

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2017

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moberly, Robyn L.	2. Court or Organization U.S. Bankruptcy Court for the Southern District of Indiana	3. Date of Report 10/17/2018
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Bankruptcy Judge- Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 12/31/2017
7. Chambers or Office Address 317 Birch Bayh Federal Building and Federal Courthouse 46 East Ohio Street Indianapolis, Indiana 46204		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Indiana University McKinney School of Law Alumni Association
2. Board Member	Indianapolis Bar Association
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2017	Indiana State Judiciary Vested Pension	\$74,063.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2017	Whitham Hebenstreit and Zubek, attorneys at law
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Pacers	Disney on Ice Tickets (4)	\$400.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T stock	D	Dividend	M	T					
2. American Electric Power	A	Dividend	J	T					
3. Wells Fargo & Co	C	Dividend			Sold (part)	05/25/17	K	C	
4.					Sold	10/04/17	L	F	
5. Verizon	A	Dividend	J	T	Sold (part)	05/25/17	J		
6. Moberly Investments LLC	E	Royalty	L	U					
7. Coca Cola Co.	B	Dividend	K	T	Buy (add'l)	05/31/17	J		
8. Eli Lilly Co.	A	Dividend	K	T					
9. IBM	B	Dividend	L	T					
10. Kimberly Clark	A	Dividend	K	T					
11. Merck	B	Dividend	K	T					
12. Chevron	D	Dividend	M	T					
13. Exxon Mobil	C	Dividend	K	T	Sold (part)	05/25/17	L		
14. GE	B	Dividend			Sold	10/04/17	K		
15. Public Storage (REIT)	B	Distribution	L	T					
16. Value Line Larger Companies Fund	A	Dividend	L	T					
17. Merrill Lynch Bank Deposit	A	Int./Div.	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. DTE Energy Corp.	A	Dividend			Sold	05/25/17	J	B	
19. Southern Company	A	Dividend			Sold	05/25/17	J	A	
20. Cert of Deposit American Express Bank Salt Lake City UT municipal bond	A	Interest	K	T					
21. Bank of America Corp	A	Dividend			Sold	10/04/17	K	D	
22. Cisco Systems Inc	A	Dividend	J	T					
23. Citigroup, Inc.	A	Dividend			Sold	05/25/17	J	A	
24. CNO Financial Group, Inc.	A	Dividend			Sold	05/25/17	J	A	
25. Southwest Airlines	A	Dividend	J	T					
26. Travelers Co.	A	Dividend			Sold	05/25/17	J	A	
27. Vodafone Group PLC	A	Dividend			Sold	05/25/17	J	A	
28. AllianceBernstein Global Thematic Growth Fund	A	Dividend	J	T					
29. Nuveen Investment Quality Municipal Fund	A	Dividend	J	T					
30. Express Scripts Holding Co	A	Dividend	J	T					
31. JP Morgan Chase & Co.	C	Dividend			Sold (part)	05/25/17	K	D	
32.					Sold	10/04/17	M	E	
33. American Growth Fund	A	Int./Div.	L	T					
34. American Capital	A	Int./Div.	K	T					

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. American Capital World Growth & Income	A	Int./Div.	K	T					
36. Clearbridge Aggressive Growth	A	Dividend	K	T					
37. CD- Synchrony BK FKA GE	A	Int./Div.	J	T					
38. CD-Synchrony BK FKA GE	A	Interest			Matured	06/19/17	J	D	
39. American Airlines Group	A	Dividend			Sold	05/25/17	K	D	
40. General Motors Common shares	A	Dividend			Sold	05/25/17	J		
41. Oppenheimer Funds- Rising Dividend Fund A	A	Dividend	J	T					
42. Oppenheimer Funds- Equity Fund A	A	Dividend	K	T					
43. Oppenheimer Funds- Capital Appreciation Fund A	A	Dividend	J	T					
44. Oppenheimer Funds-International Growth Fund A	A	Dividend	J	T					
45. Apple, Inc.	B	Dividend	L	T					
46. Regions Banks Checking and savings	A	Interest	J	T					
47. BMO Harris Bank (Y)									
48. Alphabet Inc		None	M	T					
49. Cert Deposit Citi Bank	A	Interest	K	T					
50. Cert Deposit American Express (Y)									
51. Blackrock Large Cap Value Retirement K (Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. PIMCO Total Return Inst'l (Y)									
53. Automatic Data Processing	A	Dividend	J	T	Buy	05/25/17	J		
54. Emerson Electric	A	Dividend	J	T	Buy	05/31/17	J		
55. Honeywell	A	Dividend	J	T	Buy	05/31/17	J		
56. Johnson & Johnson	A	Dividend	J	T	Buy	05/31/17	J		
57. Microsoft	A	Dividend	J	T	Buy	05/31/17	J		
58. Proctor & Gamble	A	Dividend	J	T	Buy	05/31/17	J		
59. Qualcomm Inc.	A	Dividend	J	T	Buy	05/31/17	J		
60. Raytheon	A	Dividend	J	T	Buy	05/31/17	J		
61. Target Corp.	A	Dividend	J	T	Buy	05/31/17	J		
62. Texas Instruments	A	Dividend	J	T	Buy	05/31/17	J		
63. Union Pacific	A	Dividend	J	T	Buy	05/31/17	J		
64. United Technologies	A	Dividend	J	T	Buy	05/31/17	J		
65. Walmart Stores, Inc.	A	Dividend	J	T	Buy	05/25/17	J		
66. 3M Company	A	Dividend	J	T	Buy	05/25/17	J		
67. American FdAmCap Fund	A	Dividend	K	T	Buy	11/01/17	K		
68. Diamond Hill Small Cap	A	Dividend	K	T	Buy	11/01/17	K		

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69. Dodge & Cox Stock Fund	A	Dividend	L	T	Buy	11/01/17	L		
70. Hartford Small Cap Growth Fund	A	Dividend	K	T	Buy	11/01/17	K		
71. Primecap Odyssey Growth Fund	A	Dividend	K	T	Buy	11/01/17	K		
72. T Rowe Price MidCap Growth Fund	A	Dividend	K	T	Buy	11/01/17	K		
73. T Rowe Price Mid Cap Value Fund	A	Dividend	K	T	Buy	11/01/17	K		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, line 50, is a duplicate of line 20. I have always only owned 1 American Express CD, not 2.

Part VII, line 51 and 52 (PIMCO and Blackrock) were held in my Indiana state judicial retirement account. They have been rolled over to my federal TSP and do not need to be listed here.

Part VII: Any stock sales where I have not noted a gain were sold at a loss.

Part VII, line 48: Alphabet does not ever pay dividends. This entry is not an error.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Robyn L. Moberly

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544