

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2014

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Brett, Dean R.	2. Court or Organization U.S. District Court, W.D., WA	3. Date of Report 09/30/2015
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Part-time Magistrate Judge	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2014 to 12/31/2014
7. Chambers or Office Address Dean R. Brett 1310 10th St, Suite 104 Bellingham, WA 98225		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Board Member	Bellingham-Whatcom Public Facilities District, Board of Directors
2.	Partner	Brett, McCandlis Brown
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	2006	Brett & Coats 401K Retirement Plan (see Pt VII, Line 1)
2.	2012	Brett Murphy 401K Retirement Plan
3.		

FINANCIAL DISCLOSURE REPORT

Page 2 of 16

Name of Person Reporting

Brett, Dean R.

Date of Report

09/30/2015

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2014	Brett, Murphy, Coats, Knapp, McCandlis and Brown, PLLC	\$345,081.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 16

Name of Person Reporting

Brett, Dean R.

Date of Report

09/30/2015

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 16

Name of Person Reporting

Brett, Dean R.

Date of Report

09/30/2015

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brett & Coats PLLC 401K 100% in S&P 500 Index Fund	E	Int./Div.	P1	T					
2. 1/6th Interest in Sumiyoshi Apt Building Bellevue, WA King	E	Rent	P1	W					
3. US Bank via Trust UA 91-649XXXX	A	Int./Div.	J	T					
4. Brokerage Accounts Wayc.....next 42 items									
5. CIGNA	A	Int./Div.			Sold	05/22/14	J		
6. Aetna	A	Int./Div.	J	T	Buy	05/22/14	J		
7. Am Elec Power	A	Int./Div.	J	T	Buy	05/22/14	J		
8. Avista	A	Int./Div.	J	T	Buy	04/21/14	J		
9. Ball Corp	A	Int./Div.	J	T	Buy	08/22/14	J		
10. CVS Health	A	Int./Div.	J	T	Buy	05/02/14	J		
11. Computer Sciences Corp	A	Int./Div.	J	T	Buy	09/05/14	J		
12. Cooper Tire	A	Int./Div.	J	T	Buy	12/04/14	J		
13. Dow Chemical	A	Int./Div.	J	T	Buy	11/11/14	J		
14. Hanesbrands	A	Int./Div.	J	T	Buy	05/14/14	J		
15. Icon PLC	A	Int./Div.	J	T	Buy	08/09/14	J		
16. City Natl Corp	A	Int./Div.			Sold	05/15/14	J	A	
17. Black Hills Corp	A	Int./Div.			Sold	10/01/14	J	A	

1. Income Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes	F = \$50,001 - \$100,000 (See Columns C1 and D3)	J = \$15,001 - \$50,000 K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000 P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000			
3. Value Method Codes	Q = Appraisal (See Column C2)	R = Cost (Real Estate Only) U = Book Value V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 16

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18. Kroger	A	Int./Div.	J	T	Buy	05/14/14	J		
19. Magna Intl	A	Int./Div.	J	T	Buy	11/17/14	J		
20. Mallinckrodt Pub	A	Int./Div.	J	T	Buy	02/28/14	J		
21. Mylan Inc	A	Int./Div.	J	T	Buy	11/07/14	J		
22. NXP Semiconductors	A	Int./Div.	J	T	Buy	09/05/14	J		
23. PPG Indust	A	Int./Div.	J	T	Buy	11/20/14	J		
24. Piper Jaffray Cos New	A	Int./Div.	J	T	Buy	02/27/14	J		
25. Principal Financial	A	Int./Div.	J	T	Buy	08/22/14	J		
26. Sanderson Farms	A	Int./Div.	J	T	Buy	10/07/14	J		
27. Shire PLC	A	Int./Div.	J	T	Buy	11/07/14	J		
28. Skechers USA	A	Int./Div.	J	T	Buy	11/07/14	J		
29. SW Airlines	A	Int./Div.	J	T	Buy	08/22/14	J		
30. Spirit Aerosystems	A	Int./Div.	J	T	Buy	11/07/14	J		
31. SunLife	A	Int./Div.	J	T	Buy	11/07/14	J		
32. United Rentals	A	Int./Div.	J	T	Buy	08/09/14	J		
33. Whirlpool	A	Int./Div.	J	T	Buy	05/06/14	J		
34. Oppenheimer Steelpath	A	Int./Div.	J	T	Buy	12/19/14	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 16

