

FINANCIAL DISCLOSURE REPORT
INITIAL FILINGReport Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Audero, Maria A.	2. Court or Organization U.S. District Court Central District of California	3. Date of Report 10/31/2019
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Magistrate Judge Full-time	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☒ Initial ☐ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2017 to 07/31/2018
7. Chambers or Office Address U.S. Courthouse 255 East Temple Street Los Angeles, California 90012		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	Partner	Paul Hastings LLP
2.	Commissioner	California Fair Political Practices Commission
3.	Director	Inner City Law center
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2011-2018	Paul Hastings LLP pension plans; firm-managed asset selection
2. 2011-2018	Paul Hastings LLP voluntary savings plan
3. 2011-2018	Paul Hastings LLP partnership agreement

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1. 2017	Paul Hastings LLP - Partnership distribution	\$657,764.00
2. 2017	State of California - Commissioner wages	\$600.00
3. 2016	Paul Hastings LLP - Partnership distribution	\$648,086.00
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

DATE	SOURCE AND TYPE
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. EXEMPT				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	EXEMPT		
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Capital One	credit card	None
2.			None
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America - cash accounts	A	Interest	M	T	Exempt				
2. Promissory Note- Josh Burnett to me - property damage (Y)									
3. ALLIANCEBERNSTEIN: AB INTERNATIONAL VALUE FUND CL R	A	Dividend	K	T	Exempt				
4. AMERICAN FUNDS: AMERICAN CAPITAL WORLD GROWTH & INC FD CL R2	B	Dividend	K	T	Exempt				
5. AMERICAN FUNDS: AMERICAN GROWTH FUND OF AMERICA FD CL R2	C	Dividend	L	T	Exempt				
6. CALAMOS: CONVERTIBLE FUND	A	Dividend	K	T	Exempt				
7. COLUMBIA: CONTRARIAN CORE FUND CL R	B	Dividend	K	T	Exempt				
8. DELAWARE: EMERGING MARKETS FD CLASS R	A	Dividend	K	T	Exempt				
9. LORD ABBETT: SHORT DURATION INCOME FD CL R2	B	Dividend	K	T	Exempt				
10. MFS: VALUE FD CL R2	B	Dividend	K	T	Exempt				
11. NUVEEN: SMALL CAP VALUE FUND CL R3	A	Dividend	K	T	Exempt				
12. PUTNAM: CAPITAL SPECTRUM FUND CL R		None			Exempt				
13. PUTNAM: DIVERSIFIED INCOME TRUST FUND CL R	C	Dividend	K	T	Exempt				
14. THORNBURG: INVESTMENT INCOME BUILDER FD CL R3	B	Dividend	K	T	Exempt				
15. THORNBURG: LIMITED TERM INCOME FD CL R3	B	Dividend	L	T	Exempt				
16. VOYA: FLOATING RATE FUND CLASS R	B	Dividend	K	T	Exempt				

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

17. WESTERN ASSET: CORE PLUS BOND FD CL R	B	Dividend	K	T	Exempt				
18. CASH ACCOUNT - Merrill Lynch	A	Interest	M	T	Exempt				
19. PUTNAM: DIVERSIFIED INCOME TRUST FUND CL C	A	Dividend			Exempt				
20. IRA (H)									
21. AMERICAN CENTURY: EQUITY INCOME FUND CL I	A	Dividend	J	T	Exempt				
22. BLACKROCK: GLOBAL LONG SHORT CREDIT FUND CL I	A	Dividend	J	T	Exempt				
23. BOSTON PARTNERS: LONG / SHORT RESEARCH FD INSTL		None			Exempt				
24. DELAWARE: SMALL CAP CORE FUND INSTL CL	A	Dividend	J	T	Exempt				
25. FIRST EAGLE: GOLD FUND CL I		None			Exempt				
26. FIRST PACIFIC ADVISORS: FPA CRESCENT FUND INSTL CL	A	Dividend	J	T	Exempt				
27. FIDELITY: ADVISOR REAL ESTATE INCOME FUND CL I	A	Dividend	J	T	Exempt				
28. GUGGENHEIM: MACRO OPPORTUNITIES FUND INSTL	A	Dividend	J	T	Exempt				
29. NATIXIS: GATEWAY FUND CL Y	A	Dividend	J	T	Exempt				
30. VOYA: FLOATING RATE FUND CLASS I	A	Dividend	J	T	Exempt				
31. CASH ACCOUNT - Merrill Lynch	A	Interest	J	T	Exempt				
32. ADVISORY RESEARCH: MLP & ENERGY INCOME FUND CL I	A	Dividend	J	T	Exempt				
33. JAMES ALPHA: GLBL REAL EST INVEST FUND CLASS I	A	Dividend	J	T	Exempt				

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only)	P4 = More than \$50,000,000	S = Assessment	T = Cash Market
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	U = Book Value				

