

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Silverstein, Laurie S.	2. Court or Organization U.S. Bankruptcy Court, Delaware	3. Date of Report 05/14/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge -Full Time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address United States Bankruptcy Court 824 Market Street Wilmington, Delaware 19801		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Director	Planned Parenthood of Delaware
2.	Officer	Watersfield Homeowners Association
3.	Director	Delaware Bar Foundation
4.	Executive Committee	Milton & Hattie Kutz Home
5.		
6.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1/1/2014	Amended and Restated Limited Liability Partnership Agreement of Potter Anderson & Corroon LLP, a Delaware limited liability partnership
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/14/2018

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Potter Anderson & Corroon LLP, retirement benefit (gross)	\$461,253.85
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	The Pennsylvania State University, salary
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. ABA	3/7/2018 to 3/8/2017	Washington, D.C.	ABA Lawyer's Division Day on Capital Hill	Transportation, Lodging
2. Federal Judicial Center	3/13/2016 to 3/16/2017	Charleston, S.C.	FJC educational seminar	Transportation, Lodging, Food
3. Committee of the Administration of the Bankruptcy System	4/4/2017 to 4/7/2017	San Juan, Puerto Rico	Court Survey	Transportation, Lodging, Food
4. Administrative Office	6/15/2017 to 6/16/2017	Washington, D.C.	Judicial Conference Budget Committee Orientation	Transportation, Lodging
5. Administrative Office	7/10/2017 to 7/13/2017	Washington, D.C.	Judicial Conference Budget Committee Meeting	Transportation, Lodging

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/14/2018

6.	ABI	8/3/2017 to 8/5/2017	Hershey, Pa	CLE	Transportation, Lodging
7.	FJC	9/18/2017 to 9/19/2017	Washington, D.C.	Tape program for Bankruptcy Judge Orientation	Transporation, Lodging, Food
8.	National Conference of Bankruptcy Judges	10/7/2017 to 10/10/2017	Las Vegas, NV	NCBJ Annual Conference	Transportation, Lodging, Food

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/14/2018

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Smugglers Notch Resort	Condo Fee	J
2.	Gettysburg College	tuition	L
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Silverstein, Laurie S.

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05/14/2018

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. PNC Bank account	A	Interest	N	T					
2. Artisans' Bank account	B	Interest	N	T					
3. WSFS Bank accounts	A	Interest	O	T					
4. M&T Bank accounts	A	Interest	M	T					
5. Bank of America account	A	Interest	M	T					
6. TD Bank student account		None	J	T					
7. Smugglers Notch Resort	D	Rent	M	T					
8. Pennsylvania 529 Guaranteed Savings Plan	D		M	T	Distributed (part)	12/11/17	K		
9. PAC Retirement Fund -- Vanguard Prime Money Market		None			Sold	06/01/17	J		
10. Vanguard Wellington Fund Admiral Shares	E	Dividend	O	T					
11. Vanguard Windsor II Fund Investor Shares	E	Dividend	O	T					
12. PAC Retirement Fund -- FAIRX		None			Sold	06/01/17	L		
13. PAC Retirement Fund -- LLINX		None			Sold	06/01/17	K		
14. PAC Retirement Fund DEFIX		None			Sold	06/05/17	L		
15. PAC Retirement Fund -- WGRNX		None			Sold	06/05/17	L		
16. PAC Retirement Fund--Vanguard Target Retirement 2010 Fund		None			Sold	07/24/17	N		
17. Vanguard Retirement Income	D	Dividend	N	T	Spinoff (from line 16)	07/24/17	N		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