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35. T. Rowe Price European	A	Int./Div.	J	T	Buy	12/19/14	J		
36. SPDR S&P 500	A	Int./Div.	J	T	Buy	06/17/14	J		
37. Trinity Indust	A	Int./Div.			Sold	10/08/14	J	A	
38. Union Pac Corp	A	Int./Div.	J	T					
39. Westinghouse Airbrake Tech	A	Int./Div.			Sold	01/03/14	J	A	
40. CBS	A	Int./Div.			Sold	03/07/14	J	A	
41. PPG Industries	A	Int./Div.	J	T					
42. Tractor Supply Co	A	Int./Div.			Sold	01/03/14	J	A	
43. CF Holdings	A	Int./Div.			Sold	01/03/14	J	A	
44. Discover Financial Svcs	A	Int./Div.			Sold	01/03/14	J	A	
45. Vanguard Sm Cap Index	A	Int./Div.	J	T					
46. Schwab Advisor Cash Reserves Sweep Shares	A	Int./Div.	J	T					
47. IRA @ Merrill Lynch next 12 items									
48. ACCO Brands	A	Int./Div.	J	T					
49. Intel	A	Int./Div.	J	T					
50. Kellogg Co.	A	Int./Div.	J	T					
51. MEADWESTVACO	A	Int./Div.	J	T			J		

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FINANCIAL DISCLOSURE REPORT

Page 7 of 16

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Brett, Dean R.

Date of Report

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52. Frontier Communications	A	Int./Div.	J	T					
53. ML Ret. Reserves CL 1	A	Int./Div.	J	T					
54. Clorox	A	Int./Div.	J	T					
55. Colonial Prop.	A	Int./Div.	J	T					
56. GE	A	Int./Div.	J	T					
57. Healthcare Inc	A	Int./Div.	J	T					
58. Hersheys	A	Int./Div.	J	T					
59. Verizon	A	Int./Div.	J	T					
60. Lumen IQ Common		None			Closed	06/02/14	J	A	
61. Lifetime Trust next 74 items									
62. Becton Dickinson & Co	A	Int./Div.	J	T					
63. Expeditors Intl Wash	A	Int./Div.	J	T					
64. HJ Heinz Co	A	Int./Div.	J	T					
65. QUALCOM	A	Int./Div.	J	T					
66. ISHARES MSCI CDA IDX FD Et Al	A	Int./Div.	J	T					
67. S A P AF ADR	A	Int./Div.	J	T					
68. SECTOR SPDR MATERIALS FD	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 16

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Brett, Dean R.

Date of Report

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69. SNAP ON INC	A	Int./Div.	J	T					
70. Walt Disney	A	Int./Div.	J	T					
71. Wells Fargo	A	Int./Div.	J	T					
72. Blackrock Inc	A	Int./Div.	J	T					
73. Molex Inc	A	Int./Div.	J	T					
74. Nextera Energy	A	Int./Div.	J	T					
75. Norfolk Southern	A	Int./Div.	J	T					
76. Plum Cr Timber	A	Int./Div.	J	T					
77. Germany Index Fd	A	Int./Div.	J	T					
78. Japan Index Fd	A	Int./Div.	J	T					
79. Waste Mgmt	A	Int./Div.	J	T					
80. RBC Bearing	A	Int./Div.	J	T					
81. Chas. Schwab Muni Money Fund	B	Int./Div.	L	T					
82. Schwab Sm Cap Index Fd	A	Int./Div.	J	T					
83. Berkshire Hathaway CL B	A	Int./Div.	J	T					
84. Coca Cola	A	Int./Div.	J	T					
85. Abbott Labs	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 16

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Brett, Dean R.

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86. Conoco Phillips 66	A	Int./Div.	J	T					
87. Costco Whse Corp	A	Int./Div.	J	T					
88. Cenovus Energy Inc	A	Int./Div.	J	T					
89. Emerson Elec	A	Int./Div.	J	T					
90. GE	A	Int./Div.	J	T					
91. Hewlett Packard	A	Int./Div.	J	T					
92. Johnson & Johnson	A	Int./Div.	J	T					
93. Kimberly-Clark	A	Int./Div.	J	T					
94. Microsoft	A	Int./Div.	J	T					
95. Paccar	A	Int./Div.	J	T					
96. Pepsico	A	Int./Div.	J	T					
97. Proctor & Gamble	A	Int./Div.	J	T					
98. Royal Dutch Shell ADR	A	Int./Div.	J	T					
99. Schlumberger LTD	A	Int./Div.	J	T					
100. ABB Ltd ADR	A	Int./Div.	J	T					
101. UPS	A	Int./Div.	J	T					
102. Walgreen Co.	A	Int./Div.	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 10 of 16