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

34. JOHN HANCOCK: STRATEGIC INCOME OPP FUND CL I	A	Dividend	J	T	Exempt				
35. COHEN & STEERS: LOW DUR PREFERRED & INC FD CL I	A	Dividend	J	T	Exempt				
36. MFS: INTERNATIONAL DIVERS IFICATION FUND CL I	A	Dividend	J	T	Exempt				
37. PUTNAM: CAPITAL SPECTRUM FUND CL Y		None			Exempt				
38. AQR: LONG SHORT EQUITY FUND CL I	A	Dividend	J	T	Exempt				
39. WESTERN ASSET: CORE PLUS BOND FD CL I	A	Dividend	J	T	Exempt				
40. Single Name Assets (H)									
41. CALIFORNIA ST GO BDS - OCT12 05.000%SEP01 2021		None	K	T	Exempt				
42. CALIFORNIA ST GO VARIOUS PURPOSE BDS 2017 SEP17 04.000%NOV01 2019	A	Interest	J	T	Exempt				
43. CALIFORNIA ST VAR PURP GO REF BDS NOV12 05.000%SEP01 2024	A	Interest	J	T	Exempt				
44. CALIFORNIA ST VAR PURP GO AND GO REF BDS SEP11 05.250%SEP01 2023	A	Interest	J	T	Exempt				
45. ETIWANDA SD CA PUB FIN AT REV REV REF AGC ETM JAN08 04.000%SEP15 2018	A	Interest	K	T	Exempt				
46. LOS ANGELES CALIF GO BDS 2000 SER A PRF21 JUL11 05.000%SEP01 2026	A	Interest	J	T	Exempt				
47. U.S. TREASURY NOTE 1.250% MAR 31 2019 01.250% MAR 31 2019		None			Exempt				
48. UNIVERSITY CALIF REVS REV BDS SER U JUL10 05.000%MAY15 2021	A	Interest	J	T	Exempt				
49. MERRILL LYNCH CASH EQUIVALENT ACCOUNT	A	Interest	N	T	Exempt				

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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50. ANGEL OAK: MULTI STRATEGY INCOME FUND CL INSTL	A	Dividend	J	T	Exempt				
51. ABERDEEN: ULTRASHORT MUNI INCOME FUND CL INSTL	A	Dividend	J	T	Exempt				
52. BLACKSTONE: ALT MULTI STRATEGY FUND CLASS I	A	Dividend	J	T	Exempt				
53. CALAMOS: MARKET NEUTRAL INCOME FD CL I	A	Dividend	J	T	Exempt				
54. ASHMORE: EMERGING MARKETS TOTAL RETURN FD INSTL CL	A	Dividend	J	T	Exempt				
55. FIRST PACIFIC ADVISORS: FPA CRESCENT FUND INSTL CL	A	Dividend	J	T	Exempt				
56. GUGGENHEIM: MACRO OPPORTUNITIES FUND INSTL	A	Dividend	J	T	Exempt				
57. GOLDMAN SACHS: DYNAMIC MUNI INCOME FUND INST CL	A	Dividend	J	T	Exempt				
58. NATIXIS: GATEWAY FUND CL Y	A	Dividend	J	T	Exempt				
59. COHEN & STEERS: LOW DUR PREFERRED & INC FD CL I	A	Dividend	J	T	Exempt				
60. LOOMIS SAYLES: SR FLOAT RTE & FIXD INC FD CL Y	A	Dividend	J	T	Exempt				
61. AMERICAN FUNDS: AMERICAN LTD TRM TAX EX BD OF FD AMER FUND CL F2	A	Dividend	J	T	Exempt				
62. BLACKROCK: CALIF MUNI OPPORTUNITIES FD CL INST	A	Dividend	J	T	Exempt				
63. NUVEEN: SHORT DURATION HI YLD MUNI BD FD CLASS I	A	Dividend	J	T	Exempt				
64. AQR: LONG SHORT EQUITY FUND CL I	A	Dividend	J	T	Exempt				
65. JP MORGAN: TAX AWARE REAL RETURN FUND CL I	A	Dividend	J	T	Exempt				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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66. VOYA: FLOATING RATE FUND CLASS I	A	Dividend			Exempt				
67. T. ROWE PRICE: EMERGING MARKETS BOND	A	Dividend			Exempt				
68. BRENTWOOD CALIF UN SCH DIST LT RF NPFG MAR07 05.250%AUG01 2017	A	Interest			Exempt				
69. FAIRFIELD CALIF CTFS PARTM FAIRFIELD A SYNGI NOV07 0.500%APR01 2020	A	Interest			Exempt				
70. IMPERIAL CA LCL TRANSN AUTH RV LTD SER E MAY12 03.000%JUN01 2017	A	Interest			Exempt				
71. LOS ANGELES CA CONV-EXH LSE RV SER A RF OID ETM OCT08 04.250%AUG15 201	A	Interest			Exempt				
72.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Maria A. Audero**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544