A	
706. - Honeywell	A	Dividend	J	T					
707. - HP Inc	A	Dividend	J	T	Buy	05/05/17	J		
708.					Buy (add'l)	05/08/17	J		
709. - HSBC Holdings		None			Sold	05/08/17	J	A	
710. - IBM		None			Sold	07/27/17	J		
711. - Icici Bank		None			Sold	05/08/17	J		
712. - Ingredion	A	Int./Div.	J	T	Buy	12/15/17	J		
713. - Intel	A	Dividend	J	T					
714. - International Paper	A	Dividend	J	T					

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715. - Itau		None			Sold	05/08/17	J	A	
716. - JGC		None			Sold	05/08/17	J		
717. - Johnson & Johnson	A	Dividend	J	T					
718. - JP Morgan Chase	A	Dividend	J	T					
719. - Kansas City Southern	A	Dividend	J	T	Buy	06/08/17	J		
720. - Kubota		None			Sold	05/08/17	J	A	
721. - Lam Research	A	Distribution	J	T	Buy	07/27/17	J		
722. - L'Oreal		None			Sold	05/08/17	J	B	
723. - Linde AG		None			Sold	05/08/17	J	A	
724. - LVMH Moet		None			Sold	05/08/17	J	A	
725. - Mattel		None			Sold	02/09/17	J		
726. - Medtronic	A	Dividend	J	T	Buy	07/25/17	J		
727.					Buy (add'l)	12/29/17	J		
728. - Metlife	A	Dividend	J	T					
729. - Mitsubishi		None			Sold	05/08/17	J	A	
730. - Monotaro		None			Sold	05/08/17	J	A	
731. - Morgan Stanley	A	Distribution	J	T	Buy	03/06/17	J		

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732. - Nabors		None			Sold	05/08/17	J		
733. - Naspers		None			Sold	05/08/17	J	A	
734. - Nestle		None			Sold	05/08/17	J	B	
735. - Oracle	A	Dividend	J	T					
736. - Park24		None			Sold	05/08/17	J	A	
737. - Pfizer	A	Dividend	J	T	Sold (part)	07/27/17	J	A	
738. - PNC Financial		None			Sold	08/30/17	J	B	
739. - Procter & Gamble	A	Dividend	J	T	Sold (part)	10/23/17	J	A	
740. - Public Service Enterprise	A	Dividend	J	T					
741. - Quest Diagnostics	A	Dividend	J	T					
742. - Reinsurance Corp. of America	A	Dividend	J	T	Buy	11/27/17	J		
743. - Roche		None			Sold	05/08/17	J	A	
744. - Royal Dutch Shell	A	Dividend	J	T	Sold (part)	05/08/17	J	A	
745. - Ryder Systems		None			Sold	06/08/17	J	A	
746. - Sanofi		None			Buy	10/23/17	J		
747.					Sold	12/14/17	J		
748. - SAP		None			Buy (add'l)	03/30/17	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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749.					Sold	05/08/17	J	B	
750. - Sasol		None			Sold	05/08/17	J		
751. - Schlumberger	A	Dividend	J	T	Buy (add'l)	05/08/17	J		
752.					Buy (add'l)	12/29/17	J		
753. - Shire		None			Sold	05/08/17	J	A	
754. - Sonova		None			Sold	05/08/17	J	A	
755. - Suntrust	A	Dividend	J	T	Buy	09/25/17	J		
756. - Symrise		None			Sold	05/08/17	J	A	
757. - Sysmex		None			Sold	05/08/17	J	A	
758. - Taiwan Semiconductors		None			Sold	05/08/17	J	A	
759. - Tenaris		None			Sold (part)	02/08/17	J	A	
760.					Sold	05/08/17	J	A	
761. - Travelers		None			Sold (part)	03/06/17	J	A	
762.					Sold	11/17/17	J	A	
763. - Turkiye		None			Sold	05/08/17	J		
764. - Unilever PLC		None			Sold	05/08/17	J	A	
765. - United Technologies	A	Dividend	J	T					

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766. - U.S. Bancorp	A	Dividend	J	T					
767. - Verizon	A	Dividend	J	T	Buy (add'l)	07/13/17	J		
768. - VF Corp	A	Dividend	J	T	Buy	11/22/17	J		
769. - Walmart	A	Dividend	J	T					
770. - Wells Fargo	A	Dividend			Sold	10/23/17	J	A	
771. - WPP		None			Sold	05/08/17	J	A	
772. - Exchange Traded and Closed End Funds (H):									
773. - Deutsche X-Trackers MSCI Fund	B	Dividend	L	T	Buy	05/08/17	L		
774. - Ishares Barclays 7-10 Year Treasury Fund	A	Dividend	L	T	Buy (add'l)	07/13/17	J		
775.					Buy (add'l)	12/29/17	J		
776. - Ishares MSCI EAFE Index Fund	B	Dividend	K	T	Buy (add'l)	05/08/17	K		
777. - Ishares Russell 1000 Index Fund	B	Dividend	L	T					
778. - Ishares Russell 1000 Value Fund	B	Dividend	L	T					
779. - Ishares Russell 3000 Fund	B	Dividend	L	T					
780. - Ishares Russell mid-cap Fund	A	Dividend	L	T					
781. - Ishares TIPS Bond Fund	B	Dividend	K	T	Buy (add'l)	07/13/17	J		
782.					Buy (add'l)	12/29/17	J		

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783. - SPDR DJ Wilshire Int'l Real Estate	B	Dividend	K	T	Buy (add'l)	05/08/17	J		
784.					Buy (add'l)	07/13/17	J		
785. Vanguard Utilities Fund	C	Dividend	M	T					
786. - Mutual Funds (H):									
787. - Cohen & Steers Pref. Sec. & Inc. I	C	Dividend	M	T	Buy (add'l)	07/13/17	J		
788.					Buy (add'l)	12/29/17	J		
789. - Guggenheim Total Return BD I Fund	B	Dividend	K	T	Buy	05/08/17	L		
790. - Invesco AIM ATST Premier Fund	A	Dividend	J	T	Buy (add'l)	07/13/17	J		
791.					Buy (add'l)	12/29/17	J		
792. - Legg Mason Western Asset Emerging Markets Fund	C	Dividend	K	T	Buy (add'l)	07/13/17	J		
793.					Buy (add'l)	12/29/17	J		
794. - Mainstay Marketfield I Fund		None	K	T					
795. - Oppenheimer Int'l Fund	B	Distribution	K	T	Buy (add'l)	07/13/17	J		
796.					Buy (add'l)	12/29/17	J		
797. - Pimco Total Return Fund		None			Sold	05/08/17	L		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part III-A The Devitt Award event occurred in December 2016. The cash award and the reimbursement for airfare and lodgings was received in January 2017 and for that reason is reflected on this 2017 report.

Part VII:

global: all entries have been listed alphabetically within separately numbered brokerage accounts and, within each account, grouped within categories labeled "stocks," "exchange traded and closed end funds," "mutual funds," and "bonds" as permitted according to advice received from committee staff.

Line 21: The caption of the header was been altered to be more accurate.

Line 26: This fund was incompletely named on the 2016 form. The full name now appears.

Line 70: This line has yellow color in for columns C(1) and (2) because the first transaction for this stock was "sold". However, the same stock was later bought as the next line shows. I have been instructed by staff to list the column C values opposite the line with the name of the stock in this situation.

Line 511: This stock was misspelled as "Carliale" on the 2016 form.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Jon O. Newman**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544