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103. Washington Federal Inc	A	Int./Div.	J	T					
104. Scwab Int'l Index Fund	A	Int./Div.	J	T					
105. Canon	A	Int./Div.	J	T					
106. Cisco	A	Int./Div.	J	T					
107. EMC COrp	A	Int./Div.	J	T					
108. Exxon-Mobil	A	Int./Div.	J	T					
109. GlaxoSmithKline	A	Int./Div.	J	T					
110. Intel	A	Int./Div.	J	T					
111. Novo Nordisk	A	Int./Div.	J	T					
112. Statoil ASA ADR	A	Int./Div.	J	T					
113. Stryker	A	Int./Div.	J	T					
114. Ishares MSCI Aust IDX Fd	A	Int./Div.	J	T					
115. 3M	A	Int./Div.	J	T					
116. ISHARES Switzerland Index Fund	A	Int./Div.	J	T					
117. Harris Corp	A	Int./Div.	J	T					
118. Chevron	A	Int./Div.	J	T					
119. Cons Edison	A	Int./Div.	J	T					

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Page 11 of 16

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Auto Data Processing	A	Int./Div.	J	T					
121. United Tech	A	Int./Div.	J	T					
122. MATTEL INC	A	Int./Div.	J	T			J		
123. Starbucks	A	Int./Div.	J	T					
124. Encana Corp	A	Int./Div.	J	T					
125. The Southern Co	A	Int./Div.	J	T					
126. Total SA ADR F	A	Int./Div.	J	T					
127. Medtronic	A	Int./Div.	J	T					
128. Occidental Pete	A	Int./Div.	J	T					
129. Deere & Co	A	Int./Div.	J	T					
130. Ishares NASDAQ Bio Fd	A	Int./Div.	J	T					
131. Danaher Corp	A	Int./Div.	J	T					
132. Schwab 1000 Index Fd	A	Int./Div.	J	T					
133. Apple Inc	A	Int./Div.	J	T					
134. Air Prod. & Chem. Inc	A	Int./Div.	J	T					
135. Allergan Inc	A	Int./Div.	J	T					
136. BP PLC ADR F	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 12 of 16

Name of Person Reporting

Brett, Dean R.

Date of Report

09/30/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Brokerage Acct#2, next 22 items									
138. Cubesmart	A	Int./Div.	J	T					
139. WESTAR ENERGY	A	Int./Div.	J	T			J		
140. Kimberly Clark	A	Int./Div.	J	T					
141. Astrazeneca PLC	A	Int./Div.	J	T					
142. Automatic Data Proc	A	Int./Div.	J	T					
143. Bank of Am	A	Int./Div.	J	T					
144. Pacific Corp	A	Int./Div.	J	T					
145. Bellsouth/AT&T	B	Int./Div.	L	T					
146. Merrill Lynch Bank USA	B	Int./Div.	M	T					
147. Citigroup Inc	C	Int./Div.	L	T					
148. Coca Cola	A	Int./Div.	K	T					
149. GE	B	Int./Div.	K	T					
150. Johnson & Johnson	B	Int./Div.	L	T					
151. Microsoft	B	Int./Div.	M	T					
152. PACCAR	A	Int./Div.	J	T					
153. Schlumberger LTD	A	Int./Div.	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 13 of 16

Name of Person Reporting

Brett, Dean R.

Date of Report

09/30/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Vodafone Gr PLC	A	Int./Div.	K	T					
155. HCP	A	Int./Div.	J	T					
156. Realty Incm Fund	A	Int./Div.	J	T					
157. Exxon Mobil	A	Int./Div.	J	T			J		
158. Seaspam	A	Int./Div.	J	T					
159. Wells Fargo	A	Int./Div.	J	T					
160. IRA @ Merrill Lynch next 3 items:									
161. Standard & Poor Dep Rec	A	Int./Div.	L	T					
162. ML Bank USA RASP	A	Int./Div.	J	T					
163. Washington Trust Bank	D	Int./Div.	M	T					
164. 100% Interest in fixed assets of Brett, Murphy et al		None	N	W					
165. SPDR Russell 3000 ETF	C	Int./Div.	N	T	Buy	06/30/14	N		
166. Peoples Bank MM Acct	B	Int./Div.	M	T					
167. Peoples Bank 5 Accounts	A	Int./Div.	N	T					
168. Business Condos Bellingham, Wa Whatcom County	E	Rent	O	W					
169. Vanguard Fds S&P 500	A	Int./Div.	M	T					
170. LPL Defined Benefit Plan S&P 500	C	Int./Div.	O	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

Brett, Dean R.

Date of Report

09/30/2015

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. .05175% Interest in Alumina Micro LLC		None			Closed	01/31/14	J	A	
172. 1/4 Remainder Trust/2 items:									
173. Real Estate Wenatchee, WA					Sold	03/25/14	M	F	
174. Real Estate Acreage, Douglas Co., Badger Mtn, WA					Sold	03/25/14	M	F	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 15 of 16

Name of Person Reporting

Brett, Dean R.

Date of Report

09/30/2015

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

1. Unless otherwise indicated, all stock listings in Part VII are common stocks.

FINANCIAL DISCLOSURE REPORT

Page 16 of 16

Name of Person Reporting

Brett, Dean R.

Date of Report

09/30/2015

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Dean R. Brett**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544