18. RBC Bank Deposit Program		None	L	T					
19. FPACX	A	Dividend	J	T	Sold (part)	02/23/17	J	A	
20.					Buy (add'l)	06/19/17	J		
21. PRWCX	A	Dividend	J	T	Buy (add'l)	06/19/17	J		
22. IVWIX		None			Sold	06/19/17	J	A	
23. AEP	B	Dividend	K	T					
24. EPD	B	Distribution	K	T					
25. HCN	B	Dividend	K	T					
26. MO	B	Dividend	K	T					
27. MRK	B	Dividend	K	T					
28. ARTKX		None			Sold	01/06/17	L		
29. APDKX	B	Dividend	M	T	Spinoff (from line 28)	01/06/17	L		
30. FMIHX	A	Dividend	M	T	Buy (add'l)	01/16/17	J		
31.					Sold (part)	05/16/17	M	D	
32. NSEIX	B	Dividend	L	T	Buy	01/06/17	J		
33.					Buy (add'l)	02/22/17	J		
34.					Sold (part)	06/16/17	K	C	

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FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

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05/14/2018

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35. EPSYX	A	Dividend			Buy (add'l)	01/06/17	J		
36.					Buy (add'l)	02/22/17	J		
37.					Sold	06/16/17	K	D	
38. POSKX	C	Dividend	N	T					
39. TIBIX	B	Dividend	N	T	Buy	01/06/17	J		
40.					Buy (add'l)	02/22/17	J		
41.					Buy (add'l)	06/16/17	K		
42. VFIAX	D	Dividend	N	T					
43.									
44. VTMGX	C	Dividend	L	T	Buy	01/16/17	K		
45. AMVFX	A	Dividend	J	T	Sold (part)	06/19/17	J	A	
46. OAKBX	A	Dividend			Sold (part)	02/23/17	J	A	
47.					Sold	06/19/17	J	A	
48. VBIAX	A	Dividend			Sold	06/19/17	J	B	
49. VEIRX	B	Dividend	L	T	Buy	01/06/17	J		
50.					Buy (add'l)	02/22/17	J		
51.					Sold (part)	06/16/17	J	B	

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FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Silverstein, Laurie S.

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05/14/2018

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52. RAI	A	Dividend			Sold	02/21/17	K	D	
53. LSWWX	A	Dividend	J	T	Buy	06/19/17	J		
54. FMIYX	A	Dividend	L	T	Buy	05/16/17	L		
55. PRDGX	A	Dividend	L	T	Buy	01/06/17	J		
56.					Buy (add'l)	02/22/17	J		
57.					Sold (part)	06/16/17	K	A	
58. PRIDX	A	Dividend	L	T	Buy	05/16/17	L		
59. VGSTX	A	Dividend	J	T	Buy	06/19/17	J		
60. CAIFX	B	Dividend	L	T	Buy	06/16/17	L		
61. VDADX	B	Dividend	L	T	Buy	06/16/17	L		
62. VTMGX	C	Dividend	M	T	Buy	01/06/17	J		
63. CD -- Wells Fargo Bank NA		None	L	T					
64. CD -- Wells Fargo Bank NA	A	Interest			Redeemed	12/18/17	L		
65. CD--Bank of India		None	L	T	Buy	12/26/17	L		
66. CD--Luana Savings Bank		None	L	T	Buy	01/03/17	L		
67. CD--State Bank of India		None	L	T	Buy	06/22/17	L		
68. CD -- CIT Bank NA	A	Interest			Redeemed	06/21/17	L		

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FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/14/2018

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69. ML Bank Deposit Program	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/14/2018

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII line 7, Smugglers Notch Resort -- fractional share purchased January, 2003 for \$142,600. Located in Smuggler's Notch Resort

Part VII line 8, Pennsylvania 529 Guaranteed Savings Plan appreciates each year based on Penn State's tuition increase. This account is not invested in stock.

Part II, line 1 is 401(k) Plan. Do not know if there is a date.

Part VII, lines 9-17, PAC Retirement Fund rolled over into an IRA

Part VII, lines 63-68 CDs were purchased through Merrill Lynch. Interest of CDs is deposited in ML Bank Deposit Program (Bank of America N.A. money market account

Part VII, lines 28-29, Share class exchange from ARTKX TO APDKX

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Silverstein, Laurie S.

Date of Report

05/14/2018

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Laurie S. Silverstein

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544